

Anhang 4-G - Analyseergebnisse und Abbildungen, SAKuraStar (BN40900), SAKuraSky (BN40898)

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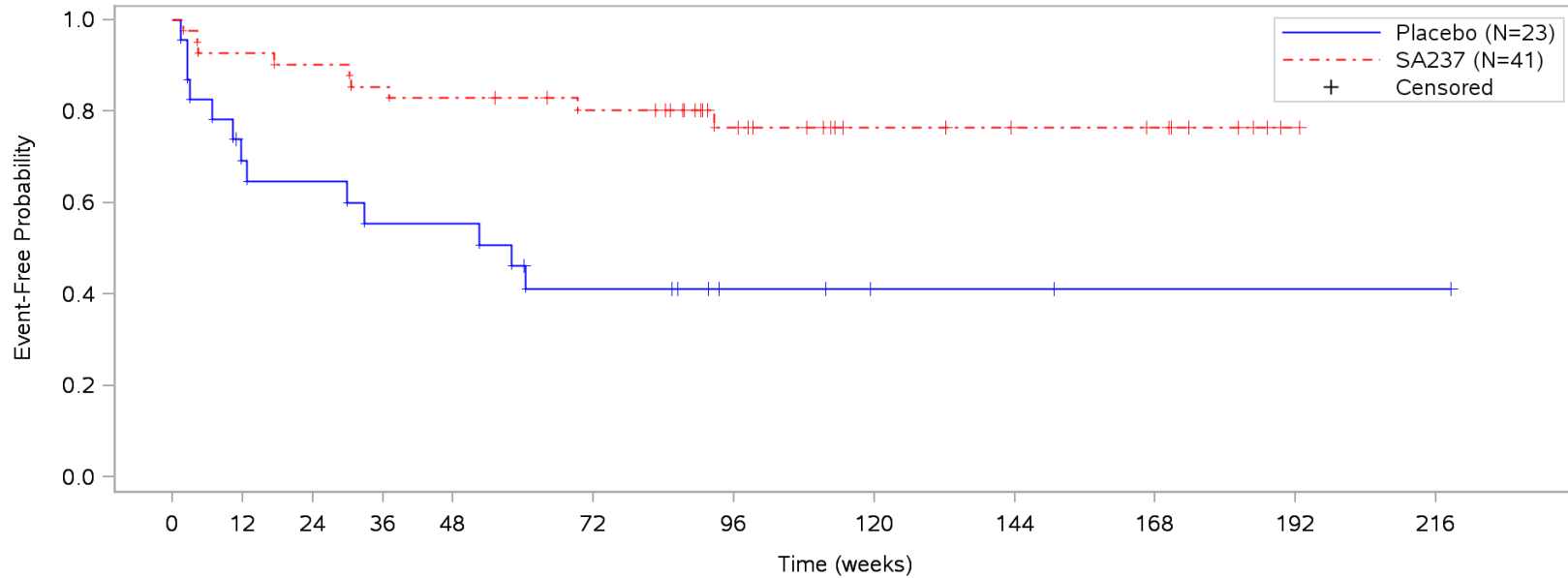
POPULATION: AQP4 Positive Population
 ENDPOINT: Protocol Defined Relapse
 MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))
 STUDY: BM40900
 Time to event analysis (efficacy)

SA237													Placebo													SA237 vs. Placebo												
Subgroup	Level	Patients		Patients with		Censored		Time To Event					Patients		Patients with		Censored		Time To Event					Log-rank				Convergence Status										
		n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median	n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median	p-value	Hazard Ratio		95% Lower CI	95% Upper CI								
All	n/a	41	100,0	9	22,0	32	78,0	NE		30,3		NE	NE	NE	NE	NE	NE	23	100,0	13	56,5	10	43,5	10,3		1,4		32,9		58,0		11,7	NE	0,0014	0,26	0,11	0,63	Convergence criterion (GCMV18-s) satisfied.

* indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/805333787/CDP70210/BM40900/data_analysis/ACE_CSRPrimary/prod/program
 Output: root/clinical_studies/805333787/CDP70210/BM40900/data_analysis/ACE_CSRPrimary/prod/output/efz_tte_309_12OCT2018_AQP_PDR01D8_ST.xls
 24MAR2020 14:37

POPULATION: AQP4 Positive Population
ENDPOINT: Time to First Relapse, Protocol Defined Relapse
MODEL: --
STUDY: BN40900
Kaplan-Meier plot of time to first event (weeks)



Patients at risk													
Placebo	23	15	14	12	12	8	4	2	2	1	1	1	
SA237	41	38	37	35	34	31	20	11	9	8	1	NE	
Patients censored													
Placebo	0	1	1	1	1	2	6	8	8	9	9	9	9
SA237	0	0	0	0	0	2	12	21	23	24	31	NE	NE

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/g_eff_tte_km.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_eff_tte_km_309_12OCT2018_AQPP_PDR01DB.pdf
 25NOV2019 22:53

POPULATION: AQP4 Positive Population
 ENDPOINT: Relapse-free subjects, Protocol Defined Relapse
 MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))
 STUDY: BN40900
 Dichotomous analysis (efficacy)

		SA237				Placebo				SA237 vs. Placebo					SA237 vs. Placebo					Placebo vs. SA237							
		Patients				Patients				Odds Ratio				Absolute Risk Difference				Relative Risk					Relative Risk				
Subgroup	Level	n	k	n	k	n	k	n	k	Odds Ratio	Convergence Reason	95% Lower CI	95% Upper CI	Absolute Risk	Convergence Reason	95% Lower CI	95% Upper CI	Relative Risk	Convergence Reason	95% Lower CI	95% Upper CI	P-value (Wald)	Relative Risk	Convergence Reason	95% Lower CI	95% Upper CI	
All	n/a	41	100,0	22	78,0	23	100,0	10	43,5	4,94	Algorithm converged.	1,58	15,41	0,343	Algorithm converged.	0,103	0,583	1,78	Algorithm converged.	1,09	2,92	0,0219	0,56	Algorithm converged.	0,34	0,92	

* indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACT_CSRPrimary/prod/program/eff_resp.sas
 Output: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/eff_resp_309_12OCT2018_AQFP_PDR01DB_ST.xls
 25NOV2019 18:23

POPULATION: AQP4 Positive Population
 ENDPOINT: Annualized Relapse Rate, Protocol Defined Relapse
 MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))
 STUDY: BN40900
 Repeated event analysis (efficacy)

(N=41)												(N=23)									Adjusted Analysis: Difference between Treatments							
Patients		Relapses		Patient Years		Naive Annualized Relapse Rate			Adjusted Annualized Relapse Rate			Patients		Relapses		Patient Years		Naive Annualized Relapse Rate			Adjusted Annualized Relapse Rate			lr	Rate Ratio			
Subgroup	Level	n	%	Total	Total	Rate	95% Lower CL	95% Upper CL	Adjusted Rate	95% Lower CL	95% Upper CL	n	%	Total	Total	Rate	95% Lower CL	95% Upper CL	Adjusted Rate	95% Lower CL	95% Upper CL	p-value	Rate Ratio	95% Lower CL	95% Upper CL	Convergence Reason		
All	n/a	41	100,0	9	79,26	0,114	0,052	0,216	0,271	0,077	0,96	23	100,0	13	25,16	0,517	0,275	0,884	2,85	0,792	10,258	0,0092	0,093	0,019	0,475	Algorithm converged.		

Rate (raw) defined as the total number of relapses for all patients in the treatment group divided by the total patient-years of exposure to that treatment.
 Based on negative binomial model. Factors/covariates: treatment. Adjusted for randomization stratification factors. Log (time in study [in years] per patient) as offset-variable.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/eff_nb.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/eff_nb_309_12OCT2018_AQPP_PDR01DB_ST.xls
 25NOV2019 18:40

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Visual Acuity Score (Snellen Chart): OD

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)					Effects		
Subgroup	Level	N		Statistics		N		Statistics		Statistics					Statistics		
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	41	41	NE	NE	23	23	23	NE	NE	NE	NE	NE	NE	NE	NE

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

OD = Right Eye, OS = Left Eye. Lower values indicate better visual acuity.

Last extra visit following relapse is mapped to the closest next regular visit.

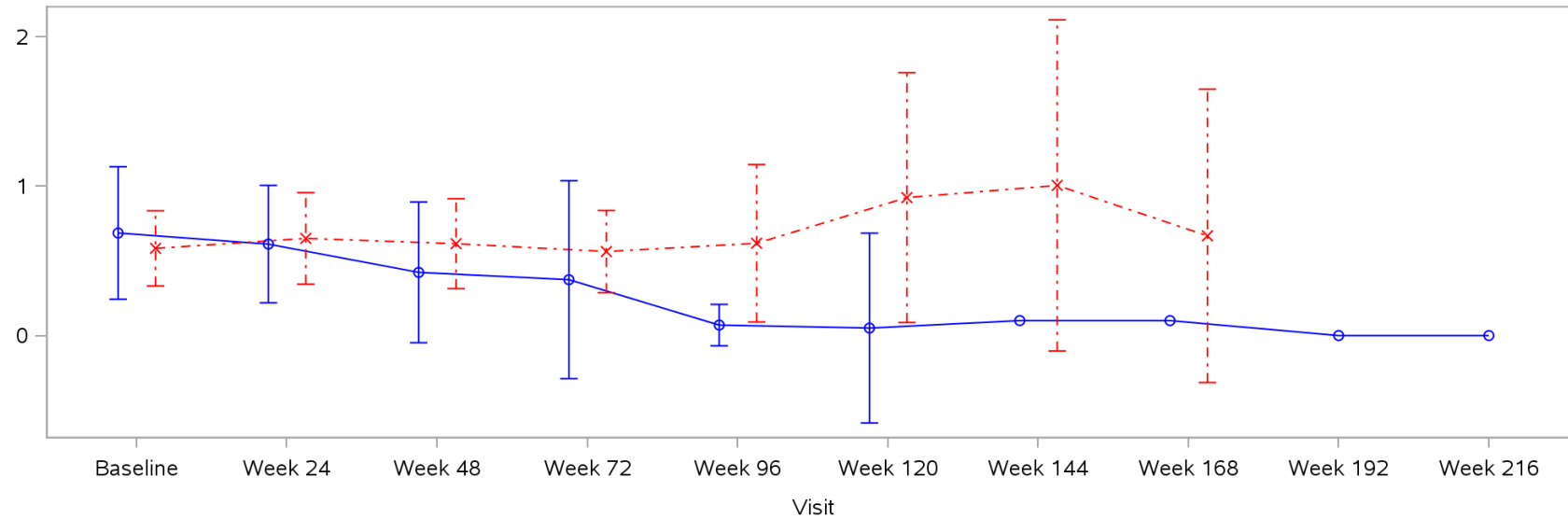
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPF_FSSVF1_ST.xls

09SEP2020 21:37

POPULATION: AQP4 Positive Population
ENDPOINT: Visual Acuity Score (Snellen Chart): OD
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	23	23	14	10	4	2	2	1	1	1
SA237										
n	41	40	37	32	18	11	8	8	0	0

Treatment Group —○— Placebo (N=23) - - - × - - - SA237 (N=41)

OD = Right Eye, OS = Left Eye. Lower values indicate better visual acuity.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_pro_mean.sas
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 09SEP2020 20:15

POPULATION: AQP4 Positive Population
 ENDPOINT: Visual Acuity Score (Snellen Chart): OD
 MODEL: --
 STUDY: BN40900
 Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100,0	41	100,0	0,584	0,797	23	100,0	23	100,0	0,687	1,026
	Week 24	41	100,0	40	97,6	0,650	0,958	23	100,0	23	100,0	0,612	0,908
	Week 48	37	90,2	37	100,0	0,615	0,902	14	60,9	14	100,0	0,423	0,816
	Week 72	32	78,0	32	100,0	0,562	0,763	11	47,8	10	90,9	0,374	0,926
	Week 96	20	48,8	18	90,0	0,618	1,060	4	17,4	4	100,0	0,070	0,087
	Week 120	11	26,8	11	100,0	0,924	1,245	2	8,7	2	100,0	0,050	0,071
	Week 144	9	22,0	8	88,9	1,005	1,326	2	8,7	2	100,0	0,100	0,000
	Week 168	8	19,5	8	100,0	0,668	1,175	1	4,3	1	100,0	0,100	NE
	Week 192							1	4,3	1	100,0	0,000	NE
	Week 216							1	4,3	1	100,0	0,000	NE
	End of Study (Discontinued)	5	12,2	3	60,0	1,517	1,381	2	8,7	2	100,0	0,650	0,495

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

OD = Right Eye, OS = Left Eye. Lower values indicate better visual acuity.

Last extra visit following relapse is mapped to the closest next regular visit.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/R05333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mean.sas

Output: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_FSSVF1_SG.xls

09SEP2020 19:55

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Visual Acuity Score (Snellen Chart): OS

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)					Effects		
		N		Statistics		N		Statistics		Statistics					Statistics		
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	41	41	NE	NE	23	23	23	NE	NE	NE	NE	NE	NE	NE	NE

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

OD = Right Eye, OS = Left Eye. Lower values indicate better visual acuity.

Last extra visit following relapse is mapped to the closest next regular visit.

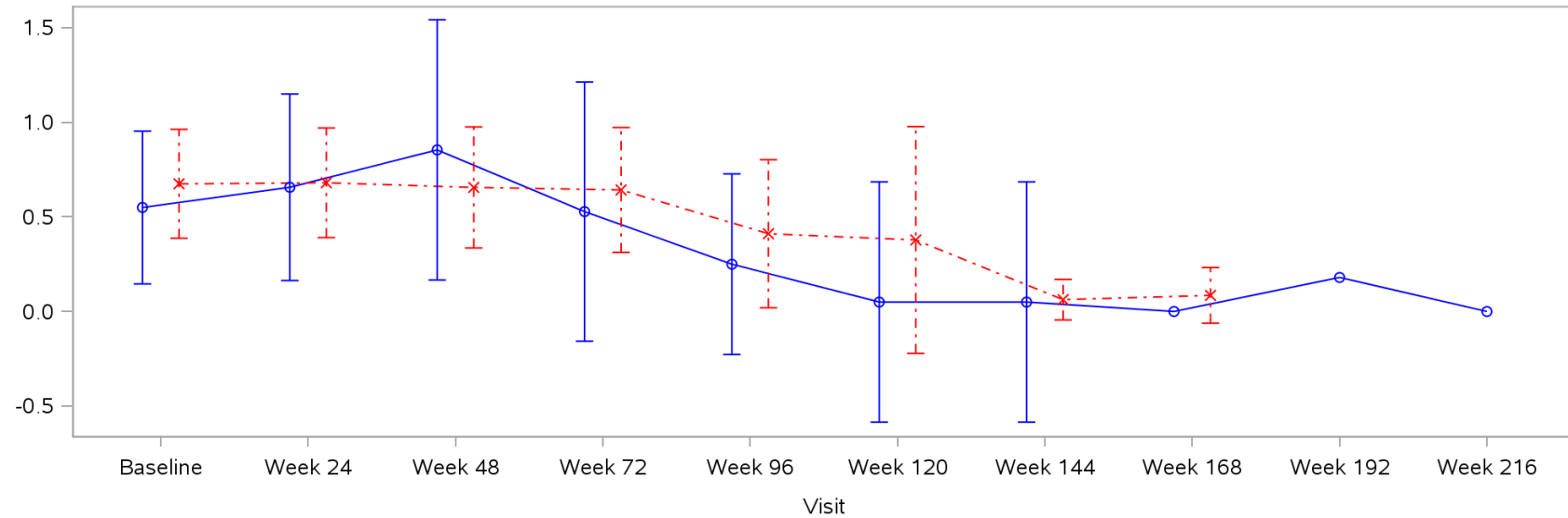
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPF_FSSVF2_ST.xls

09SEP2020 21:37

POPULATION: AQP4 Positive Population
ENDPOINT: Visual Acuity Score (Snellen Chart): OS
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	23	23	14	10	4	2	2	1	1	1
SA237										
n	41	40	37	32	18	10	8	8	0	0

Treatment Group —○— Placebo (N=23) - - - * - - - SA237 (N=41)

OD = Right Eye, OS = Left Eye. Lower values indicate better visual acuity.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_FSSVF2.pdf
 09SEP2020 20:16

POPULATION: AQP4 Positive Population
 ENDPOINT: Visual Acuity Score (Snellen Chart): OS
 MODEL: --
 STUDY: BN40900
 Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100,0	41	100,0	0,675	0,912	23	100,0	23	100,0	0,550	0,934
	Week 24	41	100,0	40	97,6	0,681	0,907	23	100,0	23	100,0	0,657	1,140
	Week 48	37	90,2	37	100,0	0,656	0,960	14	60,9	14	100,0	0,854	1,191
	Week 72	32	78,0	32	100,0	0,642	0,916	11	47,8	10	90,9	0,528	0,958
	Week 96	20	48,8	18	90,0	0,411	0,787	4	17,4	4	100,0	0,250	0,300
	Week 120	11	26,8	10	90,9	0,378	0,838	2	8,7	2	100,0	0,050	0,071
	Week 144	9	22,0	8	88,9	0,063	0,128	2	8,7	2	100,0	0,050	0,071
	Week 168	8	19,5	8	100,0	0,085	0,176	1	4,3	1	100,0	0,000	NE
	Week 192							1	4,3	1	100,0	0,180	NE
	Week 216							1	4,3	1	100,0	0,000	NE
	End of Study (Discontinued)	5	12,2	3	60,0	1,850	0,850	2	8,7	2	100,0	0,360	0,255

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

OD = Right Eye, OS = Left Eye. Lower values indicate better visual acuity.

Last extra visit following relapse is mapped to the closest next regular visit.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_FSSVF2_SG.xls

09SEP2020 19:56

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Functional Assessment of Chronic Illness Therapy (FACIT): Fatigue Score

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
Subgroup	Level	N		Statistics		N		Statistics		Statistics				Statistics			
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	40	36	7,868	2,363	23	23	14	2,459	2,966	5,409	3,058	-0,793	11,611	0,0854	0,9259

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The FACIT fatigue is scored on a scale of 0-52. Higher scores indicate better quality of life.

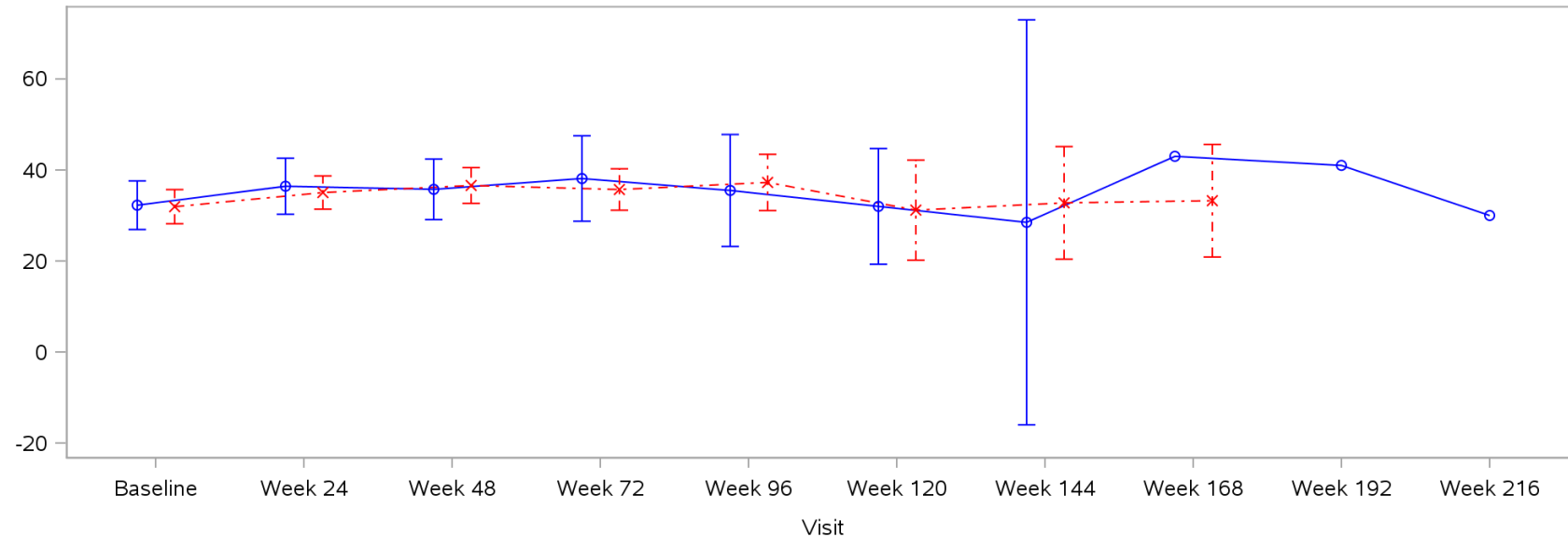
Clinical cut-off: 12OCT2018

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Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPP_TOTFACIT_ST.xls

08SEP2020 21:53

POPULATION: AQP4 Positive Population
ENDPOINT: Functional Assessment of Chronic Illness Therapy (FACIT): Fatigue Score
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	23	14	12	8	4	2	2	1	1	1
SA237										
n	40	37	33	31	20	11	9	8	0	0

Treatment Group —○— Placebo (N=23) - - - × - - - SA237 (N=41)

The FACIT fatigue is scored on a scale of 0-52. Higher scores indicate better quality of life.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_TOTFACIT.pdf
 29NOV2019 15:55

POPULATION: AQP4 Positive Population

ENDPOINT: Functional Assessment of Chronic Illness Therapy (FACIT): Fatigue Score

MODEL: --

STUDY: BN40900

Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100,0	40	97,6	31,940	11,738	23	100,0	23	100,0	32,261	12,344
	Week 24	37	90,2	37	100,0	35,043	10,952	14	60,9	14	100,0	36,429	10,653
	Week 48	33	80,5	33	100,0	36,596	11,071	12	52,2	12	100,0	35,750	10,463
	Week 72	31	75,6	31	100,0	35,710	12,397	8	34,8	8	100,0	38,125	11,218
	Week 96	20	48,8	20	100,0	37,267	13,190	4	17,4	4	100,0	35,500	7,724
	Week 120	11	26,8	11	100,0	31,182	16,363	2	8,7	2	100,0	32,000	1,414
	Week 144	9	22,0	9	100,0	32,778	16,084	2	8,7	2	100,0	28,500	4,950
	Week 168	8	19,5	8	100,0	33,250	14,791	1	4,3	1	100,0	43,000	NE
	Week 192							1	4,3	1	100,0	41,000	NE
	Week 216							1	4,3	1	100,0	30,000	NE
	End of Study (Discontinued)	5	12,2	3	60,0	24,000	5,568	2	8,7	2	100,0	20,500	2,121

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The FACIT fatigue is scored on a scale of 0-52. Higher scores indicate better quality of life.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_TOTFACIT_SG.xls

29NOV2019 13:26

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Visual Analogue Scale (VAS): Pain Score

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
		N		Statistics		N		Statistics		Statistics				Statistics			
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	40	36	4,462	7,057	23	23	14	-2,192	8,552	6,654	9,045	-11,588	24,897	0,4659	0,5587

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The VAS pain is scored on a scale of 0-100. Lower scores reflect a better health state.

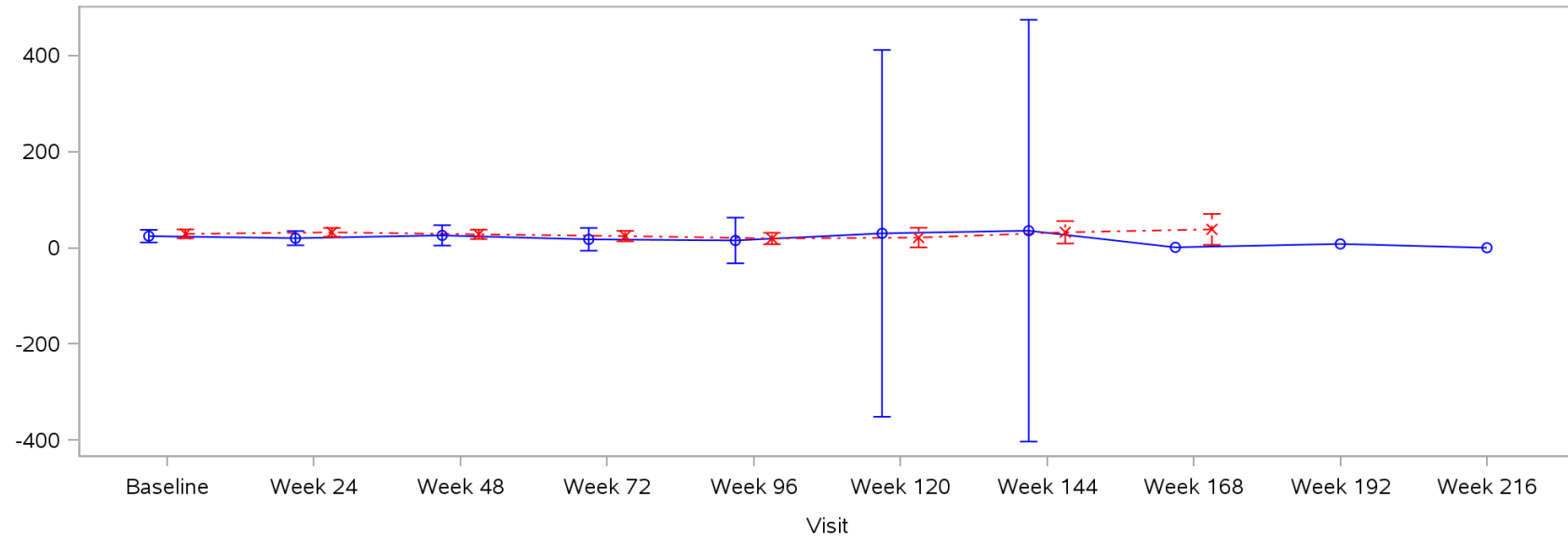
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPP_VASPAIN_ST.xls

08SEP2020 21:53

POPULATION: AQP4 Positive Population
ENDPOINT: Visual Analogue Scale (VAS): Pain Score
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	23	14	12	8	4	2	2	1	1	1
SA237										
n	40	36	33	31	20	11	9	8	0	0

Treatment Group —○— Placebo (N=23) - - - × - - - SA237 (N=41)

The VAS pain is scored on a scale of 0-100. Lower scores reflect a better health state.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_VASPAIN.pdf
 29NOV2019 15:54

POPULATION: AQP4 Positive Population
 ENDPOINT: Visual Analogue Scale (VAS): Pain Score
 MODEL: --
 STUDY: BN40900
 Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100,0	40	97,6	28,900	28,694	23	100,0	23	100,0	24,217	30,378
	Week 24	37	90,2	36	97,3	32,111	26,955	14	60,9	14	100,0	20,000	25,634
	Week 48	33	80,5	33	100,0	27,939	27,399	12	52,2	12	100,0	25,750	33,189
	Week 72	31	75,6	31	100,0	24,323	29,719	8	34,8	8	100,0	17,500	28,380
	Week 96	20	48,8	20	100,0	19,350	25,423	4	17,4	4	100,0	15,250	29,837
	Week 120	11	26,8	11	100,0	21,182	30,271	2	8,7	2	100,0	30,000	42,426
	Week 144	9	22,0	9	100,0	32,222	30,359	2	8,7	2	100,0	35,500	48,790
	Week 168	8	19,5	8	100,0	38,250	38,355	1	4,3	1	100,0	1,000	NE
	Week 192							1	4,3	1	100,0	8,000	NE
	Week 216							1	4,3	1	100,0	0,000	NE
	End of Study (Discontinued)	5	12,2	3	60,0	15,000	5,000	2	8,7	2	100,0	83,500	2,121

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The VAS pain is scored on a scale of 0-100. Lower scores reflect a better health state.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_VASPAIN_SG.xls

29NOV2019 13:25

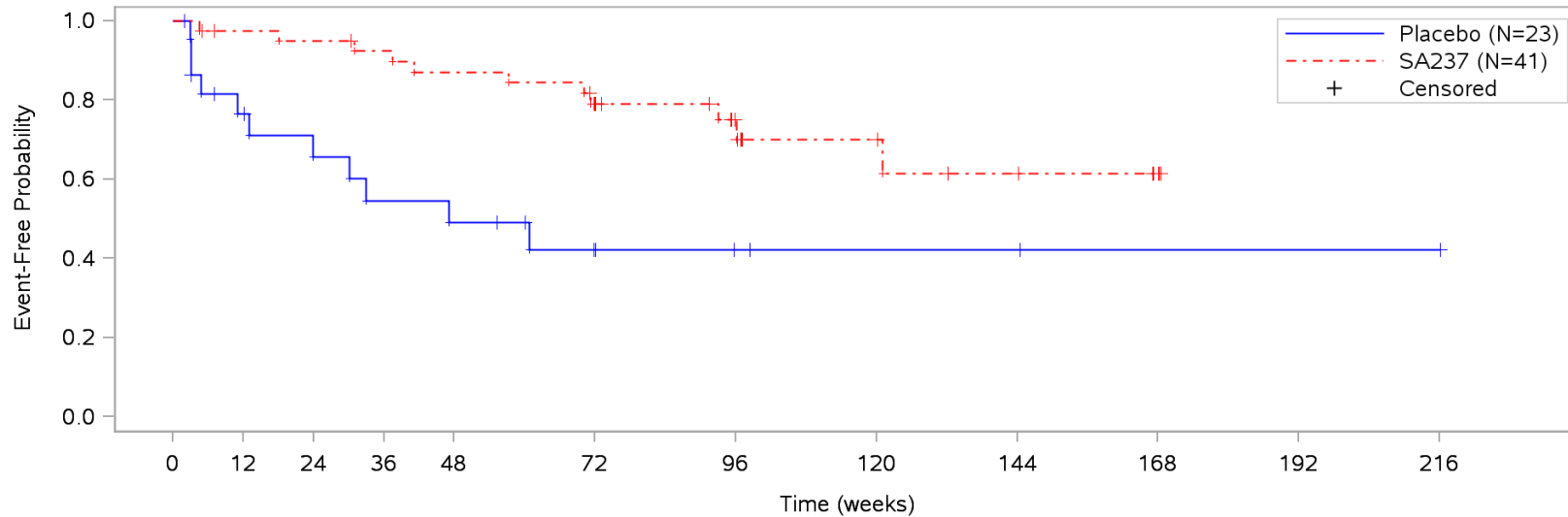
POPULATION: AQP4 Positive Population
 ENDPOINT: Expanded Disability Status Scale (EDSS) Score
 MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))
 STUDY: BM4900
 Time to event analysis (PBO)

SA237														Placebo														SA237 vs. Placebo					
Time To Event														Time To Event														Hazard Ratio					
Subgroup	Level	n	%	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	p-value	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status			
All	n/A	41	100,0	11	26,8	30	73,2	96,1		37,4	NE	NE	121,0	NE	23	100,0	11	47,8	12	52,2	13,0		3,0	33,0	47,1		13,0	NE	0,0124	0,34	0,14	0,82	Convergence criterion (COCONV1=8) satisfied.

* indicates convergence problem. Result is uninterpretable.
 The EDSS is scored on a scale of 0-10. Higher scores represent increased disability. EDSS worsening defined as (a) worsening of 2 or more points in EDSS score for patients with baseline score of 0, (b) worsening of 1 or more points in EDSS score for patients with baseline score of 1 to 5, or (c) worsening of 0.5 points or more in EDSS score for patients with baseline score of 5.5 or more. Patients were censored at the date of the last EDSS assessment in DB or if no EDSS assessment in DB was performed at the randomization date.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/R05333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_tte.sas
 Output: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_tte_309_12OCT2018_AQPF_FWORSDB_ST.xls
 30AUG2020 15:52

POPULATION: AQP4 Positive Population
ENDPOINT: Time to First Worsening, Expanded Disability Status Scale (EDSS) Score
MODEL: --
STUDY: BN40900
Kaplan-Meier plot of time to first worsening (weeks)



Patients at risk		0	12	24	36	48	72	96	120	144	168	192	216
Placebo	23	15	12	10	9	5	3	2	2	1	1	1	1
SA237	41	38	37	35	33	26	15	9	6	3	NE	NE	NE
Patients censored													
Placebo	0	3	4	4	4	7	9	10	10	11	11	11	11
SA237	0	2	2	3	3	8	17	22	24	27	NE	NE	NE

The EDSS is scored on a scale of 0-10. Higher scores represent increased disability. EDSS worsening defined as (a) worsening of 2 or more points in EDSS score for patients with baseline score of 0, (b) worsening of 1 or more points in EDSS score for patients with baseline score of 1 to 5, or (c) worsening of 0.5 points or more in EDSS score for patients with baseline score of 5.5 or more. Patients were censored at the date of the last EDSS assessment in DB or if no EDSS assessment in DB was performed at the randomization date. Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_pro_tte_km.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_tte_km_309_12OCT2018_AQPP_FWORSDB.pdf
 30AUG2020 16:07

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Expanded Disability Status Scale (EDSS) Score

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
Subgroup	Level	N		Statistics		N		Statistics		Statistics				Statistics			
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	41	41	NE	NE	23	23	23	NE	NE	NE	NE	NE	NE	NE	NE

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMean of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The EDSS is scored on a scale of 0-10. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

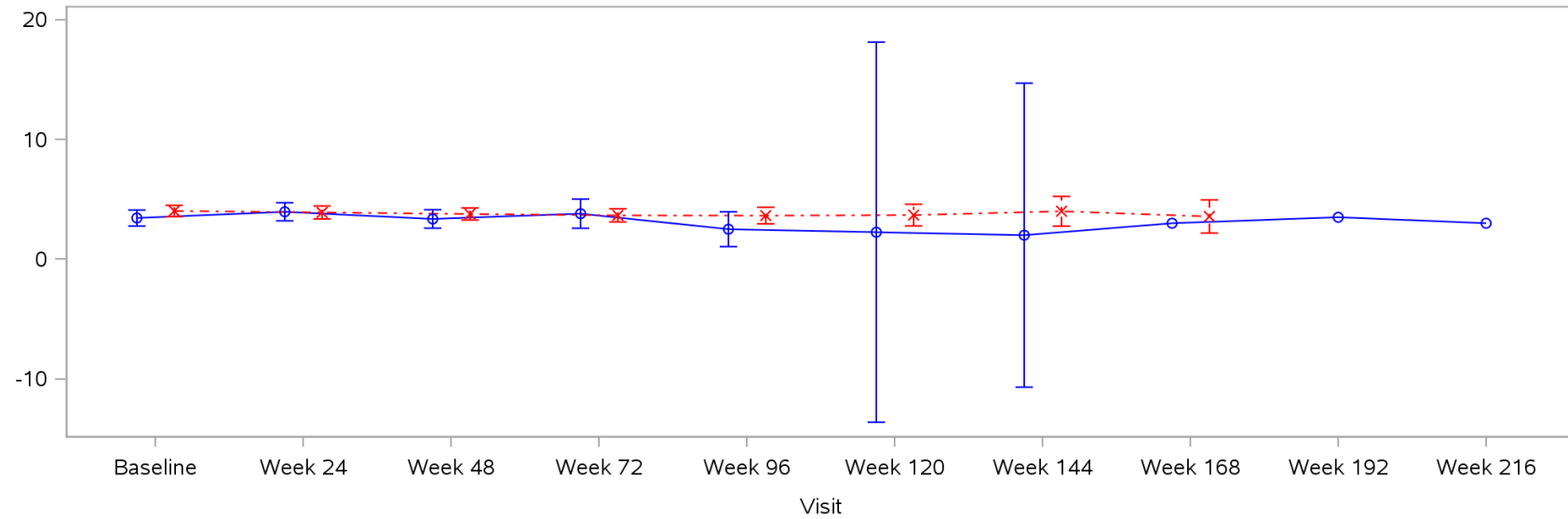
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPF_EDSS_ST.xls

09SEP2020 21:36

POPULATION: AQP4 Positive Population
ENDPOINT: Expanded Disability Status Scale (EDSS) Score
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	23	23	14	10	4	2	2	1	1	1
SA237										
n	41	40	37	32	18	11	8	8	0	0

Treatment Group —○— Placebo (N=23) - - - × - - - SA237 (N=41)

The EDSS is scored on a scale of 0-10. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_EDSS.pdf
 09SEP2020 20:14

POPULATION: AQP4 Positive Population
 ENDPOINT: Expanded Disability Status Scale (EDSS) Score
 MODEL: --
 STUDY: BN40900
 Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100.0	41	100.0	4.024	1.504	23	100.0	23	100.0	3.435	1.547
	Week 24	41	100.0	40	97.6	3.900	1.699	23	100.0	23	100.0	3.957	1.751
	Week 48	37	90.2	37	100.0	3.770	1.517	14	60.9	14	100.0	3.357	1.336
	Week 72	32	78.0	32	100.0	3.656	1.526	11	47.8	10	90.9	3.800	1.703
	Week 96	20	48.8	18	90.0	3.639	1.391	4	17.4	4	100.0	2.500	0.913
	Week 120	11	26.8	11	100.0	3.682	1.347	2	8.7	2	100.0	2.250	1.768
	Week 144	9	22.0	8	88.9	4.000	1.488	2	8.7	2	100.0	2.000	1.414
	Week 168	8	19.5	8	100.0	3.563	1.657	1	4.3	1	100.0	3.000	NE
	Week 192							1	4.3	1	100.0	3.500	NE
	Week 216							1	4.3	1	100.0	3.000	NE
	End of Study (Discontinued)	5	12.2	3	60.0	4.833	1.041	2	8.7	2	100.0	4.750	1.768

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The EDSS is scored on a scale of 0-10. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/R05333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mean.sas

Output: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_EDSS_SG.xls

09SEP2020 19:54

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Functional System Score (FSS): Ambulation Score

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237					Placebo					Difference between Treatments (SA237 vs Placebo)				Effects	
		N			Statistics		N			Statistics		Statistics				Statistics	
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	41	41	NE	NE	23	23	23	NE	NE	NE	NE	NE	NE	NE	NE

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

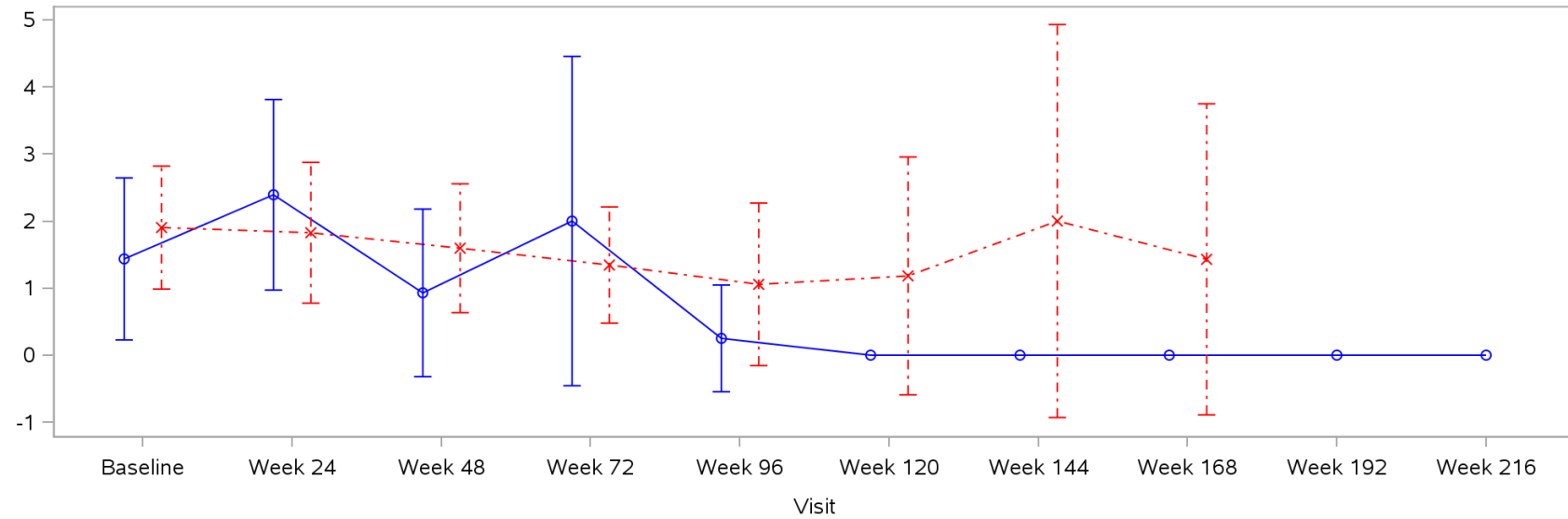
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPP_FSSAMB_ST.xls

09SEP2020 21:36

POPULATION: AQP4 Positive Population
ENDPOINT: Functional System Score (FSS): Ambulation Score
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo									
n	23	23	14	10	4	2	2	1	1
SA237									
n	41	40	37	32	18	11	8	7	0

Treatment Group —○— Placebo (N=23) - - - × - - - SA237 (N=41)

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_FSSAMB.pdf
 09SEP2020 20:14

POPULATION: AQP4 Positive Population
 ENDPOINT: Functional System Score (FSS): Ambulation Score
 MODEL: --
 STUDY: BN40900
 Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
Subgroup Level	Visit	Patients				Statistics		Patients				Statistics	
		in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100.0	41	100.0	1.902	2.905	23	100.0	23	100.0	1.435	2.793
	Week 24	41	100.0	40	97.6	1.825	3.281	23	100.0	23	100.0	2.391	3.285
	Week 48	37	90.2	37	100.0	1.595	2.882	14	60.9	14	100.0	0.929	2.165
	Week 72	32	78.0	32	100.0	1.344	2.404	11	47.8	10	90.9	2.000	3.432
	Week 96	20	48.8	18	90.0	1.056	2.437	4	17.4	4	100.0	0.250	0.500
	Week 120	11	26.8	11	100.0	1.182	2.639	2	8.7	2	100.0	0.000	0.000
	Week 144	9	22.0	8	88.9	2.000	3.505	2	8.7	2	100.0	0.000	0.000
	Week 168	8	19.5	7	87.5	1.429	2.507	1	4.3	1	100.0	0.000	NE
	Week 192							1	4.3	1	100.0	0.000	NE
	Week 216							1	4.3	1	100.0	0.000	NE
	End of Study (Discontinued)	5	12.2	3	60.0	2.000	3.464	2	8.7	2	100.0	2.500	3.536

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_FSSAMB_SG.xls

09SEP2020 19:54

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Functional System Score (FSS): Bowel and Bladder Score

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
		N		Statistics		N		Statistics		Statistics				Statistics			
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	41	41	NE	NE	23	23	23	NE	NE	NE	NE	NE	NE	NE	NE

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

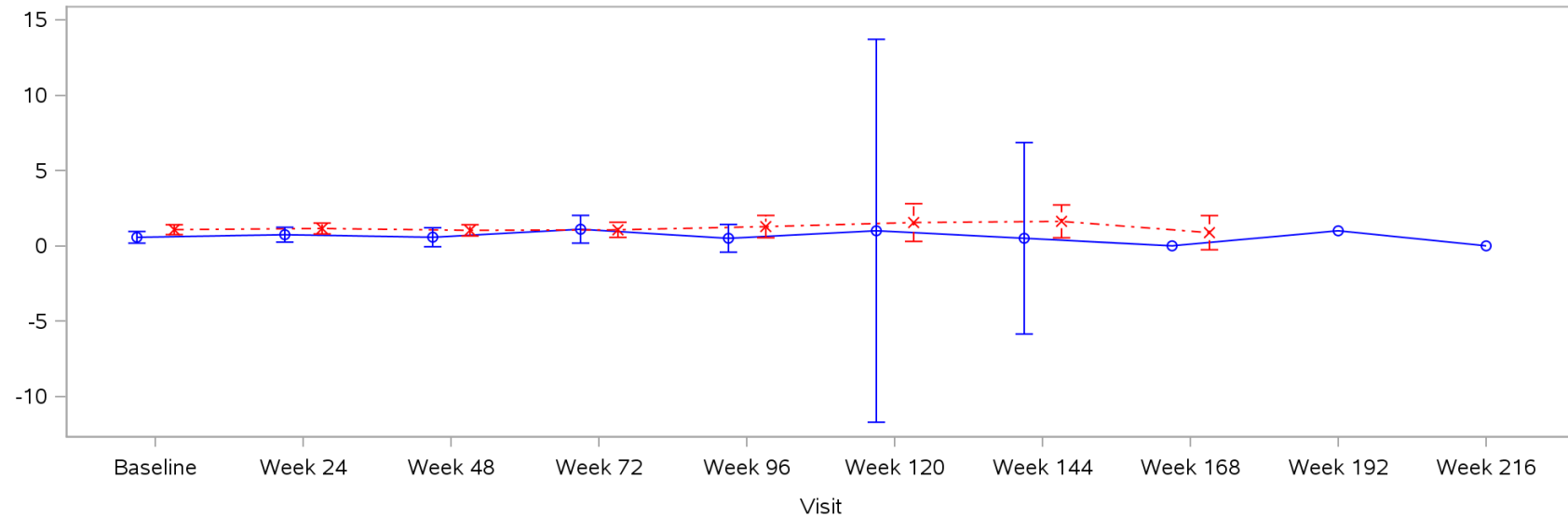
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPP_FSSBOW_ST.xls

09SEP2020 21:35

POPULATION: AQP4 Positive Population
ENDPOINT: Functional System Score (FSS): Bowel and Bladder Score
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	23	23	14	10	4	2	2	1	1	1
SA237										
n	41	40	37	32	18	11	8	8	0	0

Treatment Group —○— Placebo (N=23) - -x- - SA237 (N=41)

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_FSSBOW.pdf
 09SEP2020 20:12

POPULATION: AQP4 Positive Population
 ENDPOINT: Functional System Score (FSS): Bowel and Bladder Score
 MODEL: --
 STUDY: BN40900
 Compliance/Mean

Subgroup Level	Visit	SA237 (N=41)						Placebo (N=23)					
		Patients				Statistics		Patients				Statistics	
		in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100.0	41	100.0	1.073	1.034	23	100.0	23	100.0	0.565	0.896
	Week 24	41	100.0	40	97.6	1.150	1.122	23	100.0	23	100.0	0.739	1.137
	Week 48	37	90.2	37	100.0	1.027	1.118	14	60.9	14	100.0	0.571	1.089
	Week 72	32	78.0	32	100.0	1.063	1.390	11	47.8	10	90.9	1.100	1.287
	Week 96	20	48.8	18	90.0	1.278	1.487	4	17.4	4	100.0	0.500	0.577
	Week 120	11	26.8	11	100.0	1.545	1.864	2	8.7	2	100.0	1.000	1.414
	Week 144	9	22.0	8	88.9	1.625	1.302	2	8.7	2	100.0	0.500	0.707
	Week 168	8	19.5	8	100.0	0.875	1.356	1	4.3	1	100.0	0.000	NE
	Week 192							1	4.3	1	100.0	1.000	NE
	Week 216							1	4.3	1	100.0	0.000	NE
	End of Study (Discontinued)	5	12.2	3	60.0	0.667	0.577	2	8.7	2	100.0	1.500	0.707

¹ in study: number of subjects in study at respective visit; % based on baseline.
 with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.
² mean: descriptive statistics - absolute values.
 The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_FSSBOW_SG.xls
 09SEP2020 19:52

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Functional System Score (FSS): Brainstem Score

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237					Placebo					Difference between Treatments (SA237 vs Placebo)				Effects	
		N			Statistics		N			Statistics		Statistics				Statistics	
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	41	41	NE	NE	23	23	23	NE	NE	NE	NE	NE	NE	NE	NE

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

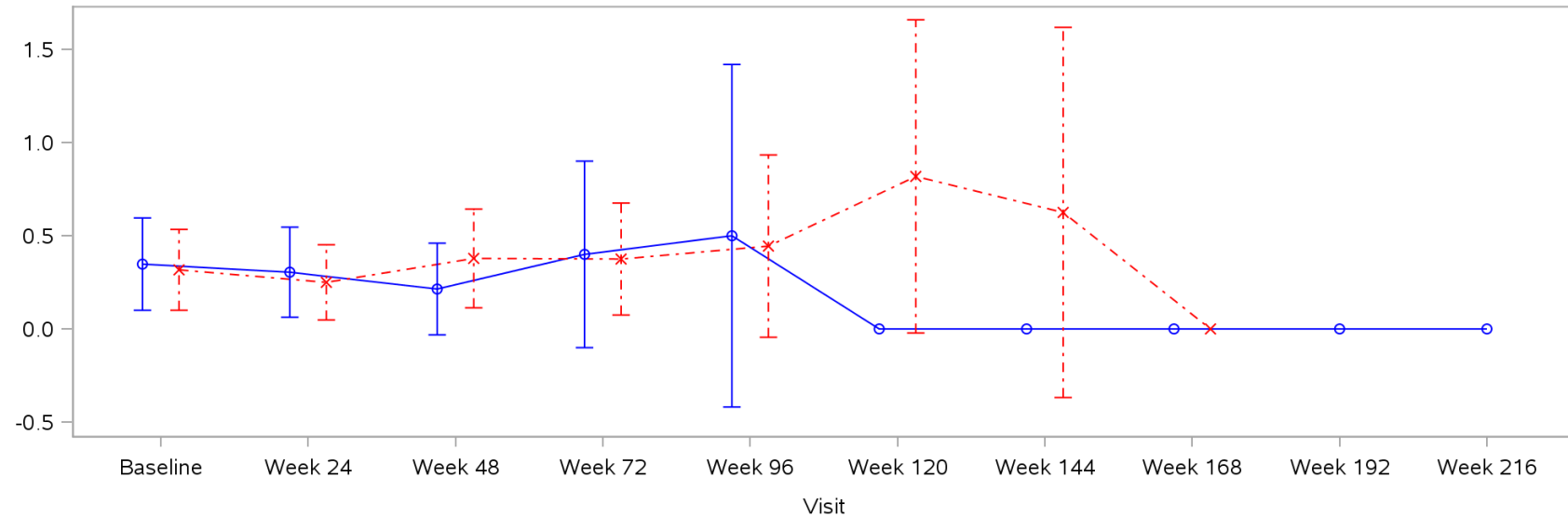
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPP_FSSBRS_ST.xls

09SEP2020 21:33

POPULATION: AQP4 Positive Population
ENDPOINT: Functional System Score (FSS): Brainstem Score
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	23	23	14	10	4	2	2	1	1	1
SA237										
n	41	40	37	32	18	11	8	8	0	0

Treatment Group —○— Placebo (N=23) - - - × - - - SA237 (N=41)

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_FSSBRS.pdf
 09SEP2020 20:11

POPULATION: AQP4 Positive Population
 ENDPOINT: Functional System Score (FSS): Brainstem Score
 MODEL: --
 STUDY: BN40900
 Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
Subgroup Level	Visit	Patients				Statistics		Patients				Statistics	
		in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100.0	41	100.0	0.317	0.687	23	100.0	23	100.0	0.348	0.573
	Week 24	41	100.0	40	97.6	0.250	0.630	23	100.0	23	100.0	0.304	0.559
	Week 48	37	90.2	37	100.0	0.378	0.794	14	60.9	14	100.0	0.214	0.426
	Week 72	32	78.0	32	100.0	0.375	0.833	11	47.8	10	90.9	0.400	0.699
	Week 96	20	48.8	18	90.0	0.444	0.984	4	17.4	4	100.0	0.500	0.577
	Week 120	11	26.8	11	100.0	0.818	1.250	2	8.7	2	100.0	0.000	0.000
	Week 144	9	22.0	8	88.9	0.625	1.188	2	8.7	2	100.0	0.000	0.000
	Week 168	8	19.5	8	100.0	0.000	0.000	1	4.3	1	100.0	0.000	NE
	Week 192							1	4.3	1	100.0	0.000	NE
	Week 216							1	4.3	1	100.0	0.000	NE
	End of Study (Discontinued)	5	12.2	3	60.0	0.333	0.577	2	8.7	2	100.0	0.000	0.000

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_FSSBRS_SG.xls

09SEP2020 19:51

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Functional System Score (FSS): Cerebellar Score

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237					Placebo					Difference between Treatments (SA237 vs Placebo)				Effects	
		N			Statistics		N			Statistics		Statistics				Statistics	
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	41	41	-0.370	0.216	23	23	23	-0.057	0.264	-0.313	0.255	-0.825	0.199	0.2261	0.4902

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

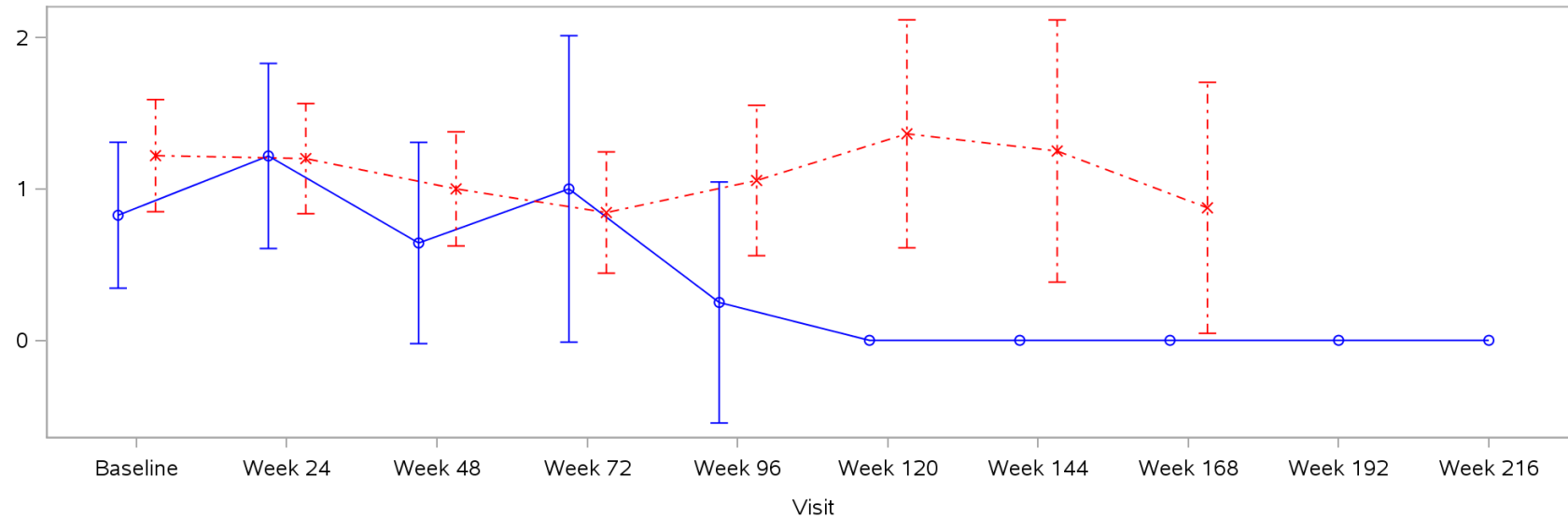
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPP_FSSCER_ST.xls

09SEP2020 21:33

POPULATION: AQP4 Positive Population
ENDPOINT: Functional System Score (FSS): Cerebellar Score
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo									
n	23	23	14	10	4	2	2	1	1
SA237									
n	41	40	37	32	18	11	8	8	0

Treatment Group —○— Placebo (N=23) - -x- - SA237 (N=41)

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_FSSCER.pdf
 09SEP2020 20:10

POPULATION: AQP4 Positive Population
 ENDPOINT: Functional System Score (FSS): Cerebellar Score
 MODEL: --
 STUDY: BN40900
 Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
Subgroup Level	Visit	Patients				Statistics		Patients				Statistics	
		in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100.0	41	100.0	1.220	1.173	23	100.0	23	100.0	0.826	1.114
	Week 24	41	100.0	40	97.6	1.200	1.137	23	100.0	23	100.0	1.217	1.413
	Week 48	37	90.2	37	100.0	1.000	1.130	14	60.9	14	100.0	0.643	1.151
	Week 72	32	78.0	32	100.0	0.844	1.110	11	47.8	10	90.9	1.000	1.414
	Week 96	20	48.8	18	90.0	1.056	0.998	4	17.4	4	100.0	0.250	0.500
	Week 120	11	26.8	11	100.0	1.364	1.120	2	8.7	2	100.0	0.000	0.000
	Week 144	9	22.0	8	88.9	1.250	1.035	2	8.7	2	100.0	0.000	0.000
	Week 168	8	19.5	8	100.0	0.875	0.991	1	4.3	1	100.0	0.000	NE
	Week 192							1	4.3	1	100.0	0.000	NE
	Week 216							1	4.3	1	100.0	0.000	NE
	End of Study (Discontinued)	5	12.2	3	60.0	1.000	1.000	2	8.7	2	100.0	1.500	2.121

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_FSSCER_SG.xls

09SEP2020 19:50

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Functional System Score (FSS): Pyramidal Score

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237					Placebo					Difference between Treatments (SA237 vs Placebo)				Effects	
		N			Statistics		N			Statistics		Statistics				Statistics	
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CI	95% Upper CI	p-value (treatment)	p-value (visit)
All	n/a	41	41	41	-0.356	0.219	23	23	23	-0.225	0.226	-0.131	0.211	-0.554	0.292	0.5381	0.4684

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

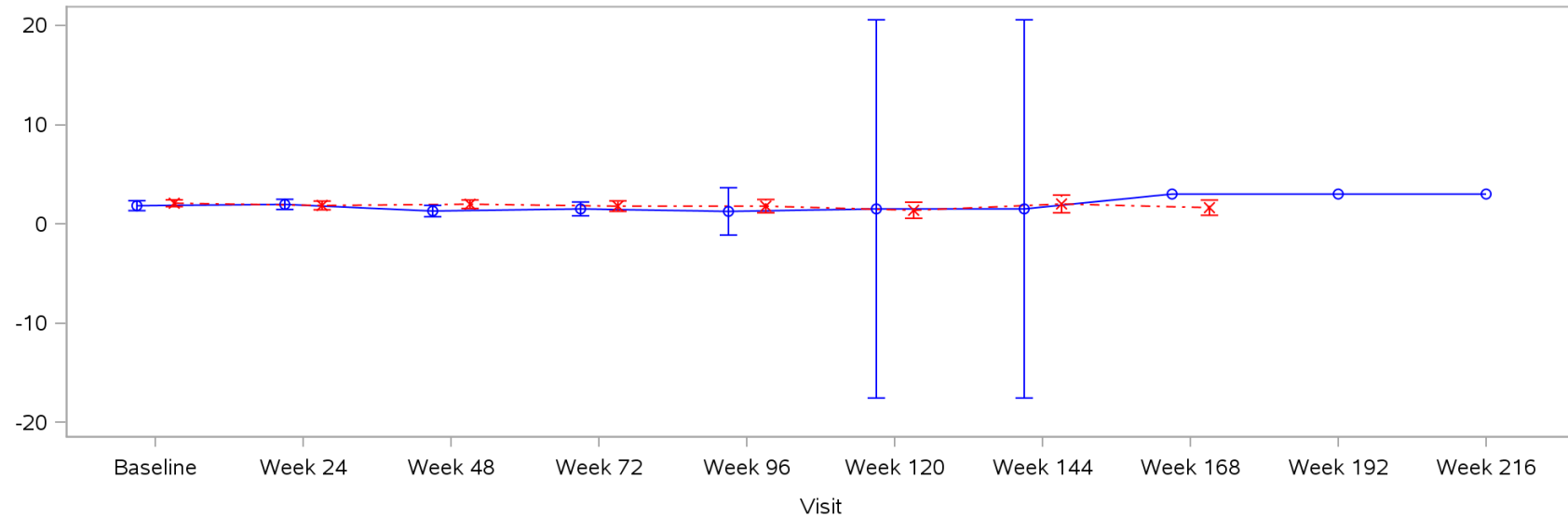
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPP_FSSPYR_ST.xls

09SEP2020 21:32

POPULATION: AQP4 Positive Population
ENDPOINT: Functional System Score (FSS): Pyramidal Score
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	23	23	14	10	4	2	2	1	1	1
SA237										
n	41	40	37	32	18	11	8	8	0	0

Treatment Group —○— Placebo (N=23) - - - × - - - SA237 (N=41)

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_FSSPYR.pdf
 09SEP2020 20:09

POPULATION: AQP4 Positive Population
 ENDPOINT: Functional System Score (FSS): Pyramidal Score
 MODEL: --
 STUDY: BN40900
 Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
Subgroup Level	Visit	Patients				Statistics		Patients				Statistics	
		in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100.0	41	100.0	2.073	1.081	23	100.0	23	100.0	1.826	1.154
	Week 24	41	100.0	40	97.6	1.850	1.369	23	100.0	23	100.0	1.957	1.186
	Week 48	37	90.2	37	100.0	1.973	1.280	14	60.9	14	100.0	1.286	0.994
	Week 72	32	78.0	32	100.0	1.781	1.453	11	47.8	10	90.9	1.500	0.972
	Week 96	20	48.8	18	90.0	1.778	1.353	4	17.4	4	100.0	1.250	1.500
	Week 120	11	26.8	11	100.0	1.364	1.206	2	8.7	2	100.0	1.500	2.121
	Week 144	9	22.0	8	88.9	2.000	1.069	2	8.7	2	100.0	1.500	2.121
	Week 168	8	19.5	8	100.0	1.625	0.916	1	4.3	1	100.0	3.000	NE
	Week 192							1	4.3	1	100.0	3.000	NE
	Week 216							1	4.3	1	100.0	3.000	NE
	End of Study (Discontinued)	5	12.2	3	60.0	1.333	1.155	2	8.7	2	100.0	2.000	1.414

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_FSSPYR_SG.xls

09SEP2020 19:50

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Functional System Score (FSS): Sensory Score

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
		N		Statistics		N		Statistics		Statistics				Statistics			
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	41	41	NE	NE	23	23	23	NE	NE	NE	NE	NE	NE	NE	NE

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

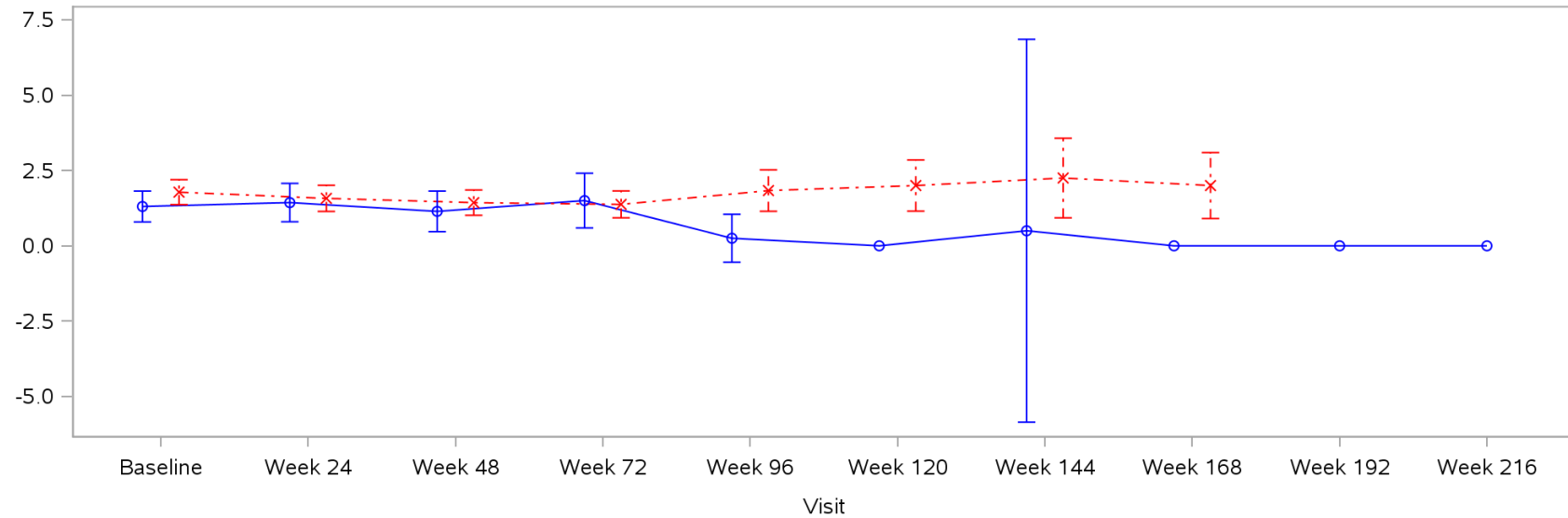
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPP_FSSSEN_ST.xls

09SEP2020 21:34

POPULATION: AQP4 Positive Population
ENDPOINT: Functional System Score (FSS): Sensory Score
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	23	23	14	10	4	2	2	1	1	1
SA237										
n	41	40	37	32	18	11	8	8	0	0

Treatment Group —○— Placebo (N=23) - - - * - - - SA237 (N=41)

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_FSSSEN.pdf
 09SEP2020 20:12

POPULATION: AQP4 Positive Population
 ENDPOINT: Functional System Score (FSS): Sensory Score
 MODEL: --
 STUDY: BN40900
 Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
Subgroup Level	Visit	Patients				Statistics		Patients				Statistics	
		in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100.0	41	100.0	1.780	1.314	23	100.0	23	100.0	1.304	1.185
	Week 24	41	100.0	40	97.6	1.575	1.357	23	100.0	23	100.0	1.435	1.472
	Week 48	37	90.2	37	100.0	1.432	1.259	14	60.9	14	100.0	1.143	1.167
	Week 72	32	78.0	32	100.0	1.375	1.238	11	47.8	10	90.9	1.500	1.269
	Week 96	20	48.8	18	90.0	1.833	1.383	4	17.4	4	100.0	0.250	0.500
	Week 120	11	26.8	11	100.0	2.000	1.265	2	8.7	2	100.0	0.000	0.000
	Week 144	9	22.0	8	88.9	2.250	1.581	2	8.7	2	100.0	0.500	0.707
	Week 168	8	19.5	8	100.0	2.000	1.309	1	4.3	1	100.0	0.000	NE
	Week 192							1	4.3	1	100.0	0.000	NE
	Week 216							1	4.3	1	100.0	0.000	NE
	End of Study (Discontinued)	5	12.2	3	60.0	1.000	1.732	2	8.7	2	100.0	2.000	0.000

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_FSSSEN_SG.xls

09SEP2020 19:52

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Functional System Score (FSS): Visual Score

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
		N		Statistics		N		Statistics		Statistics				Statistics			
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	41	41	-0.244	0.250	23	23	23	0.273	0.310	-0.517	0.320	-1.167	0.133	0.1154	0.5352

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

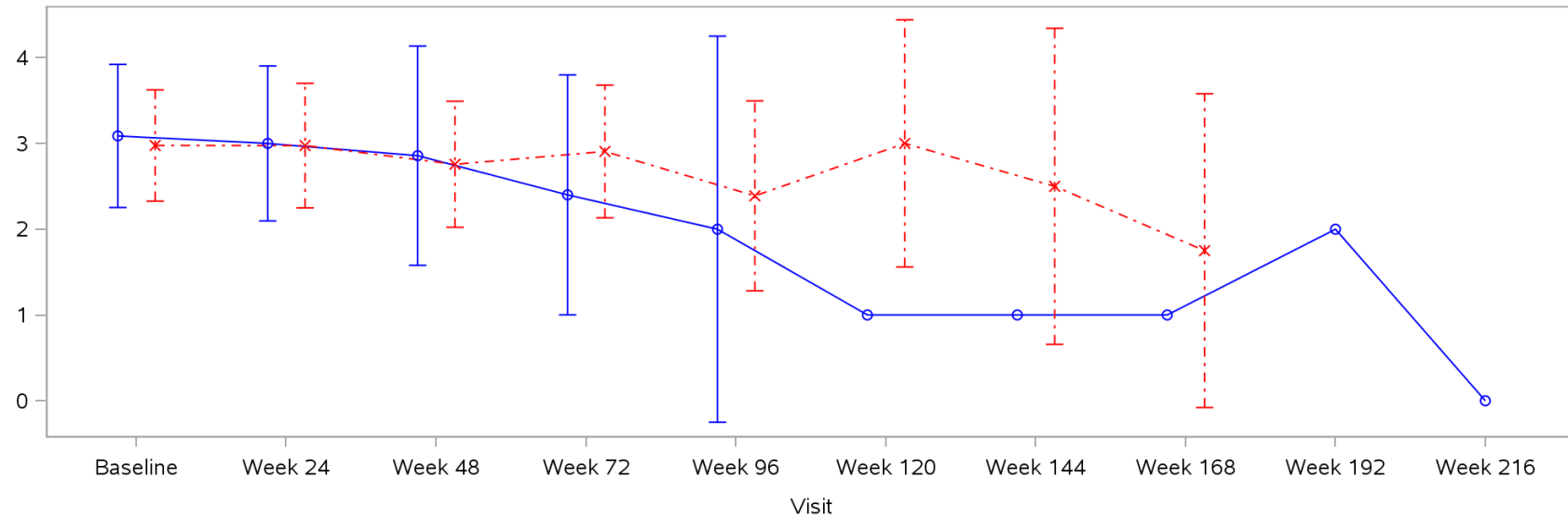
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrms.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrms_309_12OCT2018_AQPP_FSSVIS_ST.xls

09SEP2020 21:35

POPULATION: AQP4 Positive Population
ENDPOINT: Functional System Score (FSS): Visual Score
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	23	23	14	10	4	2	2	1	1	1
SA237										
n	41	40	37	32	18	11	8	8	0	0

Treatment Group —○— Placebo (N=23) - -x- - SA237 (N=41)

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_FSSVIS.pdf
 09SEP2020 20:13

POPULATION: AQP4 Positive Population
 ENDPOINT: Functional System Score (FSS): Visual Score
 MODEL: --
 STUDY: BN40900
 Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
Subgroup Level	Visit	Patients				Statistics		Patients				Statistics	
		in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100.0	41	100.0	2.976	2.055	23	100.0	23	100.0	3.087	1.929
	Week 24	41	100.0	40	97.6	2.975	2.270	23	100.0	23	100.0	3.000	2.089
	Week 48	37	90.2	37	100.0	2.757	2.204	14	60.9	14	100.0	2.857	2.214
	Week 72	32	78.0	32	100.0	2.906	2.146	11	47.8	10	90.9	2.400	1.955
	Week 96	20	48.8	18	90.0	2.389	2.227	4	17.4	4	100.0	2.000	1.414
	Week 120	11	26.8	11	100.0	3.000	2.145	2	8.7	2	100.0	1.000	0.000
	Week 144	9	22.0	8	88.9	2.500	2.204	2	8.7	2	100.0	1.000	0.000
	Week 168	8	19.5	8	100.0	1.750	2.188	1	4.3	1	100.0	1.000	NE
	Week 192							1	4.3	1	100.0	2.000	NE
	Week 216							1	4.3	1	100.0	0.000	NE
	End of Study (Discontinued)	5	12.2	3	60.0	5.333	1.155	2	8.7	2	100.0	4.000	1.414

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_FSSVIS_SG.xls

09SEP2020 19:53

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Speed of Timed 25-Foot Walk (T25W)

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
Subgroup	Level	N		Statistics		N		Statistics		Statistics				Statistics			
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	41	37	0,009	0,008	23	22	14	0,012	0,009	-0,003	0,009	-0,022	0,016	0,7357	<.0001

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

Speed is calculated as 1/Timed 25-Foot Walk where time is measured in seconds.

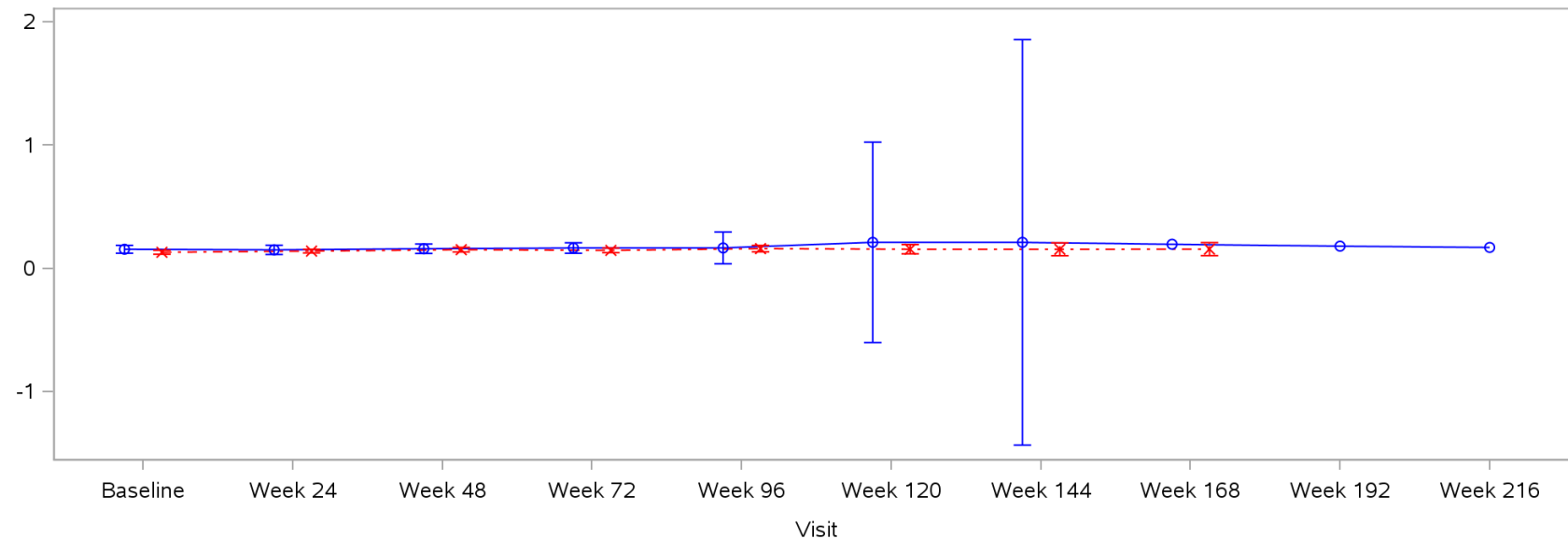
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPP_T25W_ST.xls

08SEP2020 22:01

POPULATION: AQP4 Positive Population
ENDPOINT: Speed of Timed 25-Foot Walk (T25W)
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	22	14	12	8	4	2	2	1	1	1
SA237										
n	41	36	32	31	20	11	9	7	0	0

Treatment Group —○— Placebo (N=23) - - - × - - - SA237 (N=41)

Speed is calculated as 1/Timed 25-Foot Walk where time is measured in seconds.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_T25W.pdf
 29NOV2019 16:01

POPULATION: AQP4 Positive Population
 ENDPOINT: Speed of Timed 25-Foot Walk (T25W)
 MODEL: --
 STUDY: BN40900
 Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100,0	41	100,0	0,129	0,051	23	100,0	22	95,7	0,154	0,072
	Week 24	37	90,2	36	97,3	0,139	0,044	14	60,9	14	100,0	0,149	0,065
	Week 48	33	80,5	32	97,0	0,150	0,052	12	52,2	12	100,0	0,158	0,060
	Week 72	31	75,6	31	100,0	0,145	0,051	8	34,8	8	100,0	0,164	0,051
	Week 96	20	48,8	20	100,0	0,159	0,056	4	17,4	4	100,0	0,164	0,081
	Week 120	11	26,8	11	100,0	0,154	0,056	2	8,7	2	100,0	0,210	0,091
	Week 144	9	22,0	9	100,0	0,154	0,068	2	8,7	2	100,0	0,209	0,183
	Week 168	8	19,5	7	87,5	0,155	0,057	1	4,3	1	100,0	0,194	NE
	Week 192							1	4,3	1	100,0	0,179	NE
	Week 216							1	4,3	1	100,0	0,168	NE
	End of Study (Discontinued)	5	12,2	2	40,0	0,171	0,053	2	8,7	2	100,0	0,122	0,078

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

Speed is calculated as 1/Timed 25-Foot Walk where time is measured in seconds.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_T25W_SG.xls

29NOV2019 13:30

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, EuroQoL-5D (EQ-5D): VAS Score

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237					Placebo					Difference between Treatments (SA237 vs Placebo)				Effects	
		N			Statistics		N			Statistics		Statistics				Statistics	
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	40	36	-0,865	4,090	23	22	13	1,834	6,691	-2,699	7,310	-17,411	12,012	0,7136	0,7927

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The EQ-5D VAS is scored on a scale of 0-100. Higher scores reflect a better health state.

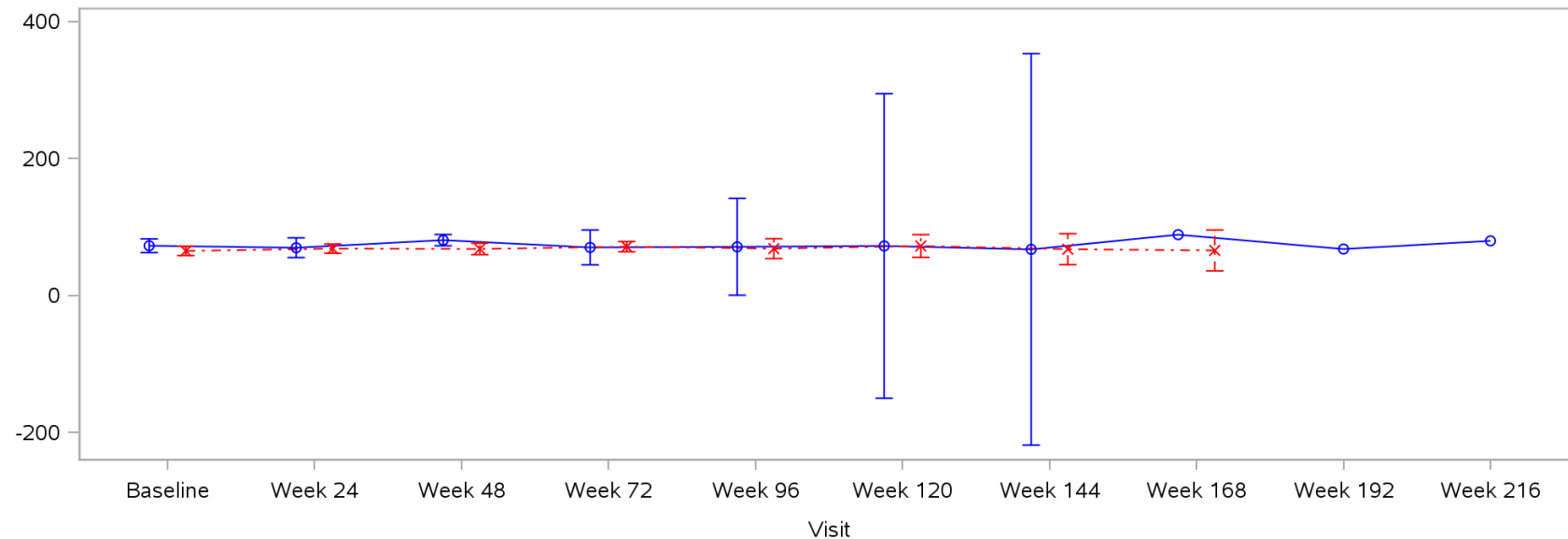
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPP_EQ5DVAS_ST.xls

08SEP2020 22:00

POPULATION: AQP4 Positive Population
ENDPOINT: EuroQoL-5D (EQ-5D): VAS Score
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	22	14	12	8	4	2	2	1	1	1
SA237										
n	40	37	33	31	20	11	9	8	0	0

Treatment Group —○— Placebo (N=23) - - - × - - - SA237 (N=41)

The EQ-5D VAS is scored on a scale of 0-100. Higher scores reflect a better health state.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_EQ5DVAS.pdf
 29NOV2019 16:01

POPULATION: AQP4 Positive Population
 ENDPOINT: EuroQoL-5D (EQ-5D): VAS Score
 MODEL: --
 STUDY: BN40900
 Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100,0	40	97,6	65,250	21,066	23	100,0	22	95,7	72,818	22,652
	Week 24	37	90,2	37	100,0	68,622	20,213	14	60,9	14	100,0	69,929	24,975
	Week 48	33	80,5	33	100,0	68,152	23,606	12	52,2	12	100,0	81,000	12,976
	Week 72	31	75,6	31	100,0	71,484	20,420	8	34,8	8	100,0	70,375	30,463
	Week 96	20	48,8	20	100,0	68,600	30,979	4	17,4	4	100,0	71,250	44,418
	Week 120	11	26,8	11	100,0	72,455	24,724	2	8,7	2	100,0	72,500	24,749
	Week 144	9	22,0	9	100,0	67,778	29,474	2	8,7	2	100,0	67,500	31,820
	Week 168	8	19,5	8	100,0	66,000	35,701	1	4,3	1	100,0	89,000	NE
	Week 192							1	4,3	1	100,0	68,000	NE
	Week 216							1	4,3	1	100,0	80,000	NE
	End of Study (Discontinued)	5	12,2	3	60,0	56,667	44,814	2	8,7	2	100,0	47,000	18,385

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The EQ-5D VAS is scored on a scale of 0-100. Higher scores reflect a better health state.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas

Output: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_EQ5DVAS_SG.xls

29NOV2019 13:30

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Short Form Generic Health Survey (SF-36): Physical Health Component

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
		N		Statistics		N		Statistics		Statistics				Statistics			
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	41	37	5,843	1,425	23	23	14	7,388	1,908	-1,545	2,029	-5,644	2,554	0,4508	0,0807

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

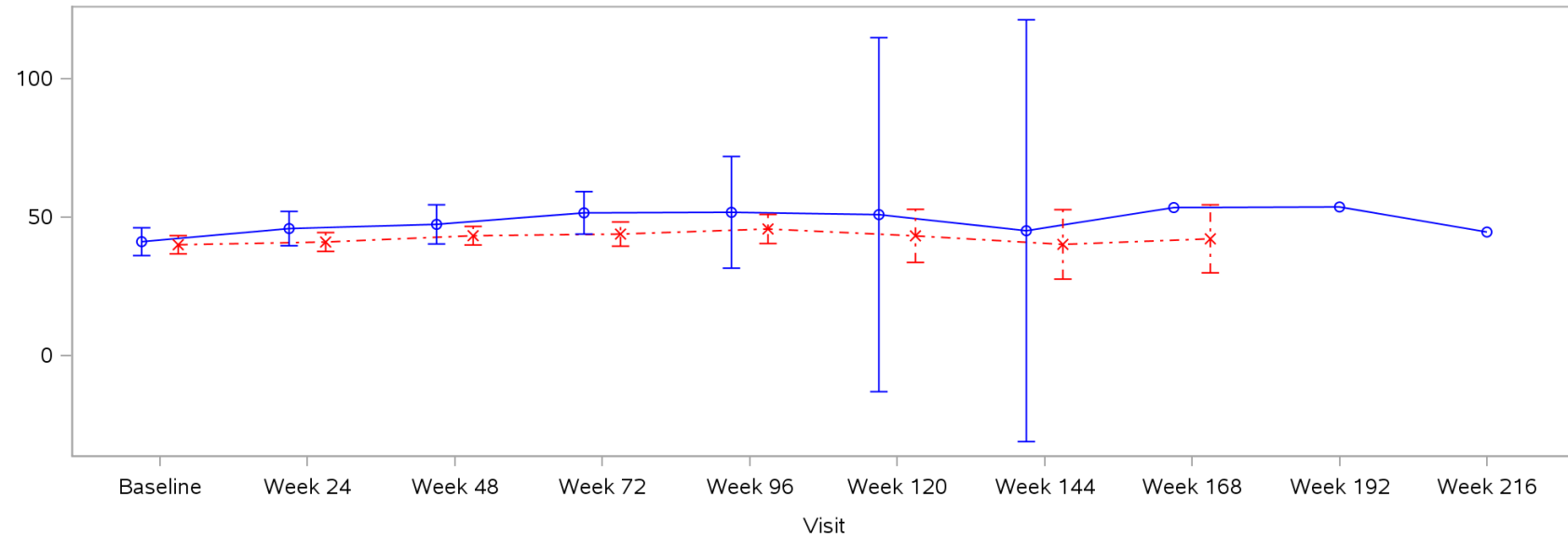
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPP_SF36PCS_ST.xls

08SEP2020 21:54

POPULATION: AQP4 Positive Population
ENDPOINT: Short Form Generic Health Survey (SF-36): Physical Health Component
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	23	14	12	8	4	2	2	1	1	1
SA237										
n	41	37	33	31	20	11	9	8	0	0

Treatment Group —○— Placebo (N=23) - - - * - - - SA237 (N=41)

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_SF36PCS.pdf
 29NOV2019 15:55

POPULATION: AQP4 Positive Population

ENDPOINT: Short Form Generic Health Survey (SF-36): Physical Health Component

MODEL: --

STUDY: BN40900

Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100,0	41	100,0	39,963	10,434	23	100,0	23	100,0	41,070	11,619
	Week 24	37	90,2	37	100,0	40,954	10,239	14	60,9	14	100,0	45,816	10,735
	Week 48	33	80,5	33	100,0	43,231	9,475	12	52,2	12	100,0	47,330	11,145
	Week 72	31	75,6	31	100,0	43,815	11,904	8	34,8	8	100,0	51,488	9,187
	Week 96	20	48,8	20	100,0	45,661	11,238	4	17,4	4	100,0	51,683	12,684
	Week 120	11	26,8	11	100,0	43,174	14,275	2	8,7	2	100,0	50,835	7,121
	Week 144	9	22,0	9	100,0	40,094	16,333	2	8,7	2	100,0	45,040	8,485
	Week 168	8	19,5	8	100,0	42,104	14,707	1	4,3	1	100,0	53,410	NE
	Week 192							1	4,3	1	100,0	53,620	NE
	Week 216							1	4,3	1	100,0	44,570	NE
	End of Study (Discontinued)	5	12,2	3	60,0	33,310	7,972	2	8,7	2	100,0	36,950	6,421

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_SF36PCS_SG.xls

29NOV2019 13:26

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Short Form Generic Health Survey (SF-36): Mental Health Component

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)					Effects		
		N		Statistics		N		Statistics		Statistics					Statistics		
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	41	37	5,798	1,791	23	23	14	-6,045	2,931	11,843	3,128	5,381	18,306	0,0009	0,1725

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

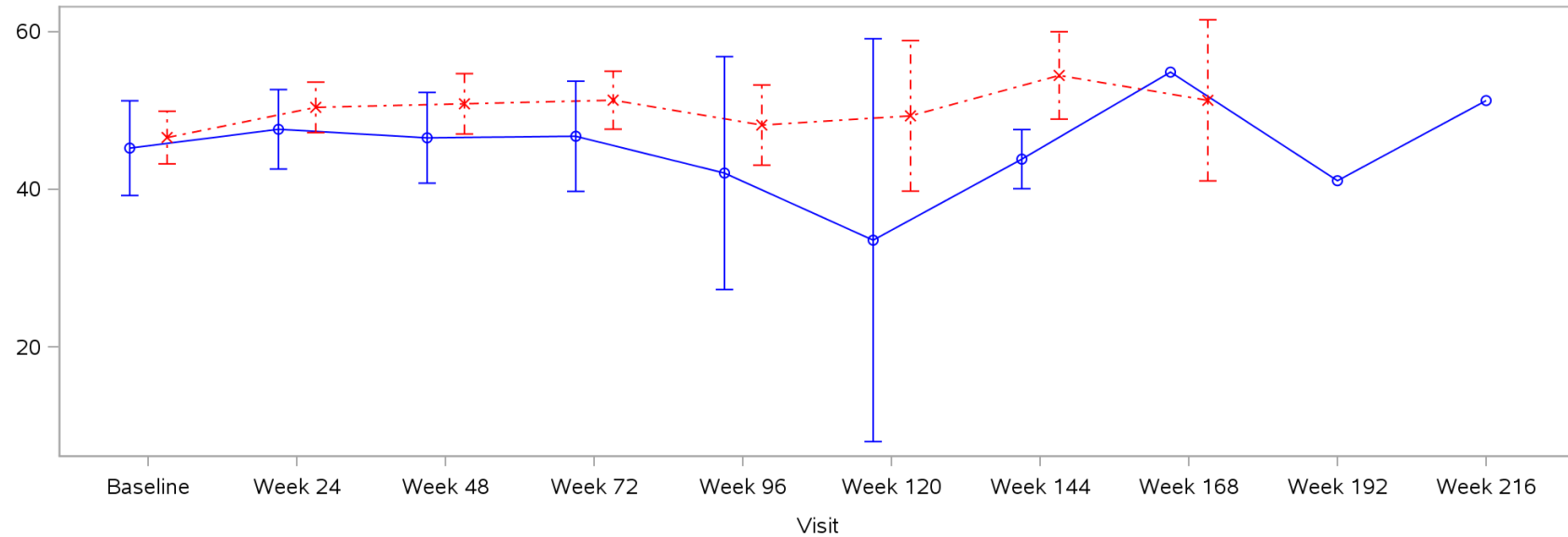
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPP_SF36MCS_ST.xls

08SEP2020 21:54

POPULATION: AQP4 Positive Population
ENDPOINT: Short Form Generic Health Survey (SF-36): Mental Health Component
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	23	14	12	8	4	2	2	1	1	1
SA237										
n	41	37	33	31	20	11	9	8	0	0

Treatment Group —○— Placebo (N=23) - - - × - - - SA237 (N=41)

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_SF36MCS.pdf
 29NOV2019 15:56

POPULATION: AQP4 Positive Population

ENDPOINT: Short Form Generic Health Survey (SF-36): Mental Health Component

MODEL: --

STUDY: BN40900

Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100,0	41	100,0	46,543	10,575	23	100,0	23	100,0	45,200	13,882
	Week 24	37	90,2	37	100,0	50,369	9,606	14	60,9	14	100,0	47,586	8,726
	Week 48	33	80,5	33	100,0	50,819	10,794	12	52,2	12	100,0	46,508	9,052
	Week 72	31	75,6	31	100,0	51,278	10,024	8	34,8	8	100,0	46,704	8,355
	Week 96	20	48,8	20	100,0	48,125	10,859	4	17,4	4	100,0	42,035	9,281
	Week 120	11	26,8	11	100,0	49,292	14,207	2	8,7	2	100,0	33,530	2,843
	Week 144	9	22,0	9	100,0	54,417	7,207	2	8,7	2	100,0	43,805	0,417
	Week 168	8	19,5	8	100,0	51,259	12,215	1	4,3	1	100,0	54,840	NE
	Week 192							1	4,3	1	100,0	41,070	NE
	Week 216							1	4,3	1	100,0	51,230	NE
	End of Study (Discontinued)	5	12,2	3	60,0	50,447	7,490	2	8,7	2	100,0	32,560	2,432

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_SF36MCS_SG.xls

29NOV2019 13:26

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Short Form Generic Health Survey (SF-36): Vitality Domain Score

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
Subgroup	Level	N		Statistics		N		Statistics		Statistics				Statistics			
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	41	37	7,734	2,386	23	23	14	2,508	2,987	5,226	3,016	-1,132	11,584	0,1011	0,4379

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

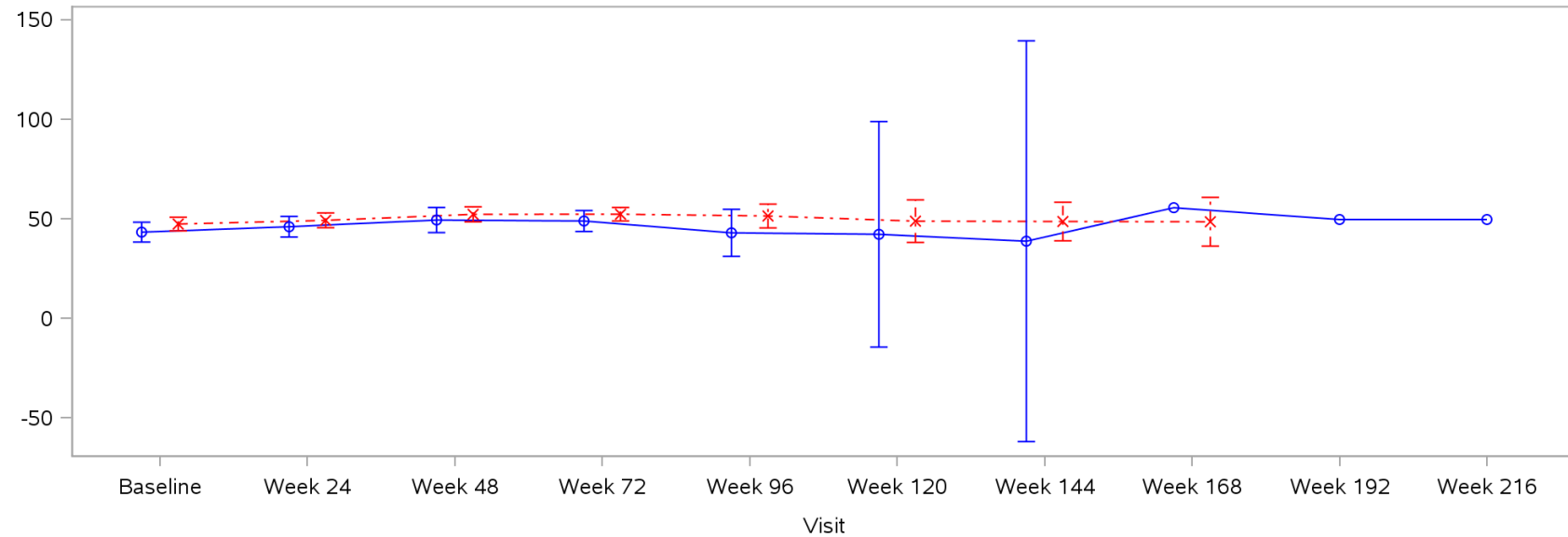
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPP_SF36VTY_ST.xls

08SEP2020 21:55

POPULATION: AQP4 Positive Population
ENDPOINT: Short Form Generic Health Survey (SF-36): Vitality Domain Score
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	23	14	12	8	4	2	2	1	1	1
SA237										
n	41	37	33	31	20	11	9	8	0	0

Treatment Group —○— Placebo (N=23) - - - × - - - SA237 (N=41)

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_SF36VTY.pdf
 29NOV2019 15:56

POPULATION: AQP4 Positive Population

ENDPOINT: Short Form Generic Health Survey (SF-36): Vitality Domain Score

MODEL: --

STUDY: BN40900

Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100,0	41	100,0	47,358	10,846	23	100,0	23	100,0	43,299	11,518
	Week 24	37	90,2	37	100,0	49,226	11,141	14	60,9	14	100,0	46,021	8,965
	Week 48	33	80,5	33	100,0	52,239	10,601	12	52,2	12	100,0	49,380	9,932
	Week 72	31	75,6	31	100,0	52,312	9,214	8	34,8	8	100,0	48,888	6,300
	Week 96	20	48,8	20	100,0	51,410	12,801	4	17,4	4	100,0	42,945	7,430
	Week 120	11	26,8	11	100,0	48,818	15,891	2	8,7	2	100,0	42,200	6,307
	Week 144	9	22,0	9	100,0	48,637	12,604	2	8,7	2	100,0	38,735	11,208
	Week 168	8	19,5	8	100,0	48,511	14,635	1	4,3	1	100,0	55,570	NE
	Week 192							1	4,3	1	100,0	49,630	NE
	Week 216							1	4,3	1	100,0	49,630	NE
	End of Study (Discontinued)	5	12,2	3	60,0	44,680	3,429	2	8,7	2	100,0	36,260	6,307

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_SF36VTY_SG.xls

29NOV2019 13:27

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Short Form Generic Health Survey (SF-36): Physical Functioning Domain Score

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
		N		Statistics		N		Statistics		Statistics				Statistics			
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	41	37	7,069	1,255	23	23	14	6,236	1,897	0,833	2,119	-3,430	5,096	0,6961	0,0005

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

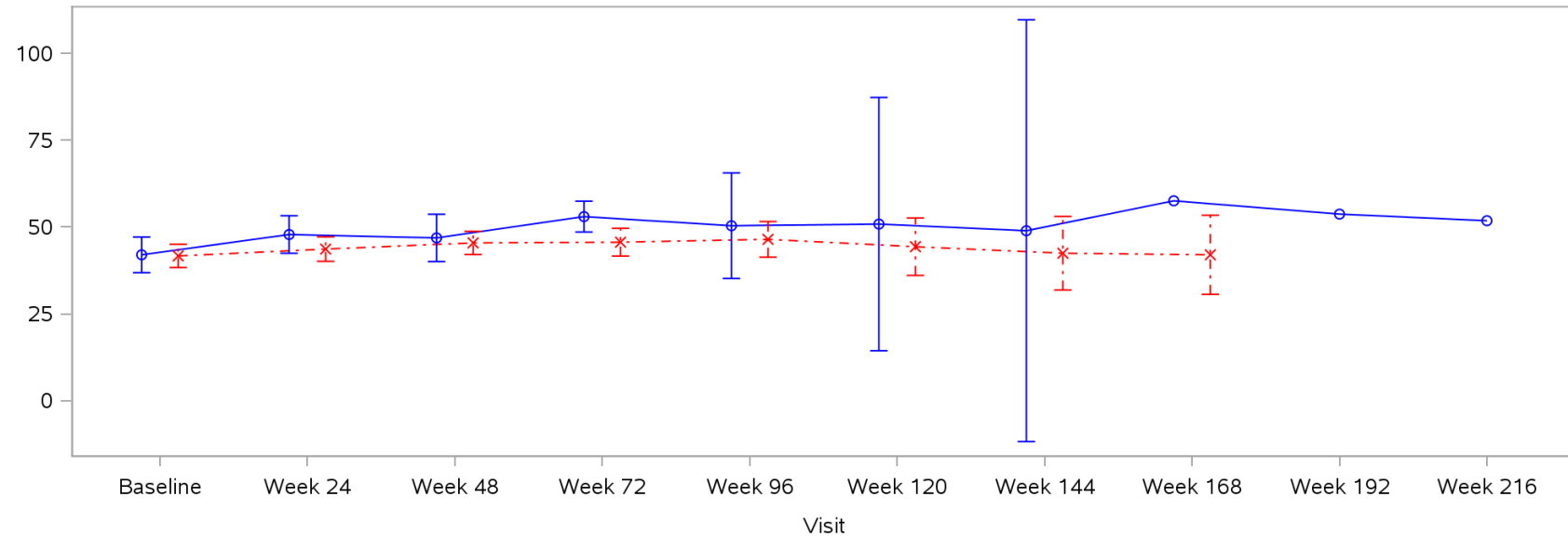
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPP_SF36PHF_ST.xls

08SEP2020 21:56

POPULATION: AQP4 Positive Population
ENDPOINT: Short Form Generic Health Survey (SF-36): Physical Functioning Domain Score
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	23	14	12	8	4	2	2	1	1	1
SA237										
n	41	37	33	31	20	11	9	8	0	0

Treatment Group —○— Placebo (N=23) - - - × - - - SA237 (N=41)

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_SF36PHF.pdf
 29NOV2019 15:57

POPULATION: AQP4 Positive Population

ENDPOINT: Short Form Generic Health Survey (SF-36): Physical Functioning Domain Score

MODEL: --

STUDY: BN40900

Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100,0	41	100,0	41,662	10,614	23	100,0	23	100,0	41,981	11,857
	Week 24	37	90,2	37	100,0	43,637	10,579	14	60,9	14	100,0	47,835	9,360
	Week 48	33	80,5	33	100,0	45,419	9,420	12	52,2	12	100,0	46,855	10,717
	Week 72	31	75,6	31	100,0	45,625	10,890	8	34,8	8	100,0	52,995	5,310
	Week 96	20	48,8	20	100,0	46,441	10,960	4	17,4	4	100,0	50,365	9,553
	Week 120	11	26,8	11	100,0	44,318	12,297	2	8,7	2	100,0	50,840	4,059
	Week 144	9	22,0	9	100,0	42,442	13,783	2	8,7	2	100,0	48,930	6,760
	Week 168	8	19,5	8	100,0	41,991	13,627	1	4,3	1	100,0	57,540	NE
	Week 192							1	4,3	1	100,0	53,710	NE
	Week 216							1	4,3	1	100,0	51,800	NE
	End of Study (Discontinued)	5	12,2	3	60,0	40,313	10,655	2	8,7	2	100,0	37,445	12,183

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_SF36PHF_SG.xls

29NOV2019 13:27

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Short Form Generic Health Survey (SF-36): Bodily Pain Domain Score

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
Subgroup	Level	N		Statistics		N		Statistics		Statistics				Statistics			
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	41	37	3,087	2,224	23	23	14	2,419	2,714	0,669	2,739	-4,879	6,216	0,8085	0,6438

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

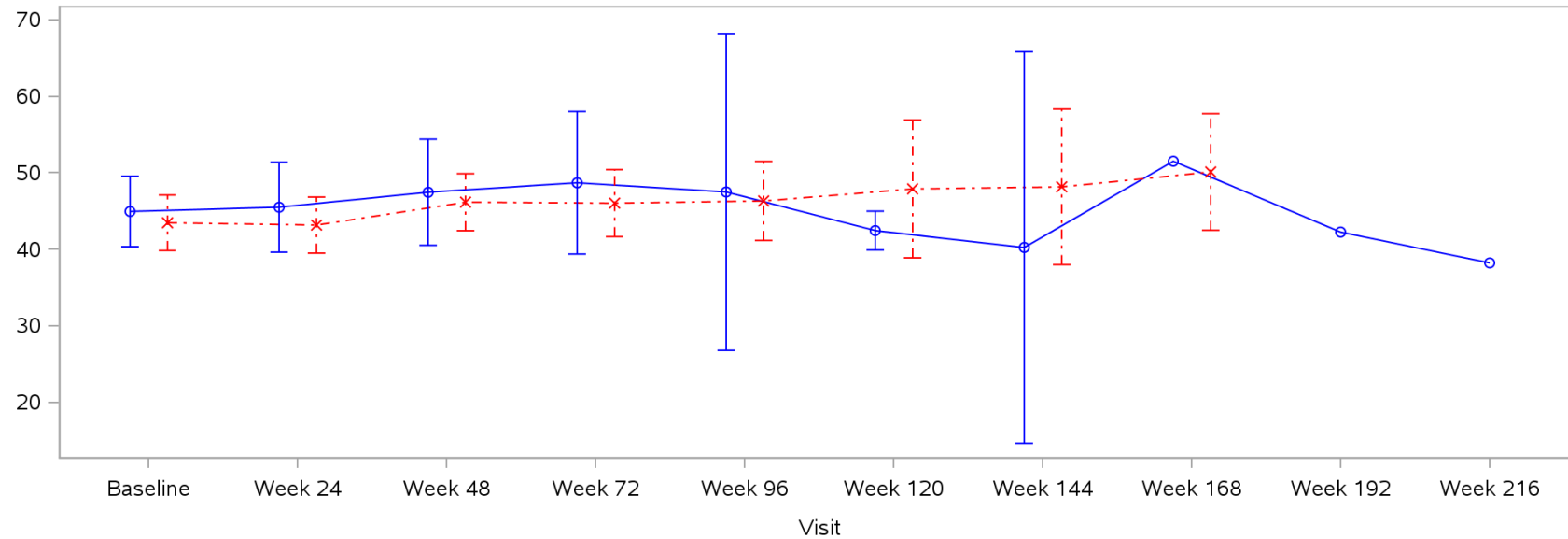
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPP_SF36BPN_ST.xls

08SEP2020 21:56

POPULATION: AQP4 Positive Population
ENDPOINT: Short Form Generic Health Survey (SF-36): Bodily Pain Domain Score
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	23	14	12	8	4	2	2	1	1	1
SA237										
n	41	37	33	31	20	11	9	8	0	0

Treatment Group —○— Placebo (N=23) - - - * - - - SA237 (N=41)

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_SF36BPN.pdf
 29NOV2019 15:57

POPULATION: AQP4 Positive Population

ENDPOINT: Short Form Generic Health Survey (SF-36): Bodily Pain Domain Score

MODEL: --

STUDY: BN40900

Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100,0	41	100,0	43,461	11,504	23	100,0	23	100,0	44,941	10,658
	Week 24	37	90,2	37	100,0	43,157	11,004	14	60,9	14	100,0	45,496	10,187
	Week 48	33	80,5	33	100,0	46,153	10,511	12	52,2	12	100,0	47,450	10,929
	Week 72	31	75,6	31	100,0	46,028	11,946	8	34,8	8	100,0	48,693	11,154
	Week 96	20	48,8	20	100,0	46,314	11,046	4	17,4	4	100,0	47,485	13,020
	Week 120	11	26,8	11	100,0	47,886	13,414	2	8,7	2	100,0	42,440	0,283
	Week 144	9	22,0	9	100,0	48,156	13,236	2	8,7	2	100,0	40,225	2,850
	Week 168	8	19,5	8	100,0	50,104	9,118	1	4,3	1	100,0	51,510	NE
	Week 192							1	4,3	1	100,0	42,240	NE
	Week 216							1	4,3	1	100,0	38,210	NE
	End of Study (Discontinued)	5	12,2	3	60,0	34,043	10,895	2	8,7	2	100,0	32,365	8,266

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_SF36BPN_SG.xls

29NOV2019 13:27

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Short Form Generic Health Survey (SF-36): General Health Domain Score

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
Subgroup	Level	N		Statistics		N		Statistics		Statistics				Statistics			
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	41	37	4,455	2,443	23	23	14	5,540	2,680	-1,085	2,701	-6,549	4,379	0,6901	0,4362

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

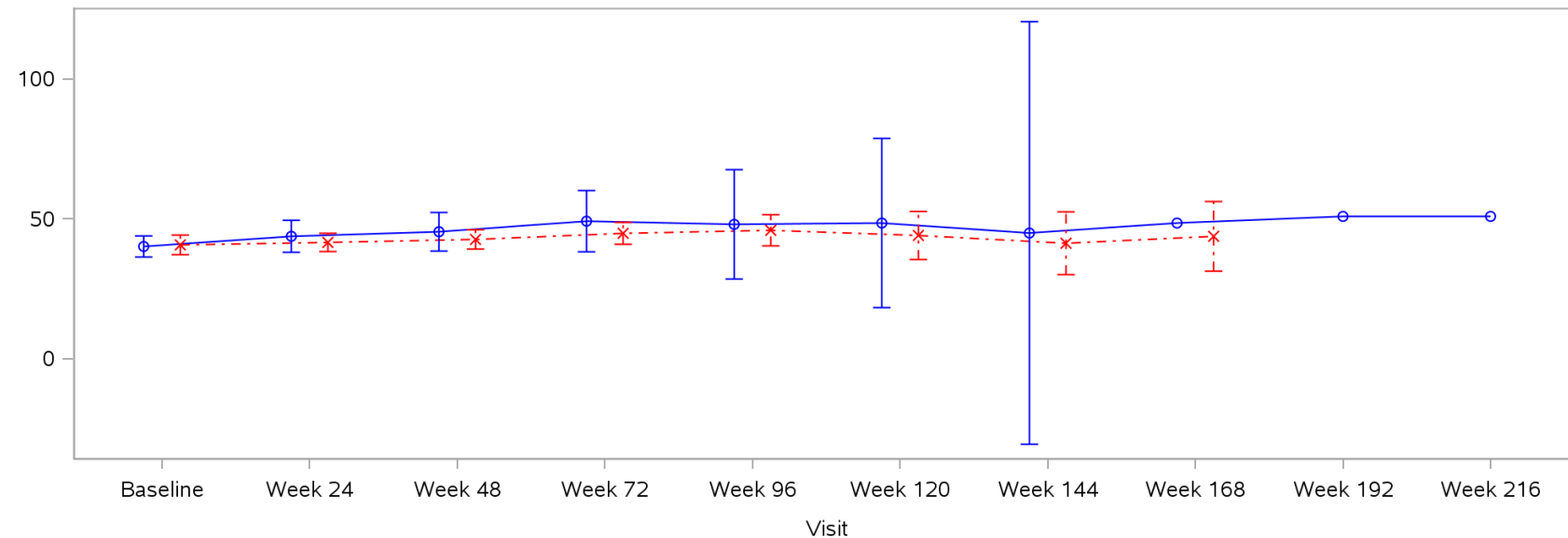
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPP_SF36GNH_ST.xls

08SEP2020 21:57

POPULATION: AQP4 Positive Population
ENDPOINT: Short Form Generic Health Survey (SF-36): General Health Domain Score
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	23	14	12	8	4	2	2	1	1	1
SA237										
n	41	37	33	31	20	11	9	8	0	0

Treatment Group —○— Placebo (N=23) - - - × - - - SA237 (N=41)

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_SF36GNH.pdf
 29NOV2019 15:58

POPULATION: AQP4 Positive Population

ENDPOINT: Short Form Generic Health Survey (SF-36): General Health Domain Score

MODEL: --

STUDY: BN40900

Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100,0	41	100,0	40,615	11,123	23	100,0	23	100,0	40,037	8,717
	Week 24	37	90,2	37	100,0	41,491	9,811	14	60,9	14	100,0	43,676	9,905
	Week 48	33	80,5	33	100,0	42,566	9,721	12	52,2	12	100,0	45,302	10,854
	Week 72	31	75,6	31	100,0	44,751	10,685	8	34,8	8	100,0	49,085	13,103
	Week 96	20	48,8	20	100,0	45,817	11,891	4	17,4	4	100,0	47,958	12,286
	Week 120	11	26,8	11	100,0	43,980	12,798	2	8,7	2	100,0	48,430	3,366
	Week 144	9	22,0	9	100,0	41,196	14,610	2	8,7	2	100,0	44,865	8,407
	Week 168	8	19,5	8	100,0	43,678	14,884	1	4,3	1	100,0	48,430	NE
	Week 192							1	4,3	1	100,0	50,810	NE
	Week 216							1	4,3	1	100,0	50,810	NE
	End of Study (Discontinued)	5	12,2	3	60,0	40,663	5,947	2	8,7	2	100,0	30,840	13,449

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_SF36GNH_SG.xls

29NOV2019 13:28

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Short Form Generic Health Survey (SF-36): Role-physical Domain Score

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
		N		Statistics		N		Statistics		Statistics				Statistics			
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	41	37	6,343	2,024	23	23	14	4,899	2,439	1,445	2,527	-3,639	6,528	0,5703	0,7221

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

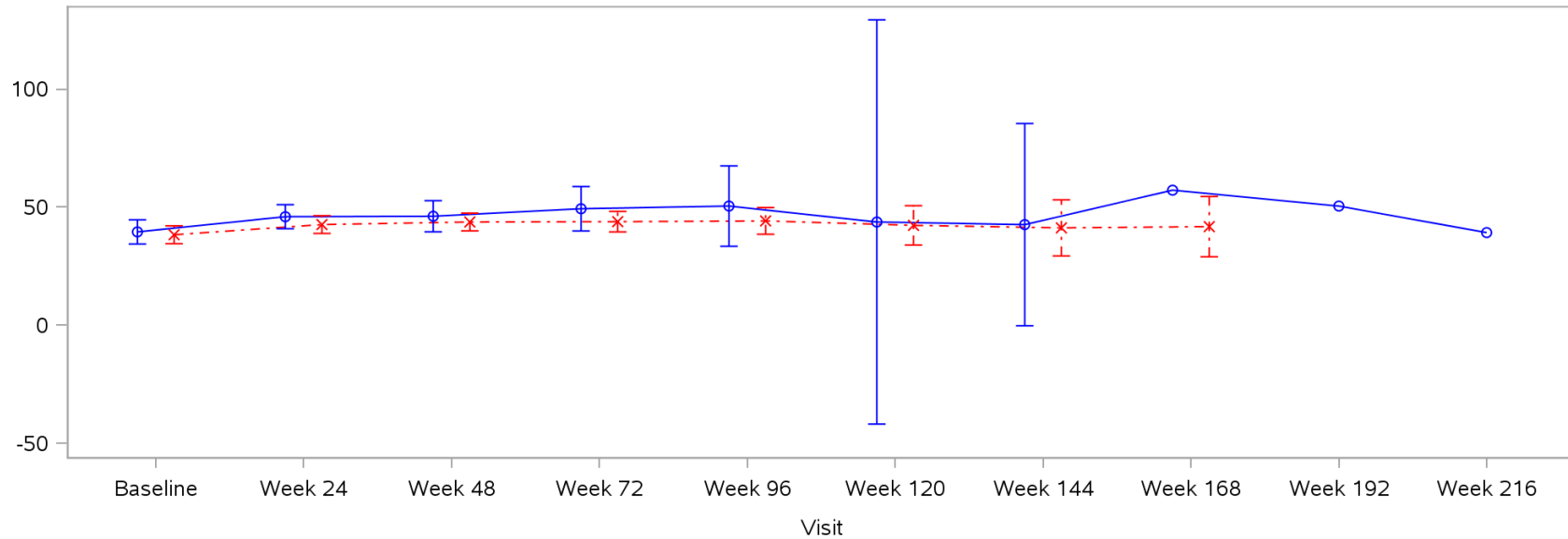
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPP_SF36RPY_ST.xls

08SEP2020 21:58

POPULATION: AQP4 Positive Population
ENDPOINT: Short Form Generic Health Survey (SF-36): Role-physical Domain Score
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	23	14	12	8	4	2	2	1	1	1
SA237										
n	41	37	33	31	20	11	9	8	0	0

Treatment Group —○— Placebo (N=23) - - - × - - - SA237 (N=41)

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_SF36RPY.pdf
 29NOV2019 15:58

POPULATION: AQP4 Positive Population

ENDPOINT: Short Form Generic Health Survey (SF-36): Role-physical Domain Score

MODEL: --

STUDY: BN40900

Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100,0	41	100,0	38,280	11,976	23	100,0	23	100,0	39,486	11,830
	Week 24	37	90,2	37	100,0	42,591	11,249	14	60,9	14	100,0	45,929	8,808
	Week 48	33	80,5	33	100,0	43,683	10,608	12	52,2	12	100,0	46,116	10,378
	Week 72	31	75,6	31	100,0	43,829	11,840	8	34,8	8	100,0	49,300	11,261
	Week 96	20	48,8	20	100,0	44,134	12,052	4	17,4	4	100,0	50,420	10,693
	Week 120	11	26,8	11	100,0	42,255	12,394	2	8,7	2	100,0	43,685	9,525
	Week 144	9	22,0	9	100,0	41,189	15,454	2	8,7	2	100,0	42,560	4,766
	Week 168	8	19,5	8	100,0	41,719	15,252	1	4,3	1	100,0	57,160	NE
	Week 192							1	4,3	1	100,0	50,420	NE
	Week 216							1	4,3	1	100,0	39,190	NE
	End of Study (Discontinued)	5	12,2	3	60,0	33,203	5,652	2	8,7	2	100,0	38,070	1,584

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_SF36RPY_SG.xls

29NOV2019 13:28

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Short Form Generic Health Survey (SF-36): Role-emotional Domain Score

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
		N		Statistics		N		Statistics		Statistics				Statistics			
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	41	37	7,112	2,231	23	23	14	-3,982	3,342	11,095	3,490	3,866	18,323	0,0043	<.0001

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

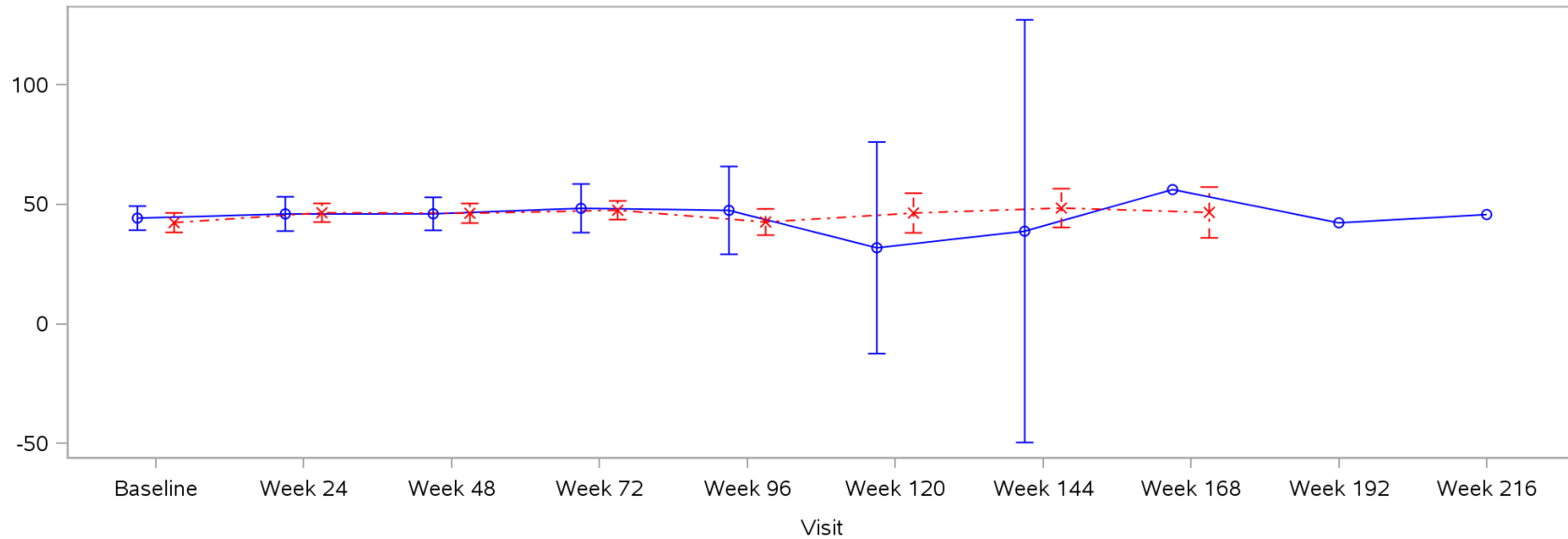
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPP_SF36REM_ST.xls

08SEP2020 21:58

POPULATION: AQP4 Positive Population
ENDPOINT: Short Form Generic Health Survey (SF-36): Role-emotional Domain Score
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	23	14	12	8	4	2	2	1	1	1
SA237										
n	41	37	33	31	20	11	9	8	0	0

Treatment Group —○— Placebo (N=23) - - - × - - - SA237 (N=41)

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_SF36REM.pdf
 29NOV2019 15:59

POPULATION: AQP4 Positive Population

ENDPOINT: Short Form Generic Health Survey (SF-36): Role-emotional Domain Score

MODEL: --

STUDY: BN40900

Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100,0	41	100,0	42,327	12,922	23	100,0	23	100,0	44,210	11,635
	Week 24	37	90,2	37	100,0	46,476	11,754	14	60,9	14	100,0	45,972	12,401
	Week 48	33	80,5	33	100,0	46,251	11,617	12	52,2	12	100,0	46,015	10,855
	Week 72	31	75,6	31	100,0	47,520	10,671	8	34,8	8	100,0	48,335	12,170
	Week 96	20	48,8	20	100,0	42,590	11,734	4	17,4	4	100,0	47,465	11,547
	Week 120	11	26,8	11	100,0	46,357	12,341	2	8,7	2	100,0	31,795	4,929
	Week 144	9	22,0	9	100,0	48,431	10,559	2	8,7	2	100,0	38,760	9,843
	Week 168	8	19,5	8	100,0	46,595	12,726	1	4,3	1	100,0	56,170	NE
	Week 192							1	4,3	1	100,0	42,240	NE
	Week 216							1	4,3	1	100,0	45,720	NE
	End of Study (Discontinued)	5	12,2	3	60,0	46,883	8,042	2	8,7	2	100,0	35,280	0,000

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_SF36REM_SG.xls

29NOV2019 13:28

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Short Form Generic Health Survey (SF-36): Social Role Functioning Domain Score

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
Subgroup	Level	N		Statistics		N		Statistics		Statistics				Statistics			
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	41	37	7,256	2,171	23	23	14	5,286	2,896	1,970	3,061	-4,334	8,273	0,5257	0,1408

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

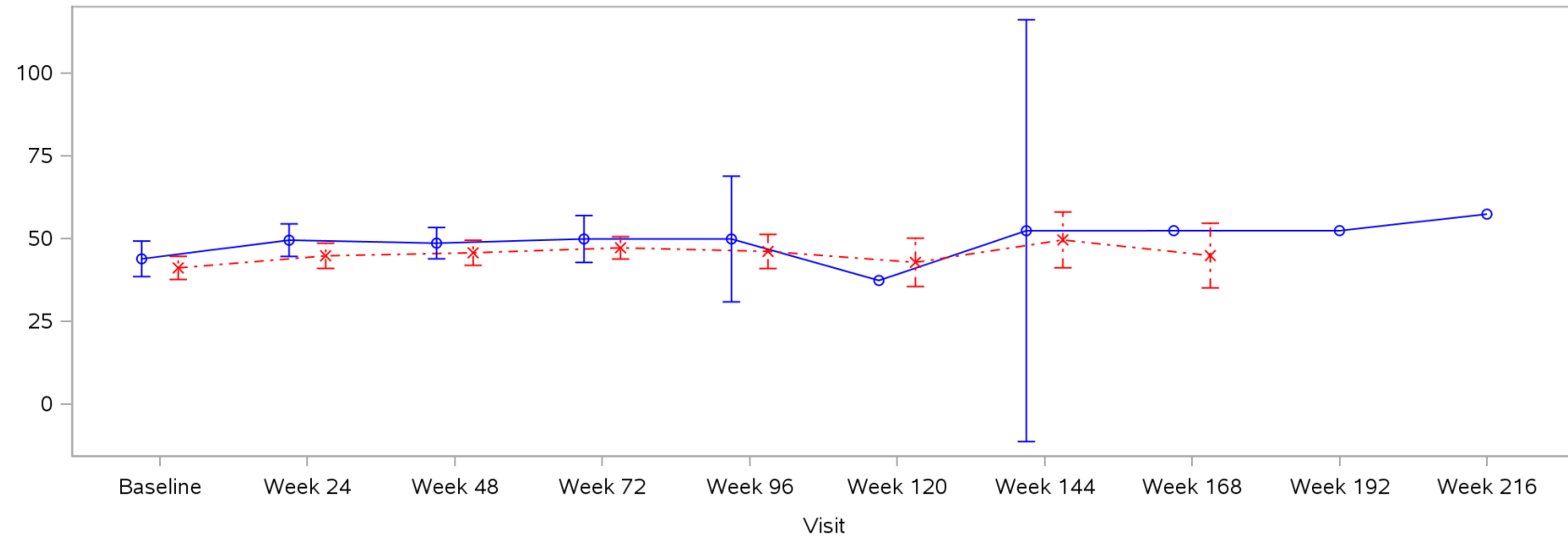
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPP_SF36SRF_ST.xls

08SEP2020 21:59

POPULATION: AQP4 Positive Population
ENDPOINT: Short Form Generic Health Survey (SF-36): Social Role Functioning Domain Score
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	23	14	12	8	4	2	2	1	1	1
SA237										
n	41	37	33	31	20	11	9	8	0	0

Treatment Group —○— Placebo (N=23) - - - × - - - SA237 (N=41)

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_SF36SRF.pdf
 29NOV2019 16:00

POPULATION: AQP4 Positive Population

ENDPOINT: Short Form Generic Health Survey (SF-36): Social Role Functioning Domain Score

MODEL: --

STUDY: BN40900

Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100,0	41	100,0	41,077	11,085	23	100,0	23	100,0	43,825	12,413
	Week 24	37	90,2	37	100,0	44,740	11,433	14	60,9	14	100,0	49,464	8,506
	Week 48	33	80,5	33	100,0	45,642	10,683	12	52,2	12	100,0	48,567	7,443
	Week 72	31	75,6	31	100,0	47,152	9,197	8	34,8	8	100,0	49,820	8,474
	Week 96	20	48,8	20	100,0	46,059	11,017	4	17,4	4	100,0	49,820	11,936
	Week 120	11	26,8	11	100,0	42,755	10,858	2	8,7	2	100,0	37,290	0,000
	Week 144	9	22,0	9	100,0	49,541	10,958	2	8,7	2	100,0	52,325	7,092
	Week 168	8	19,5	8	100,0	44,806	11,681	1	4,3	1	100,0	52,330	NE
	Week 192							1	4,3	1	100,0	52,330	NE
	Week 216							1	4,3	1	100,0	57,340	NE
	End of Study (Discontinued)	5	12,2	3	60,0	40,630	5,785	2	8,7	2	100,0	32,275	7,092

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_SF36SRF_SG.xls

29NOV2019 13:29

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Short Form Generic Health Survey (SF-36): Mental Health Domain Score

MODEL: Stratified analysis (stratification factors: prior therapy (B-cell depleting therapy or immunosuppressants/others); most recent attack (first attack or relapse))

STUDY: BN40900

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
		N		Statistics		N		Statistics		Statistics				Statistics			
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	41	41	37	5,908	1,744	23	23	14	-0,676	2,450	6,584	2,576	1,276	11,892	0,0171	0,0625

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

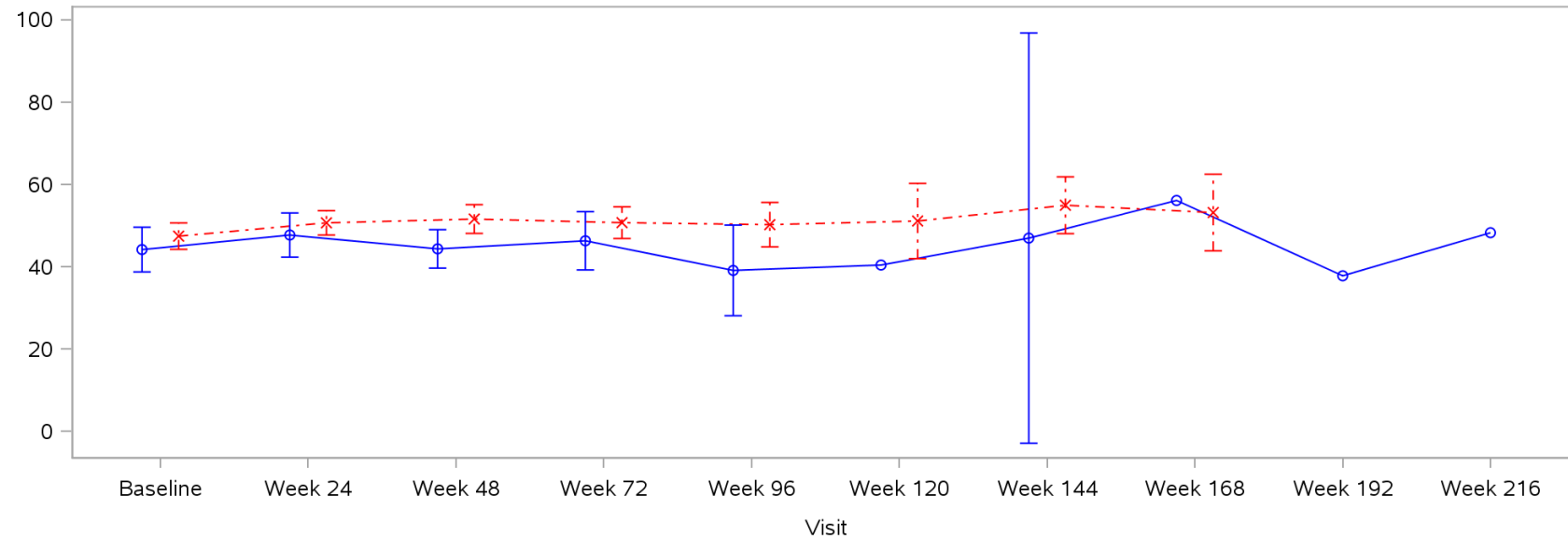
Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_309_12OCT2018_AQPP_SF36MTH_ST.xls

08SEP2020 21:59

POPULATION: AQP4 Positive Population
ENDPOINT: Short Form Generic Health Survey (SF-36): Mental Health Domain Score
MODEL: --
STUDY: BN40900
Plot of Mean and 95% CI by Visit



Placebo										
n	23	14	12	8	4	2	2	1	1	1
SA237										
n	41	37	33	31	20	11	9	8	0	0

Treatment Group —○— Placebo (N=23) - - - * - - - SA237 (N=41)

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_309_12OCT2018_AQPP_SF36MTH.pdf
 29NOV2019 16:00

POPULATION: AQP4 Positive Population

ENDPOINT: Short Form Generic Health Survey (SF-36): Mental Health Domain Score

MODEL: --

STUDY: BN40900

Compliance/Mean

		SA237 (N=41)						Placebo (N=23)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	41	100,0	41	100,0	47,423	10,157	23	100,0	23	100,0	44,157	12,566
	Week 24	37	90,2	37	100,0	50,656	8,902	14	60,9	14	100,0	47,692	9,300
	Week 48	33	80,5	33	100,0	51,582	9,860	12	52,2	12	100,0	44,328	7,355
	Week 72	31	75,6	31	100,0	50,700	10,484	8	34,8	8	100,0	46,290	8,477
	Week 96	20	48,8	20	100,0	50,215	11,540	4	17,4	4	100,0	39,095	6,919
	Week 120	11	26,8	11	100,0	51,106	13,618	2	8,7	2	100,0	40,400	0,000
	Week 144	9	22,0	9	100,0	54,937	8,979	2	8,7	2	100,0	46,945	5,551
	Week 168	8	19,5	8	100,0	53,158	11,138	1	4,3	1	100,0	56,100	NE
	Week 192							1	4,3	1	100,0	37,790	NE
	Week 216							1	4,3	1	100,0	48,250	NE
	End of Study (Discontinued)	5	12,2	3	60,0	49,123	7,994	2	8,7	2	100,0	30,595	0,926

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_309_12OCT2018_AQPP_SF36MTH_SG.xls

29NOV2019 13:29

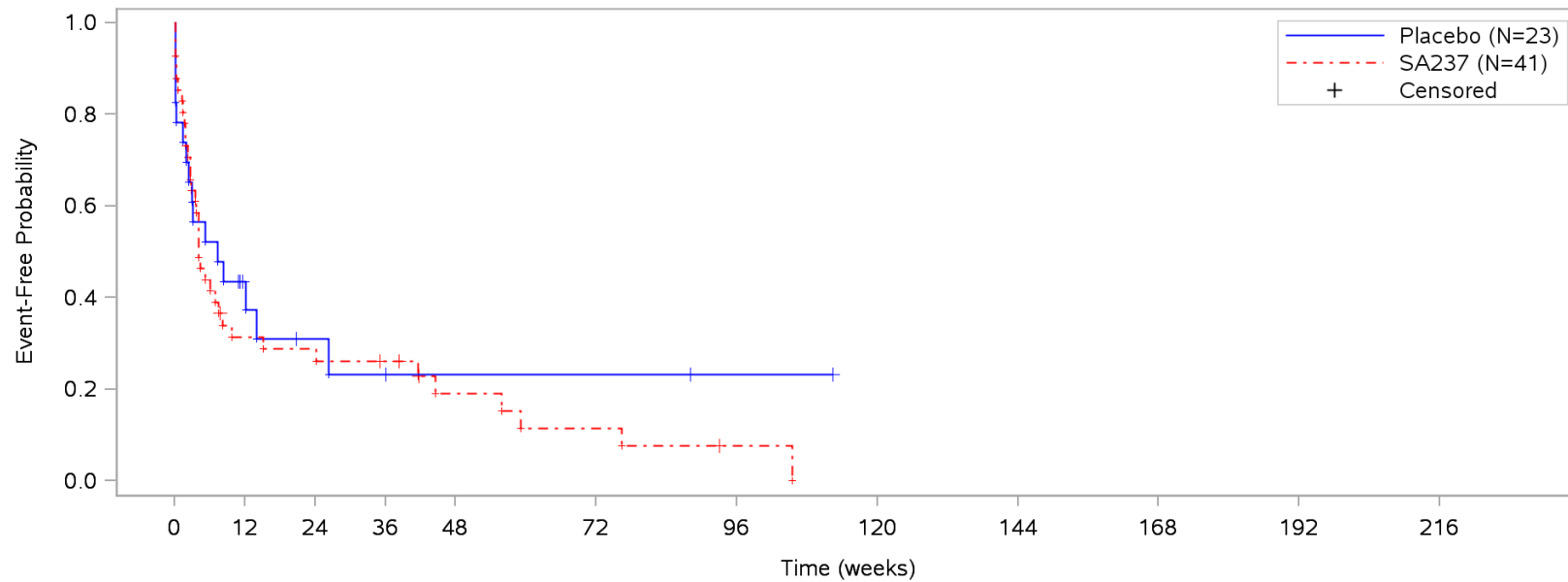
POPULATION: AQ4 Positive Population
 ENDPOINT: Any AE
 MODEL: Unstratified analysis
 STUDY: BM4090
 Time to event analysis (Safety)

Subgroup	Level	SA237										Placebo										Log-rank p-value	SA237 vs. Placebo				Interaction Test p-value (likelihood ratio)			
		Patients		Patients with Event		Censored		Time To Event				Patients		Patients with Event		Censored		Time To Event					Hazard Ratio							
		n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median	n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1		95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median		Hazard Ratio	95% Lower CI	95% Upper CI
All	A/a	41	100,0	36	87,8	5	12,2	1,9	0,3	3,6	4,1	2,7	8,1	23	100,0	16	69,6	7	30,4	1,4	0,1	3,1	7,4	2,0	26,3	0,4570	1,25	0,69	2,28	Convergence criterion (GCONV=1E-8) satisfied

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACR_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACR_3MSU/prod/output/saf_tte_309_07JUN2019_SAGP_ANYAR_01.xls
 29MAY2020 15:40

POPULATION: AQP4 Positive Population
ENDPOINT: Time to First Event, Any AEs
MODEL: --
STUDY: BN40900
Kaplan-Meier plot of time to first event (weeks)



Patients at risk		0	12	24	36	48	72	96	120	144	168	192	216
Placebo	23	7	4	3	2	2	1	NE	NE	NE	NE	NE	NE
SA237	41	12	11	9	5	3	1	NE	NE	NE	NE	NE	NE
Patients censored		0	12	24	36	48	72	96	120	144	168	192	216
Placebo	0	3	4	5	5	5	6	NE	NE	NE	NE	NE	NE
SA237	0	1	1	2	4	4	5	NE	NE	NE	NE	NE	NE

Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/output/g_saf_tte_km_309_07JUN2019_SAQF_ANYAE.pdf
 26MAR2020 19:38

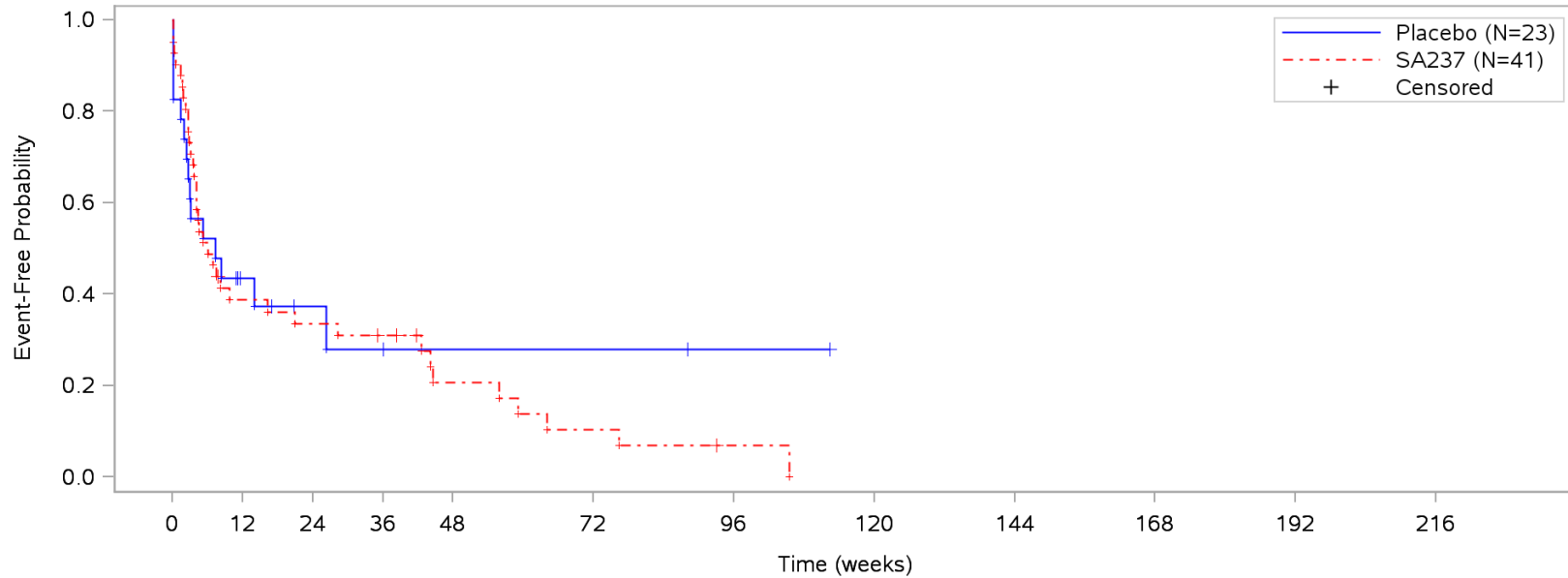
POPULATION: AQ4 Positive Population
 ENDPOINT: Mild AEs
 MODEL: Unstratified analysis
 STUDY: BM40900
 Time to event analysis (Safety)

Subgroup	Level	SA237										Placebo										Log-rank p-value	SA237 vs. Placebo				Interaction Test p-value (likelihood ratio)			
		Patients		Patients with Event		Censored		Time To Event				Patients		Patients with Event		Censored		Time To Event					Hazard Ratio							
		n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median	n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1		95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median		Hazard Ratio	95% Lower CI	95% Upper CI
All	A/A	41	100,0	36	87,8	5	12,2	2,9	1,4	4,1	6,1	3,7	21,0	23	100,0	15	65,2	8	34,8	2,0	0,1	3,1	7,4	2,4	0,5815	1,19	0,64	2,19	Convergence criterion (GCONV=1E-8) satisfied	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BM40900/data_analysis/ACR_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BM40900/data_analysis/ACR_3MSU/prod/output/saf_tte_309_07JUN2019_SAGP_ADM110_S1.k1
 29MAY2020 15:42

POPULATION: AQP4 Positive Population
ENDPOINT: Time to First Event, Mild AEs
MODEL: --
STUDY: BN40900
Kaplan-Meier plot of time to first event (weeks)



Patients at risk		0	12	24	36	48	72	96	120	144	168	192	216
Placebo	23	7	4	3	2	2	1	NE	NE	NE	NE	NE	NE
SA237	41	15	13	11	6	3	1	NE	NE	NE	NE	NE	NE
Patients censored													
Placebo	0	3	5	6	6	6	7	NE	NE	NE	NE	NE	NE
SA237	0	1	1	2	4	4	5	NE	NE	NE	NE	NE	NE

Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/output/g_saf_tte_km_309_07JUN2019_SAQ_P_AEMILD.pdf
 26MAR2020 19:39

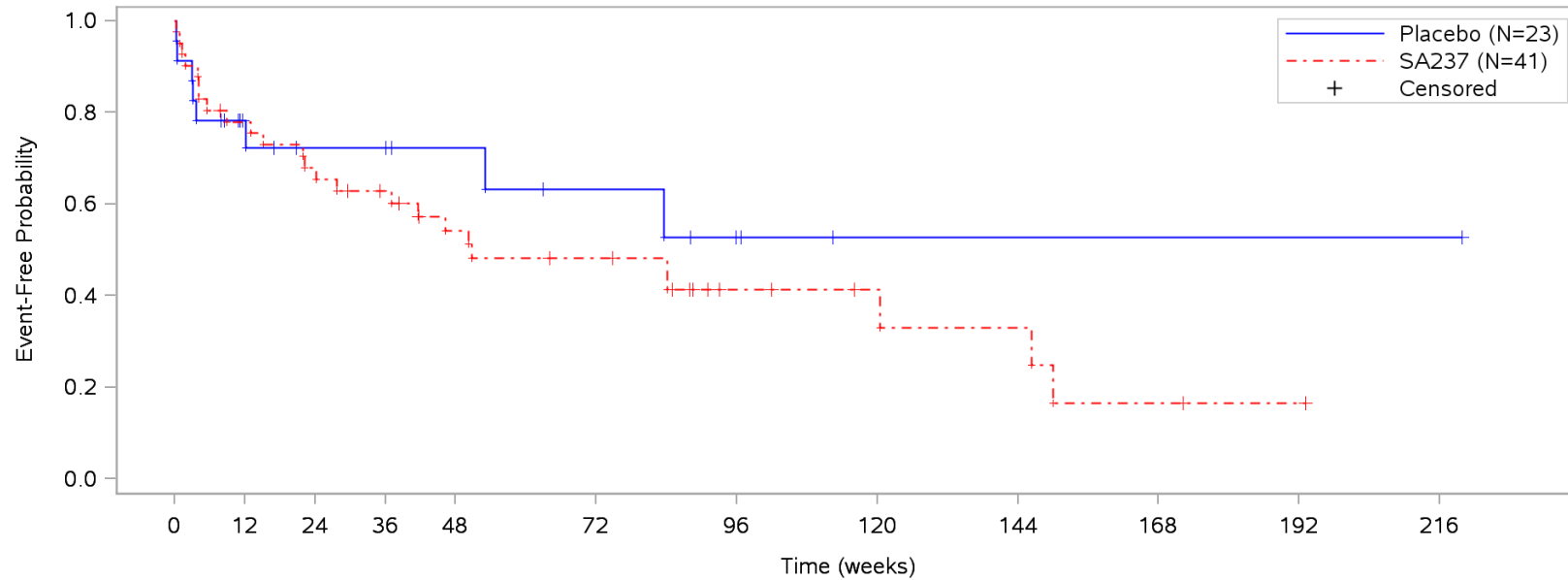
POPULATION: AQ4 Positive Population
 ENDPOINT: Moderate AEs
 MODEL: Unstratified analysis
 STUDY: BM4090
 Time to event analysis (Safety)

Subgroup	Level	SA237										Placebo										Log-rank p-value	SA237 vs. Placebo				Interaction Test p-value (likelihood ratio)				
		Patients		Patients with Event		Completed		Time To Event				Patients		Patients with Event		Completed		Time To Event					Hazard Ratio								
		n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median	n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1		95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median		95% Lower CI	95% Upper CI	Convergence Status	
All	A/A	41	100.0	25	61.0	16	39.0	15.1	4.0	37.0	50.7	24.1	146.3	23	100.0	8	34.8	15	65.2	12.1	0.3	NE	NE	12.1	NE	NE	0.4377	1.37	0.62	3.06	Convergence criterion (GCONV=1E-8) satisfied

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACR_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACR_3MSU/prod/output/saf_tte_309_07JUN2019_SAGP_ARM0D_S1.xls
 29MAY2020 15:43

POPULATION: AQP4 Positive Population
ENDPOINT: Time to First Event, Moderate AEs
MODEL: --
STUDY: BN40900
Kaplan-Meier plot of time to first event (weeks)



Patients at risk		0	12	24	36	48	63	84	105	120	144	168	192	216
Placebo	23	13	10	10	8	6	3	1	1	1	1	1	1	1
SA237	41	31	27	23	18	15	7	5	4	2	1	1	1	NE
Patients censored		0	12	24	36	48	63	84	105	120	144	168	192	216
Placebo	0	5	7	8	9	10	12	14	14	14	14	14	14	14
SA237	0	1	1	3	5	6	12	14	14	14	14	14	15	NE

Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/output/g_saf_tte_km_309_07JUN2019_SAQ_P_AEMOD.pdf
 26MAR2020 19:40

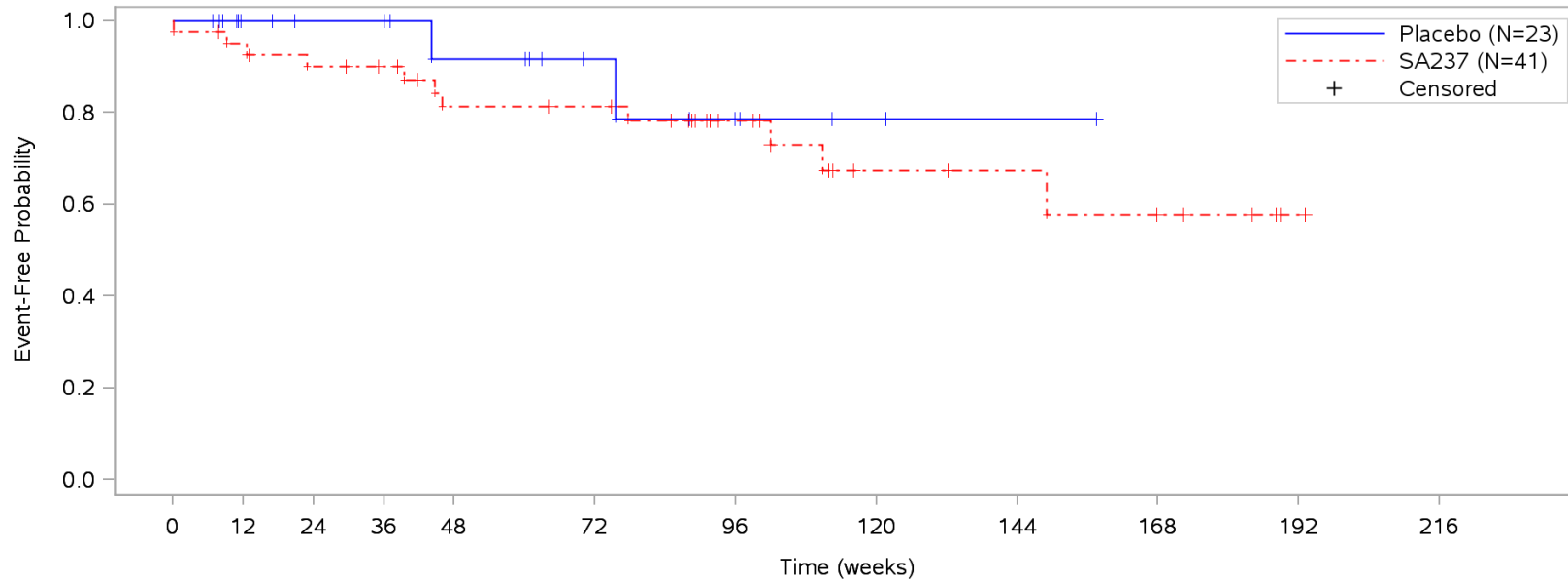
POPULATION: AQM4 Positive Population
 ENDPOINT: Severe AEs
 MODEL: Unstratified analysis
 STUDY: BM40900
 Time to event analysis (Safety)

Subgroup	Level	SA237										Placebo										Log-rank p-Value	SA237 vs. Placebo				Interaction Test p-Value (Likelihood ratio)			
		Patients		Patients with Event		Compared		Time To Event				Patients		Patients with Event		Compared		Time To Event					Hazard Ratio							
		n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Median (weeks)	95% Lower CI for Median	95% Upper CI for Median	n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Median (weeks)		95% Lower CI for Median	95% Upper CI for Median	95% Lower CI	95% Upper CI		Convergence Status		
All	A/A	41	100,0	11	26,8	30	73,2	102,0	39,4	NR	NR	110,9	NR	23	100,0	2	8,7	21	91,3	NR	44,1	NR	NR	75,4	NR	0,4119	1,87	0,41	8,54	Convergence criterion (GCONV=1E-8) satisfied

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACR_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACR_3MSU/prod/output/saf_tte_309_07JUN2019_SAGP_ABBEV_01.xls
 29MAY2020 15:44

POPULATION: AQP4 Positive Population
ENDPOINT: Time to First Event, Severe AEs
MODEL: --
STUDY: BN40900
Kaplan-Meier plot of time to first event (weeks)



Patients at risk													
	0	12	24	36	48	72	96	120	144	168	192	216	
Placebo	23	17	14	14	11	7	4	2	1	NE	NE	NE	
SA237	41	38	35	33	28	27	17	8	7	5	1	NE	
Patients censored													
Placebo	0	6	9	10	11	15	17	19	20	NE	NE	NE	
SA237	0	1	2	4	6	7	16	23	24	25	29	NE	

Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/output/g_saf_tte_km_309_07JUN2019_SAQ_AESEV.pdf
 26MAR2020 19:41

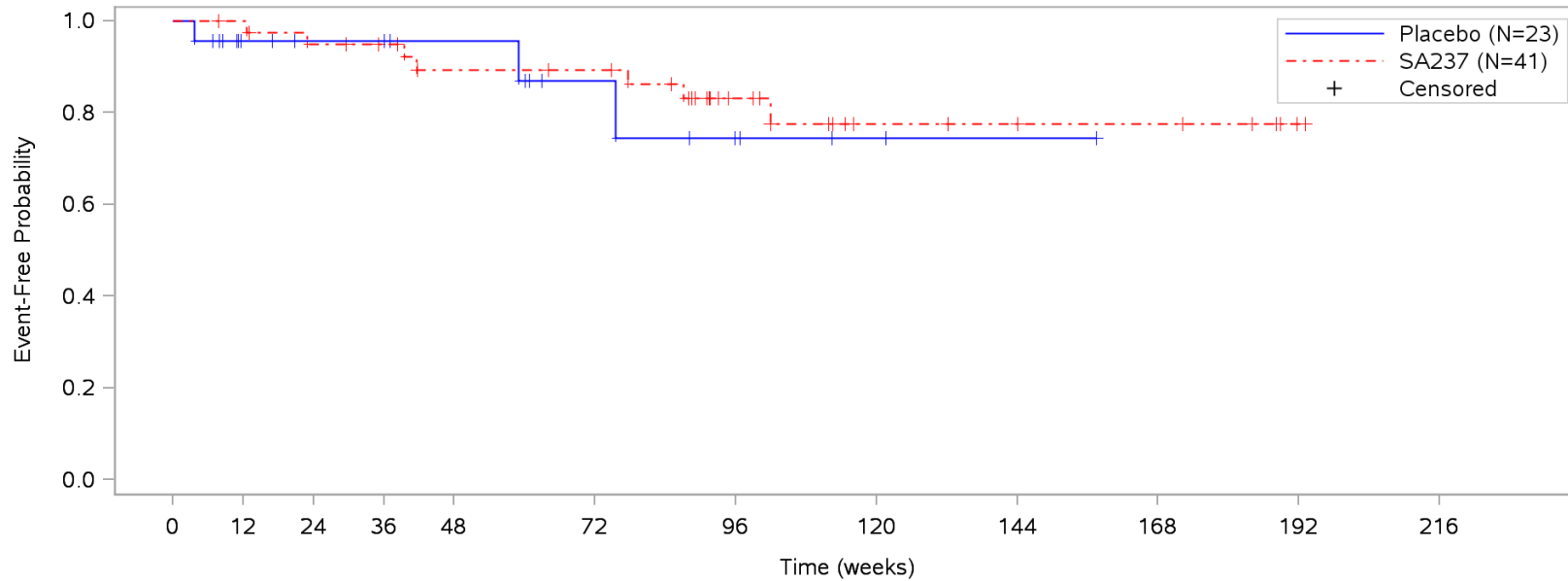
POPULATION: AQM4 Positive Population
 ENDPOINT: Any SAEs
 MODEL: Unstratified analysis
 STUDY: BM40900
 Time to event analysis (Safety)

Subgroup	Level	SA237										Placebo										Log-rank p-Value	SA237 vs. Placebo				Interaction Test p-Value (Likelihood ratio)				
		Patients		Patients with Event		Compared		Time To Event				Patients		Patients with Event		Compared		Time To Event					Hazard Ratio								
		n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median	n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1		95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median		95% Lower CI	95% Upper CI	Convergence Status	
All	A/A	41	100,0	7	17,1	34	82,9	NE	41,6	NE	NE	NE	NE	NE	23	100,0	3	13,0	20	87,0	75,4	3,7	NE	NE	75,4	NE	0,6368	0,72	0,18	2,83	Convergence criterion (GCONV=1E-8) satisfied

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * Indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACR_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACR_3MSU/prod/output/saf_tte_309_07JUN2019_SAGP_AESAR_01.xls
 29MAY2020 15:47

POPULATION: AQP4 Positive Population
ENDPOINT: Time to First Event, Any SAEs
MODEL: --
STUDY: BN40900
Kaplan-Meier plot of time to first event (weeks)



Patients at risk													
	0	12	24	36	48	72	96	120	144	168	192	216	
Placebo	23	16	13	13	11	7	4	2	1	NE	NE	NE	
SA237	41	40	37	35	31	30	17	8	7	6	1	NE	
Patients censored													
Placebo	0	6	9	10	11	14	16	18	19	NE	NE	NE	
SA237	0	1	2	4	6	7	18	26	28	28	33	NE	

Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/output/g_saf_tte_km_309_07JUN2019_SAQP_AESAE.pdf
 26MAR2020 19:44

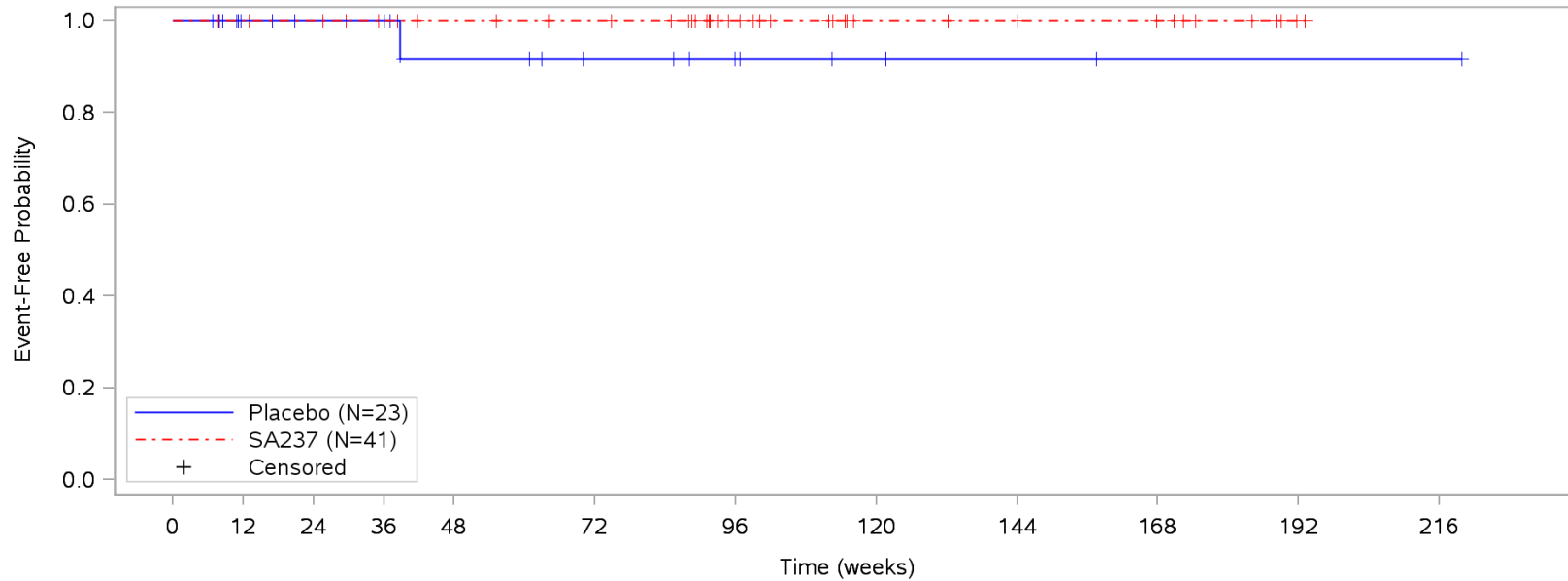
POPULATION: AQP4 Positive Population
 ENDPOINT: AEs leading to treatment discontinuation
 MODEL: Unstratified analysis
 STUDY: BN40900
 Time to event analysis (Safety)

Subgroup	Level	SA237										Placebo										SA237 vs. Placebo								
		Patients		Censored		Time To Event						Patients		Patients with		Censored		Time To Event						Log-rank p-value	Hazard Ratio			Interaction Test p-value (likelihood ratio)		
		n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median	n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median		Hazard Ratio	95% Lower CI	95% Upper CI			
All	n/s	41	100,0	41	100,0	NE		NE	NE	NE	NE	23	100,0	1	4,3	22	95,7	NE		38,7	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/output/saf_tte_309_07JUN2019_SAMP_ABDISC_S1.xls
 28MAR2020 18:54

POPULATION: AQP4 Positive Population
ENDPOINT: Time to First Event, AEs leading to treatment discontinuation
MODEL: --
STUDY: BN40900
Kaplan-Meier plot of time to first event (weeks)



Patients at risk		0	12	24	36	48	72	96	120	144	168	192	216
Placebo	23	17	14	14	11	8	5	3	2	1	1	1	1
SA237	41	40	39	36	34	32	21	11	10	8	1	NE	
Patients censored		0	12	24	36	48	72	96	120	144	168	192	216
Placebo	0	6	9	10	11	14	17	19	20	21	21	21	21
SA237	0	1	2	5	7	9	20	30	32	33	40	40	NE

Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/output/g_saf_tte_km_309_07JUN2019_SAQP_AEDISC.pdf
 30MAR2020 9:38

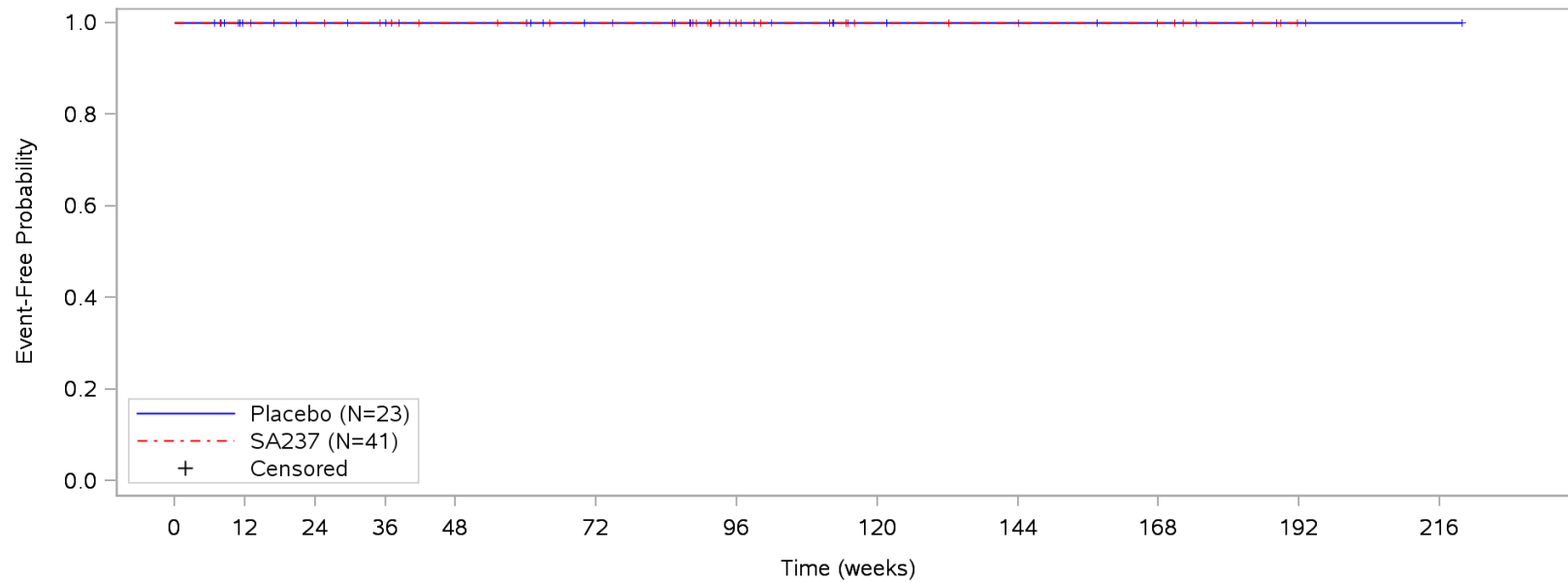
POPULATION: AQP4 Positive Population
 ENDPOINT: AEs Grade 5 (AEs leading to death)
 MODEL: Unstratified analysis
 STUDY: BM40900
 Time to event analysis (Safety)

		SA237										Placebo										SA237 vs. Placebo						
		Patients		Censored		Time To Event						Patients		Censored		Time To Event						Log-rank	Hazard Ratio				Interaction Test	
Subgroup	Level	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	p-value	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status	p-value (likelihood ratio)	
All	n/a	41	100,0	41	100,0	NE	NE	NE	NE	NE	NE	23	100,0	23	100,0	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BM40900/data_analysis/ACM_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BM40900/data_analysis/ACM_3MSU/prod/output/saf tte 309 07JUN2019 SACP_AEDTH 81.x1s
 28MAR2020 15:45

POPULATION: AQP4 Positive Population
ENDPOINT: Time to First Event, AEs Grade 5 (AEs leading to death)
MODEL: --
STUDY: BN40900
Kaplan-Meier plot of time to first event (weeks)



Patients at risk		0	12	24	36	48	72	96	120	144	168	192	216
Placebo	23	17	14	14	12	8	5	3	2	1	1	1	1
SA237	41	40	39	36	34	32	21	11	10	8	1	NE	
Patients censored		0	12	24	36	48	72	96	120	144	168	192	216
Placebo	0	6	9	10	11	15	18	20	21	22	22	22	22
SA237	0	1	2	5	7	9	20	30	32	33	40	NE	NE

Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/output/g_saf_tte_km_309_07JUN2019_SAQP_AEDTH.pdf
 26MAR2020 19:43

POPULATION: AG4 Positive Population
 INDICATOR: NICE 446
 MODEL: Stratified Analysis
 STUDY: MASCOT
 Time to event analysis by SOC and PT (Safety)

SOC	PT	N	R041														R042														R043														Log-rank p-value	Interacting Test p-value (Stratified Analysis)
			Patient with event		Observed		95% Lower CI, For Median		95% Upper CI, For Median		95% Lower CI, For Median		95% Upper CI, For Median		95% Lower CI, For Median		95% Upper CI, For Median		95% Lower CI, For Median		95% Upper CI, For Median		95% Lower CI, For Median		95% Upper CI, For Median		95% Lower CI, For Median		95% Upper CI, For Median																	
			n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%																
Blood and lymphatic system disorders	Total	41	100.0	2	4.9	23.0	32.78	0.0	11.0	0.0	13.0	32.87	0.0	9.0	0.0	0.0	0.0	0.767	1.22	0.01	4.03	0.000000	0.000000	0.000000	0.000000	0.000000	0.000000	0.000000	0.000000	0.000000	0.000000															
	Anemia	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0														
	Polycythemia	41	100.0	0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
	Spid/Thrombocytopenia	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
	Iron deficiency anemia	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
	Lymphopenia	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0												
	Lymph node pain	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
	Lymphoma	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
	Neutropenia	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0												
	Polycythemia	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0												
Thrombocytopenia	Total	41	100.0	0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0														
	Neutropenia	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
	Polycythemia	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
Cardiac disorders	Total	41	100.0	2	4.9	43	85.1	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0														
	Bradycardia	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
	Tachycardia	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
Ear and labyrinth disorders	Total	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0														
	Deafness unilateral	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0														
Eye disorders	Total	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0														
	Conjunctivitis	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
	Dry eye	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
	Eye irritation	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
	Eye pain	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
	Eye pruritus	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
	eyelid disorder	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0														
	ocular hyperemia	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0														
	ocular pain	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
	ocular redness	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
Gastrointestinal disorders	Total	41	100.0	12	29.3	29.70	61.0	20.0	0.0	100.0	9	21.9	14.00	61.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0														
	Abdominal discomfort	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
	Abdominal distension	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
	Abdominal pain	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
	Abdominal pain upper	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
	Abdominal tenderness	41	100.0	0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
	Constipation	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
	Diarrhea	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
	Food poisoning	41	100.0	0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
	Gastroesophageal reflux disease	41	100.0	1	2.4	43	87.6	0.0	0.0	0.0	0.0	23.00	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0													
Infectious diseases	Total	41	100.0	0	0.0	0.0																																								

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
* indicates convergence problem. Results to uninterpretable.
Clinical out-off: 07/08/2019
Program: root:/clinical_studies/00333787/0070210/0049900/data_analysis/ACK_3M20/proc/program
Output: root:/clinical_studies/00333787/0070210/0049900/data_analysis/ACK_3M20/proc/output/inf_007_07/08/2019_SAPF_ADMIN_01.xls
20080320 0:18

POPULATION: AQP4 Positive Population
 ENDPOINT: AESI: Elevated ALT or AST (>3*ULN) in combination with either an elevated bilirubin (>2*ULN) or clinical jaundice
 MODEL: Unstratified analysis
 STUDY: BM40900
 Time to event analysis (Safety)

		SA237										Placebo										SA237 vs. Placebo						
		Patients				Censored				Time To Event		Patients				Censored				Time To Event		Log-rank				Interaction Test		
Subgroup	Level	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	p-value	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status	p-value (likelihood ratio)	
All	n/a	41	100,0	41	100,0	NE	NE	NE	NE	NE	NE	23	100,0	23	100,0	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACM_BMSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACM_BMSU/prod/output/saf_tte_309_07JUN2019_SACP_AESIALT_S1.xls
 28MAR2020 15:48

POPULATION: AQP4 Positive Population
 ENDPOINT: Mild AEFI: Elevated ALT or AST (>3*ULN) in combination with either an elevated bilirubin (>2*ULN) or clinical jaundice
 MODEL: Unstratified analysis
 STUDY: BM40900
 Time to event analysis (Safety)

		SA237										Placebo										SA237 vs. Placebo																	
		Patients				Censored		Time To Event										Patients				Censored		Time To Event										Log-rank	Hazard Ratio				Interaction Test
Subgroup	Level	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	p-value	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status	p-value (likelihood ratio)												
All	n/a	41	100,0	41	100,0	NE	NE	NE	NE	NE	NE	23	100,0	23	100,0	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.												

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BM40900/data_analysis/ACM_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BM40900/data_analysis/ACM_3MSU/prod/output/saf_tte_309_07JUN2019_SAP_MIASIAL_S1.xls
 28MAR2020 15:54

POPULATION: AQP4 Positive Population
 ENDPOINT: Moderate AEBI: Elevated ALT or AST (>3*ULN) in combination with either an elevated bilirubin (>2*ULN) or clinical jaundice
 MODEL: Unstratified analysis
 STUDY: BM40900
 Time to event analysis (Safety)

		SA237										Placebo										SA237 vs. Placebo						
		Patients		Censored		Time To Event						Patients		Censored		Time To Event						Log-rank	Hazard Ratio				Interaction Test	
Subgroup	Level	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	p-value	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status	p-value (likelihood ratio)	
All	n/a	41	100,0	41	100,0	NE	NE	NE	NE	NE	NE	23	100,0	23	100,0	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/S05333787/CDP70210/BM40900/data_analysis/AC_3MSU/prod/program
 Output: root/clinical_studies/S05333787/CDP70210/BM40900/data_analysis/AC_3MSU/prod/output/saf_tte_309_07JUN2019_SACP_MCASISAL_S1.xls
 28MAR2020 16:00

POPULATION: AQP4 Positive Population
 ENDPOINT: Severe AEs: Elevated ALT or AST (>3*ULN) in combination with either an elevated bilirubin (>2*ULN) or clinical jaundice
 MODEL: Unstratified analysis
 STUDY: BM40900
 Time to event analysis (Safety)

		SA237										Placebo										SA237 vs. Placebo							
		Patients				Censored		Time To Event						Patients		Censored		Time To Event						Log-rank	Hazard Ratio				Interaction Test
Subgroup	Level	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	p-value	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status	p-value (likelihood ratio)		
All	n/a	41	100,0	41	100,0	NE	NE	NE	NE	NE	NE	23	100,0	23	100,0	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.		

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACM_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACM_3MSU/prod/output/saf_tte_309_07JUN2019_SACP_SEASIAL_S1.xls
 28MAR2020 16:07

POPULATION: AQP4 Positive Population
 ENDPOINT: Serious AEI: Elevated ALT or AST (>3*ULN) in combination with either an elevated bilirubin (>2*ULN) or clinical jaundice
 MODEL: Unstratified analysis
 STUDY: BM40900
 Time to event analysis (Safety)

		SA237										Placebo										SA237 vs. Placebo						
		Patients				Censored				Time To Event		Patients				Censored				Time To Event		Log-rank	Hazard Ratio				Interaction Test	
Subgroup	Level	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	p-value	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status	p-value (likelihood ratio)	
All	n/a	41	100,0	41	100,0	NE	NE	NE	NE	NE	NE	23	100,0	23	100,0	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACI_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACI_3MSU/prod/output/saf_tte_309_07JUN2019_SAP_SASSTAT1_S1.xls
 28MAR2020 16:13

POPULATION: AQP4 Positive Population
 ENDPOINT: AESI: Suspected transmission of an infectious agent by the study drug
 MOSES: Unstratified analysis
 STUDY: BM40900
 Time to event analysis (Safety)

		SA237										Placebo										SA237 vs. Placebo						
		Patients				Censored				Time To Event		Patients				Censored				Time To Event		Hazard Ratio				Interaction Test		
Subgroup	Level	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	p-value	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status	p-value (likelihood ratio)	
All	n/a	41	100,0	41	100,0	NE	NE	NE	NE	NE	NE	23	100,0	23	100,0	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACM_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACM_3MSU/prod/output/saf_tte_309_07JUN2019_SACP_AESISUS_S1.xls
 28MAR2020 15:49

POPULATION: AQP4 Positive Population
 ENDPOINT: Mild AEI: Suspected transmission of an infectious agent by the study drug
 MOSES: Unstratified analysis
 STUDY: BM40900
 Time to event analysis (Safety)

		SA237										Placebo										SA237 vs. Placebo						
		Patients				Censored				Time To Event		Patients				Censored				Time To Event		Hazard Ratio				Interaction Test		
Subgroup	Level	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	p-value	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status	p-value (likelihood ratio)	
All	n/a	41	100,0	41	100,0	NE	NE	NE	NE	NE	NE	23	100,0	23	100,0	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACM_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACM_3MSU/prod/output/saf_tte_309_07JUN2019_SACP_MIAESISU_S1.xls
 28MAR2020 15:55

POPULATION: AQP4 Positive Population
 ENDPOINT: Moderate AEBI: Suspected transmission of an infectious agent by the study drug
 MOSES: Unstratified analysis
 STUDY: BM40900
 Time to event analysis (Safety)

		SA237										Placebo										SA237 vs. Placebo						
		Patients				Censored		Time To Event				Patients		Censored		Time To Event				Log-rank	Hazard Ratio				Interaction Test			
Subgroup	Level	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	p-value	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status	p-value (likelihood ratio)	
All	n/a	41	100,0	41	100,0	NE	NE	NE	NE	NE	NE	23	100,0	23	100,0	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACM_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACM_3MSU/prod/output/saf_tte_309_07JUN2019_SACP_MCAREISSU_S1.xls
 28MAR2020 16:02

POPULATION: AQP4 Positive Population
 ENDPOINT: Severe AEST: Suspected transmission of an infectious agent by the study drug
 MOSES: Unstratified analysis
 STUDY: BM40900
 Time to event analysis (Safety)

		SA237										Placebo										SA237 vs. Placebo						
		Patients				Censored				Time To Event		Patients				Censored				Time To Event		Hazard Ratio				Interaction Test		
Subgroup	Level	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	p-value	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status	p-value (likelihood ratio)	
All	n/a	41	100,0	41	100,0	NE	NE	NE	NE	NE	NE	23	100,0	23	100,0	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACM_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACM_3MSU/prod/output/saf_tte_309_07JUN2019_SACP_SEARSIISU_S1.xls
 28MAR2020 16:08

POPULATION: AQP4 Positive Population
 ENDPOINT: Serious AEI: Suspected transmission of an infectious agent by the study drug
 MOSE: Unstratified analysis
 STUDY: BM40900
 Time to event analysis (Safety)

		SA237										Placebo										SA237 vs. Placebo						
		Patients				Censored		Time To Event										Log-rank		Hazard Ratio				Interaction Test				
Subgroup	Level	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	p-value	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status	p-value (likelihood ratio)	
All	n/a	41	100,0	41	100,0	NE	NE	NE	NE	NE	NE	23	100,0	23	100,0	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACI_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACI_3MSU/prod/output/saf_tte_309_07JUN2019_SACP_SASSTIGUS_S1.xls
 28MAR2020 16:14

POPULATION: AQ94 Positive Population
 ENDPOINT: AMI: Infections treated with IV treatment
 MODEL: Unstratified analysis
 STUDY: BN40900
 Time to event analysis (Safety)

Subgroup	Level	SA237										Placebo										SA237 vs. Placebo									
		Patients		Patients with		Censored		Time To Event				Patients		Censored		Time To Event				Log-rank p-value	Hazard Ratio			Interaction Test p-value (likelihood ratio)							
		n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1		95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median		95% Upper CI for Median	Convergence Status					
All	n/s	41	100,0	6	14,6	35	85,4	149,0	77,4	NE	NE	149,0	NE	23	100,0	23	100,0	NE	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACI_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACI_3MSU/prod/output/saf_tte_309_07JUN2019_SAP_ABSINF_81.xls
 28MAR2020 15:50

POPULATION: AQP4 Positive Population
 ENDPOINT: Mild AEST: Infections treated with IV treatment
 MODEL: Unstratified analysis
 STUDY: BN40900
 Time to event analysis (Safety)

Subgroup	Level	SA237										Placebo										SA237 vs. Placebo								
		Patients		Patients with		Censored		Time To Event				Patients		Censored		Time To Event				Log-rank p-value	Hazard Ratio			Interaction Test p-value (likelihood ratio)						
		n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1		95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median		95% Upper CI for Median	Hazard Ratio	95% Lower CI	95% Upper CI	Convergence Status	
All	n/s	41	100,0	1	2,4	40	97,6	NE	141,1	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/output/saf_tte_309_07JUN2019_SAMP_MIAESTIN_S1.xls
 28MAR2020 15:56

POPULATION: AQ4 Positive Population
 ENDPOINT: Moderate AESI: Infections treated with IV treatment
 MODEL: Unstratified analysis
 STUDY: BN40900
 Time to event analysis (Safety)

Subgroup	Level	SA237										Placebo										SA237 vs. Placebo										
		Patients		Patients with		Censored		Time To Event				Patients		Censored		Time To Event				Log-rank p-value	Hazard Ratio			Interaction Test p-value (likelihood ratio)								
		n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1		95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median		95% Upper CI for Median	Hazard Ratio	95% Lower CI	95% Upper CI	Convergence Status			
All	n/s	41	100,0	3	7,3	38	92,7	NE	122,1	NE	NE	NE	NE	NE	23	100,0	23	100,0	NE	NE	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/output/saf_tte_309_07JUN2019_SAP_MOAESITN_S1.xls
 28MAR2020 16:03

POPULATION: AQP4 Positive Population
 ENDPOINT: Severe AEBI: Infections treated with IV treatment
 MODEL: Unstratified analysis
 STUDY: BN40900
 Time to event analysis (Safety)

Subgroup	Level	SA237										Placebo										SA237 vs. Placebo									
		Patients		Patients with		Censored		Time To Event				Patients		Censored		Time To Event				Log-rank p-value	Hazard Ratio			Interaction Test p-value (likelihood ratio)							
		n	%	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1		95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median		95% Upper CL for Median						
All	n/s	41	100,0	4	9,8	37	90,2	NE	149,0	NE	NE	NE	149,0	NE	23	100,0	23	100,0	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/output/saf_tte_309_07JUN2019_SAMP_SBAESTIN_S1.xls
 28MAR2020 16:09

POPULATION: AQP4 Positive Population
 ENDPOINT: Serious AEs: Infections treated with IV treatment
 MODEL: Unstratified analysis
 STUDY: BN40900
 Time to event analysis (Safety)

Subgroup	Level	SA237										Placebo										SA237 vs. Placebo										
		Patients		Patients with		Censored		Time To Event				Patients		Censored		Time To Event				Log-rank p-value	Hazard Ratio			Interaction Test p-value (likelihood ratio)								
		n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1		95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median		95% Upper CI for Median	Hazard Ratio	95% Lower CI	95% Upper CI	Convergence Status			
All	n/s	41	100,0	3	7,3	38	92,7	NE	122,1	NE	NE	NE	NE	23	100,0	23	100,0	NE	NE	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/output/saf_tte_309_07JUN2019_SAP_SAE5INF_S1.xls
 28MAR2020 16:15

POPULATION: AQ4 Positive Population
 ENDPOINT: AEI: Potential opportunistic infections
 MODEL: Unstratified analysis
 STUDY: BN4090
 Time to event analysis (Safety)

Subgroup	Level	SA237										Placebo										Log-rank p-value	SA237 vs. Placebo				Interaction Test p-value (likelihood ratio)				
		Patients		Patients with Event		Completed		Time To Event				Patients		Patients with Event		Completed		Time To Event					Hazard Ratio								
		n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median	n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1		95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median		95% Lower CI	95% Upper CI	Convergence Status	
All	A/a	41	100,0	2	4,9	39	95,1	NE	151,9	NE	NE	151,9	NE	23	100,0	4	17,4	19	82,6	82,4	15,6	NE	NE	61,7	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACR_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACR_3MSU/prod/output/saf_tte_309_07JUN2019_SAGP_AE10PP_01.xls
 29MAY2020 15:52

POPULATION: AQ4 Positive Population
 ENDPOINT: Mild AEs: Potential opportunistic infections
 MODEL: Unstratified analysis
 STUDY: BN4090
 Time to event analysis (Safety)

Subgroup	Level	SA237										Placebo										Log-rank p-value	SA237 vs. Placebo				Interaction Test p-value (likelihood ratio)			
		Patients		Patients with Event		Compared		Time To Event				Patients		Patients with Event		Compared		Time To Event					Hazard Ratio							
		n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median	n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1		95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median		p-value	Hazard Ratio	95% Lower CI
All	A/a	41	100,0	2	4,9	39	95,1	NE	151,9	NE	NE	151,9	NE	23	100,0	3	13,0	20	87,0	82,4	15,6	NE	NE	61,7	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACR_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACR_3MSU/prod/output/saf_tte_309_07JUN2019_SAGP_MIAS330P_S1.xls
 29MAY2020 15:58

POPULATION: AQ4 Positive Population
 ENDPOINT: Moderate AEs: Potential opportunistic infections
 MODEL: Unstratified analysis
 STUDY: BN40900
 Time to event analysis (Safety)

Subgroup	Level	SA237										Placebo										SA237 vs. Placebo							
		Patients		Censored		Time To Event		95% Lower CI For		95% Upper CI For		Patients		Patients with		Censored		Time To Event		95% Lower CI For		95% Upper CI For		Log-rank p-value	Hazard Ratio			Interaction Test p-value (likelihood ratio)	
		n	%	n	%	Q1 (weeks)	Median (weeks)	Q3 (weeks)	n	%	n	%	n	%	Q1 (weeks)	Median (weeks)	Q3 (weeks)	95% Lower CI For	95% Upper CI For	95% Lower CI For	95% Upper CI For	95% Lower CI For	95% Upper CI For		Convergence Status				
All	n/s	41	100,0	41	100,0	NE	NE	NE	NE	NE	NE	23	100,0	1	4,3	22	95,7	NE	NE	31,1	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/output/saf_tte_309_07JUN2019_SAP_MOAESIOP_S1.xls
 28MAR2020 16:04

POPULATION: AQP4 Positive Population
 ENDPOINT: Severe AEST: Potential opportunistic infections
 MOSES: Unstratified analysis
 STUDY: BM40900
 Time to event analysis (Safety)

		SA237										Placebo										SA237 vs. Placebo						
		Patients		Censored		Time To Event						Patients		Censored		Time To Event						Log-rank	Hazard Ratio				Interaction Test	
Subgroup	Level	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	p-value	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status	p-value (likelihood ratio)	
All	n/a	41	100,0	41	100,0	NE	NE	NE	NE	NE	NE	23	100,0	23	100,0	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACM_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACM_3MSU/prod/output/saf_tte_309_07JUN2019_SACP_SAEAS10P_S1.xls
 28MAR2020 16:10

POPULATION: AQP4 Positive Population
 ENDPOINT: Serious AEI: Potential opportunistic infections
 MODEL: Unstratified analysis
 STUDY: BM40900
 Time to event analysis (Safety)

		SA237										Placebo										SA237 vs. Placebo						
		Patients		Censored		Time To Event						Patients		Censored		Time To Event						Log-rank	Hazard Ratio				Interaction Test	
Subgroup	Level	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	p-value	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status	p-value (likelihood ratio)	
All	n/a	41	100,0	41	100,0	NE	NE	NE	NE	NE	NE	23	100,0	23	100,0	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACM_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACM_3MSU/prod/output/saf_tte_309_07JUN2019_SACP_SASSTOPP_S1.xls
 28MAR2020 16:16

POPULATION: AQP4 Positive Population
 ENDPOINT: Moderate AERI: Injection-related reactions
 MOSES: Unstratified analysis
 STUDY: BM40900
 Time to event analysis (Safety)

		SA237										Placebo										SA237 vs. Placebo						
		Patients		Censored		Time To Event						Patients		Censored		Time To Event						Log-rank	Hazard Ratio				Interaction Test	
Subgroup	Level	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	p-value	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status	p-value (likelihood ratio)	
All	n/a	41	100,0	41	100,0	NE	NE	NE	NE	NE	NE	23	100,0	23	100,0	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACM_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACM_3MSU/prod/output/saf_tte_309_07JUN2019_SACP_MDAESIIR_S1.xls
 28MAR2020 16:05

POPULATION: AQP4 Positive Population
 ENDPOINT: Severe AEsI: Injection-related reactions
 MODEL: Unstratified analysis
 STUDY: BN40900
 Time to event analysis (Safety)

Subgroup	Level	SA237										Placebo										SA237 vs. Placebo										
		Patients		Patients with		Censored		Time To Event				Patients		Censored		Time To Event				Log-rank p-value	Hazard Ratio			Interaction Test p-value (likelihood ratio)								
		n	%	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1		95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median		95% Upper CL for Median	95% Lower CL	95% Upper CL	Convergence Status				
All	n/s	41	100,0	1	2,4	40	97,6	NE	NE	NE	NE	NE	NE	23	100,0	23	100,0	NE	NE	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BN40900/data_analysis/ACE_3MSU/prod/output/saf_tte_309_07JUN2019_SAMP_SBAESTIR_S1.xls
 28MAR2020 16:11

POPULATION: AQP4 Positive Population
 ENDPOINT: Serious AEI: Injection-related reactions
 MODEL: Unstratified analysis
 STUDY: BM40900
 Time to event analysis (Safety)

		SA237										Placebo										SA237 vs. Placebo						
		Patients				Censored		Time To Event										Log-rank		Hazard Ratio				Interaction Test				
Subgroup	Level	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	p-value	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status	p-value (likelihood ratio)	
All	n/a	41	100,0	41	100,0	NE	NE	NE	NE	NE	NE	23	100,0	23	100,0	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACI_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BM40900/data_analysis/ACI_3MSU/prod/output/saf_tte_309_07JUN2019_SACP_SASSTIRR_S1.xls
 28MAR2020 16:17

POPULATION: AQP4 Positive Population

ENDPOINT: --

MODEL: --

STUDY: BN40900

Demographic data and baseline disease characteristics

	Placebo (N=23) n (%)	SA237 (N=41) n (%)	Total (N=64) n (%)
Age (years)			
n	23	41	64
Mean (SD)	40.1 (11.5)	46.0 (12.0)	43.9 (12.1)
Min - Max	20 - 56	22 - 70	20 - 70
Median	43	47	45
Age Group			
n	23	41	64
<65 years	23 (100%)	40 (97.6%)	63 (98.4%)
>=65 years	0	1 (2.4%)	1 (1.6%)
Gender			
n	23	41	64
Male	1 (4.3%)	10 (24.4%)	11 (17.2%)
Female	22 (95.7%)	31 (75.6%)	53 (82.8%)
Race			
n	23	41	64
American Indian/Alaska Native	0	2 (4.9%)	2 (3.1%)
Asian [Japanese]	0	0	0
Asian [Non-Japanese]	6 (26.1%)	7 (17.1%)	13 (20.3%)
Black/African American	3 (13.0%)	11 (26.8%)	14 (21.9%)
Native Hawaiian/other Pacific Islander	0	0	0
White	13 (56.5%)	19 (46.3%)	32 (50.0%)
Other	1 (4.3%)	2 (4.9%)	3 (4.7%)

Geographic Region1			
n	23	41	64
Asia	5 (21.7%)	5 (12.2%)	10 (15.6%)
Europe/US/Other	18 (78.3%)	36 (87.8%)	54 (84.4%)
Geographic Region2			
n	23	41	64
Asia	5 (21.7%)	5 (12.2%)	10 (15.6%)
Europe/Other	6 (26.1%)	8 (19.5%)	14 (21.9%)
North America	12 (52.2%)	28 (68.3%)	40 (62.5%)
Ethnicity			
n	23	41	64
Hispanic or Latino	3 (13.0%)	7 (17.1%)	10 (15.6%)
Not Hispanic or Latino	20 (87.0%)	30 (73.2%)	50 (78.1%)
Not reported	0	4 (9.8%)	4 (6.3%)
Unknown	0	0	0
Height (cm)			
n	23	40	63
Mean (SD)	163.80 (6.16)	164.42 (8.30)	164.19 (7.54)
Min - Max	154.9 - 182.0	152.4 - 185.4	152.4 - 185.4
Median	162	165,05	163
Body Weight (kg)			
n	23	41	64
Mean (SD)	67.13 (17.49)	76.60 (22.77)	73.20 (21.38)
Min - Max	42.1 - 117.3	46.0 - 151.0	42.1 - 151.0
25%-ile	53,5	62	58,95
Median	67,2	75	70,5
75%-ile	75	83,8	80,85
Weight Category			
n	23	41	64
< Median	15 (65.2%)	19 (46.3%)	34 (53.1%)
>= Median	8 (34.8%)	22 (53.7%)	30 (46.9%)
BMI (kg/m2)			

n	23	40	63
Mean (SD)	24.92 (6.03)	28.46 (8.91)	27.17 (8.11)
Min - Max	17.5 - 44.1	18.0 - 62.2	17.5 - 62.2
Median	24,27	27,16	25,6
BMI Category (kg/m2)			
n	23	40	63
<18.5	2 (8.7%)	1 (2.5%)	3 (4.8%)
18.5 to <25	12 (52.2%)	16 (40.0%)	28 (44.4%)
25 to <30	6 (26.1%)	11 (27.5%)	17 (27.0%)
>=30	3 (13.0%)	12 (30.0%)	15 (23.8%)
Diagnosis			
n	23	41	64
NMO	15 (65.2%)	26 (63.4%)	41 (64.1%)
NMOSD	8 (34.8%)	15 (36.6%)	23 (35.9%)
Prior therapy			
n	23	41	64
B-cell depleting therapy	4 (17.4%)	5 (12.2%)	9 (14.1%)
Immunosuppresants/Others	19 (82.6%)	36 (87.8%)	55 (85.9%)
Most recent attack			
n	23	41	64
First attack	4 (17.4%)	5 (12.2%)	9 (14.1%)
Relapse	19 (82.6%)	36 (87.8%)	55 (85.9%)
Baseline EDSS			
n	23	41	64
Mean (SD)	3.43 (1.55)	4.02 (1.50)	3.81 (1.53)
Min - Max	1.0 - 6.5	1.5 - 6.5	1.0 - 6.5
Median	3,5	4	3,5

n represents the number of patients contributing to summary statistics. Percentages are based on n (number of valid values). Age calculated as the number of complete years between a patient's birth date and the date of first informed consent. AQP4=Aquaporin-4, NMO=Neuromyelitis Optica, NMOSD=Neuromyelitis Optica Spectrum Disorder and EDSS=Expanded Disability Status Scale. The EDSS is scored on a scale of 0-10. Higher scores represent increased disability. Clinical cut-off: 12OCT2018

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/oth_demo_309_12OCT2018_AQPP.xls
12DEC2019 19:12

POPULATION: AQP4 Positive Population

ENDPOINT: --

MODEL: --

STUDY: BN40900

Number of centers/countries/geographical regions with <10, >=10 patients per arm

Category	Center				Country				Geographical region (3)			
	n (4)	% (5)	n of patients randomized (6)	% randomized patients (7)	n (4)	% (5)	n of patients randomized (6)	% randomized patients (7)	n (4)	% (5)	n of patients randomized (6)	% randomized patients (7)
Overall	34	100.00%	64	100.00%	11	100.00%	64	100.00%	3	100.00%	64	100.00%
with <10 patients per arm (1)	34	100.00%	64	100.00%	10	90.90%	30	46.90%	2	66.70%	24	37.50%
with >=10 patients per arm (2)	0	0.00%	0	0.00%	1	9.10%	34	53.10%	1	33.30%	40	62.50%

(1): "<10 patients" category if at least one treatment arm has <10 patients. (2): ">=10 patients" category if all treatment arms have >=10 patients.

(3): Geographical regions: Europe, Asia, Others. (4): Number of centers/countries/geographical regions. (5): % of centers/countries/geographical regions compared to overall number.

(6): Number of patients randomized in the corresponding category (e.g. number of patients randomized in centers with <10 patients per arm).

(7): % of randomized patients compared to overall number of randomized patients (e.g. % of randomized patients in centers with <10 patients per arm compared to overall number of randomized patients).

Clinical cut-off: 12OCT2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/program/oth_center.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40900/data_analysis/ACE_CSRPrimary/prod/output/oth_center_309_12OCT2018_AQPP.xls

12DEC2019 19:10

Duration of Double-Blind Period for Safety Analysis, AQP4 Positive, Safety-Evaluable Population
 Protocol: SA-309JG
 CCOD : SA309 CSR: 12OCT2018

	Placebo (N=23)	SA237 (N=41)
Duration (Weeks)		
0 - 23	9 (39.1%)	3 (7.3%)
24 - 47	2 (8.7%)	4 (9.8%)
48 - 71	4 (17.4%)	2 (4.9%)
72 - 95	4 (17.4%)	11 (26.8%)
96 - 119	2 (8.7%)	10 (24.4%)
120 - 143	0	2 (4.9%)
144 - 167	1 (4.3%)	1 (2.4%)
168 - 191	0	7 (17.1%)
192 - 215	0	1 (2.4%)
216 - 239	1 (4.3%)	0
Mean (SD)	60.7 (54.3)	102.3 (51.9)
Median	60.1	96.7
Min - Max	7 - 219	8 - 193

AQP4=Aquaporin-4

Double-blind period starts on the day of first dose. The double-blind period ends on the earliest day of 1) clinical cutoff date, 2) the day before the first treatment in the extension period, 3) the end of the study, or 4) last contact for Patients lost to follow up.

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_CSR_adhocs/prod/program/
 t_ex_sty_9824.sas

Output: root/clinical_studies/RO5333787/CDP70210/share/pool_CSR_adhocs/prod/output/
 t_ex_sty_9824_309_AQFPOS_DBSAF_SE.out

10JUL2020 16:46

Page 1 of 1

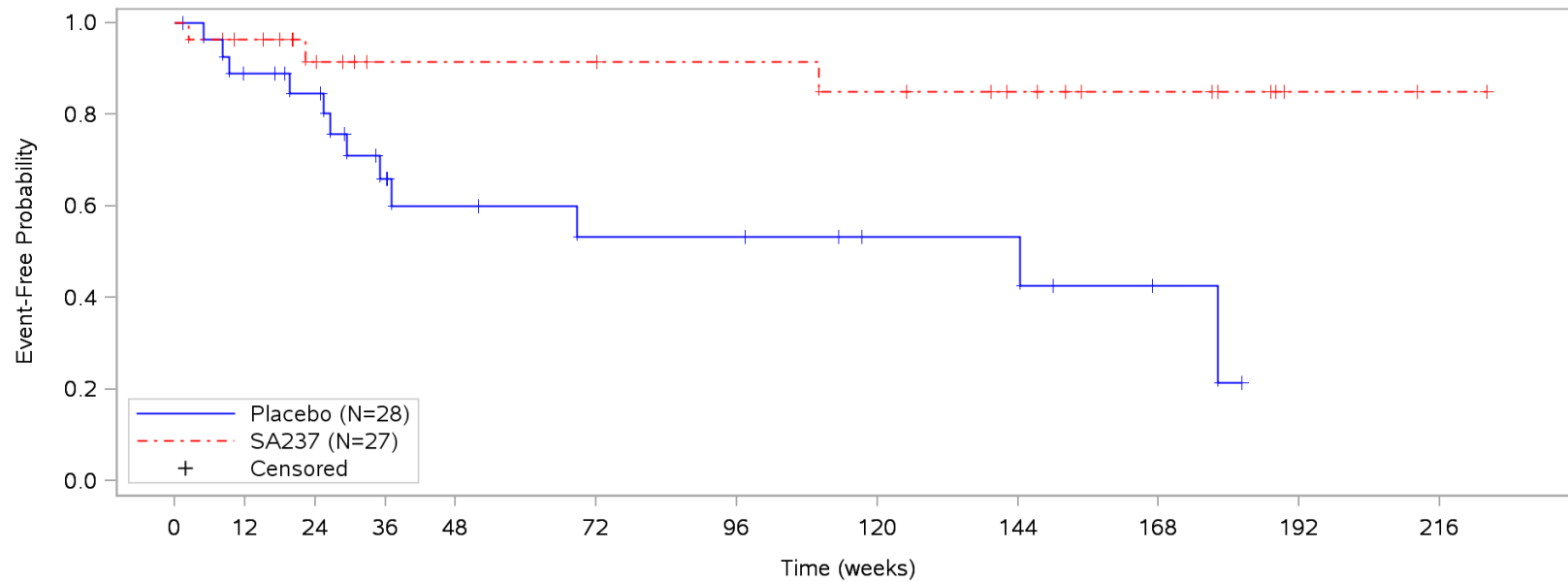
POPULATION: AQP4 Positive Population
 ENDPOINT: Protocol Defined Relapse
 MODEL: Stratified analysis (stratification factors: baseline AJR (1, >1); geographic region (Asia, EU/Other))
 STUDY: BM40898
 Time to event analysis (efficacy)

SA237													Placebo													SA237 vs. Placebo														
Subgroup	Level	Patients		Patients with		Censored		Time To Event					Patients		Patients with		Censored		Time To Event					Log-rank p-value	Hazard Ratio				Convergence Status											
		n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median	n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)		95% Lower CI for Median	95% Upper CI for Median	Hazard Ratio	95% Lower CI		95% Upper CI										
All	n/a	27	100,0	3	11,1	24	88,9	NE		22,3		NE		NE		NE		NE	28	100,0	12	42,9	16	57,1	29,4		8,1		68,7		144,3		29,4		NE	0,0086	0,21	0,06	0,75	Convergence criterion (GCMV18-s) satisfied.

* indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/805333787/CDP70210/BM40898/data_analysis/ACE_CSRPrimary/prod/program
 Output: root/clinical_studies/805333787/CDP70210/BM40898/data_analysis/ACE_CSRPrimary/prod/output/efz_tte_307_06JUN2018_AQP_PDR01DB_ST.xls
 22MAR2020 12:15

POPULATION: AQP4 Positive Population
ENDPOINT: Time to First Relapse, Protocol Defined Relapse
MODEL: --
STUDY: BN40898
Kaplan-Meier plot of time to first event (weeks)



Patients at risk		0	12	24	36	48	72	96	120	144	168	192	216
Placebo	28	23	20	13	10	8	8	5	5	2	NE	NE	
SA237	27	24	19	15	15	15	14	13	10	7	2	1	
Patients censored		0	12	24	36	48	72	96	120	144	168	192	216
Placebo	0	2	4	7	9	10	10	13	13	15	NE	NE	
SA237	0	2	6	10	10	10	11	11	14	17	22	23	

Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_eff_tte_km_307_06JUN2018_AQPP_PDR01DB.pdf
 22MAR2020 12:36

POPULATION: AQP4 Positive Population
 ENDPOINT: Annualized Relapse Rate, Protocol Defined Relapse
 MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))
 STUDY: BN40898
 Repeated event analysis (efficacy)

		(N=27)									(N=28)									Adjusted Analysis: Difference between Treatments									
Subgroup	Level	Patients		Relapses	Patient Years	Naive Annualized Relapse Rate			Adjusted Annualized Relapse Rate			n	%	Total	Total	Rate	95% Lower CL	95% Upper CL	Adjusted Rate	95% Lower CL	95% Upper CL	Adjusted Rate	95% Lower CL	95% Upper CL	1r		Rate Ratio		
		n	%			Rate	95% Lower CL	95% Upper CL	Adjusted Rate	95% Lower CL	95% Upper CL														p-value	Rate Ratio	95% Lower CL	95% Upper CL	Convergence Reason
All	n/a	27	100,0	3	50,44	0,059	0,012	0,174	0,063	0,018	0,228	28	100,0	12	32,12	0,374	0,193	0,653	0,52	0,18	1,5	0,0039	0,122	0,027	0,546	Algorithm converged.			

Rate (raw) defined as the total number of relapses for all patients in the treatment group divided by the total patient-years of exposure to that treatment.
 Based on negative binomial model. Factors/covariates: treatment. Adjusted for randomization stratification factors. Log (time in study [in years] per patient) as offset-variable.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/eff_nb.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/eff_nb_307_06JUN2018_AQPP_PDR01DB_ST.xls
 22OCT2019 20:03

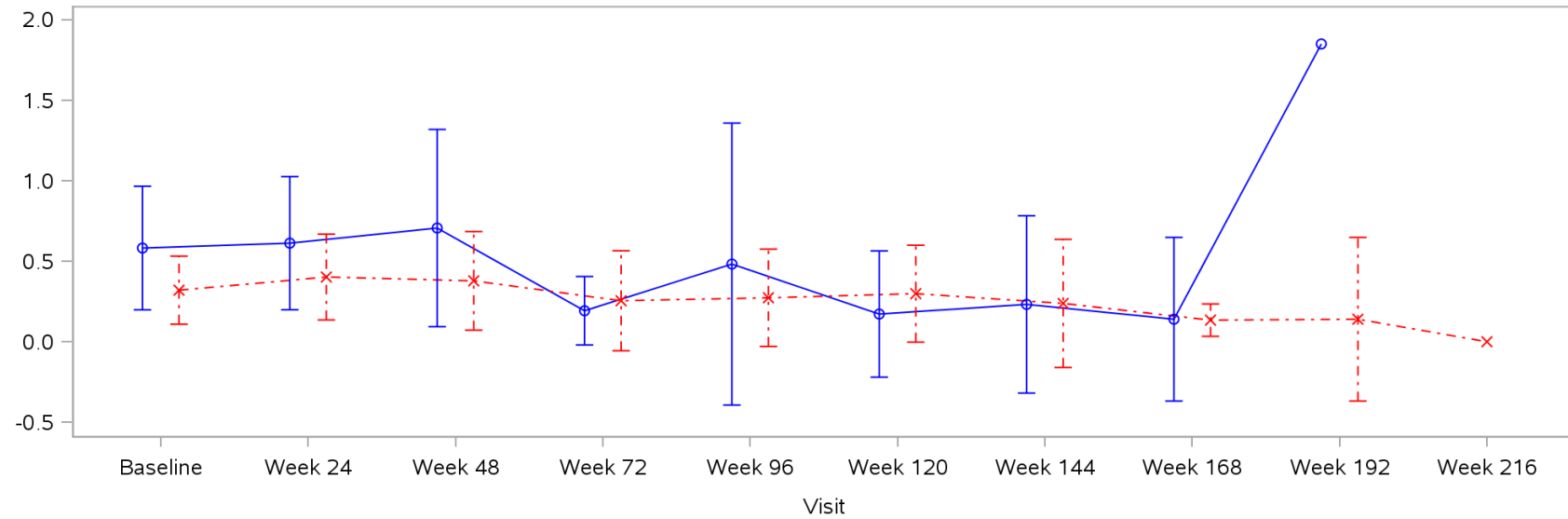
POPULATION: AQP4 Positive Population
 ENDPOINT: Change from baseline, Visual Acuity Score (Snellen Chart): OD
 MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))
 STUDY: BN40898
 Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
Subgroup	Level	N		Statistics		N		Statistics		Statistics				Statistics			
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	25	0,048	0,046	28	28	24	0,043	0,053	0,006	0,071	-0,140	0,152	0,9370	0,2870

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.
 Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.
 The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.
 OD = Right eye, OS = Left eye. Lower values indicate better visual acuity.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/eff_mmrn.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/eff_mmrn_307_06JUN2018_AQPP_FSSVF1_ST.xls
 11SEP2020 19:44

POPULATION: AQP4 Positive Population
ENDPOINT: Visual Acuity Score (Snellen Chart): OD
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo										
n	28	24	16	8	8	5	5	2	1	0
SA237										
n	27	25	18	15	15	15	11	7	2	1

Treatment Group —○— Placebo (N=28) - - - × - - - SA237 (N=27)

OD = Right eye, OS = Left eye. Lower values indicate better visual acuity.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_eff_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_eff_mean_307_06JUN2018_AQPP_FSSVF1.pdf
 11SEP2020 18:53

POPULATION: AQP4 Positive Population
 ENDPOINT: Visual Acuity Score (Snellen Chart): OD
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

Subgroup Level	Visit	SA237 (N=27)								Placebo (N=28)									
		Patients				Statistics				Patients				Statistics					
		in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD		
All	n/a	27	100,0	27	100,0	0,320	0,535	28	100,0	28	100,0	0,582	0,990	24	85,7	24	100,0	0,613	0,980
	Baseline	25	92,6	25	100,0	0,402	0,645	16	57,1	16	100,0	0,706	1,150	16	100,0	16	100,0	0,613	0,980
	Week 24	18	66,7	18	100,0	0,378	0,616	9	32,1	8	88,9	0,193	0,254	16	100,0	16	100,0	0,706	1,150
	Week 48	16	59,3	15	93,8	0,255	0,561	8	28,6	8	100,0	0,483	1,048	16	100,0	16	100,0	0,706	1,150
	Week 72	15	55,6	15	100,0	0,273	0,546	5	17,9	5	100,0	0,172	0,316	16	100,0	16	100,0	0,706	1,150
	Week 96	15	55,6	15	100,0	0,299	0,544	5	17,9	5	100,0	0,232	0,444	16	100,0	16	100,0	0,706	1,150
	Week 120	11	40,7	11	100,0	0,238	0,592	2	7,1	2	100,0	0,140	0,057	16	100,0	16	100,0	0,706	1,150
	Week 144	7	25,9	7	100,0	0,134	0,109	1	3,6	1	100,0	1,850	NE	16	100,0	16	100,0	0,706	1,150
	Week 168	2	7,4	2	100,0	0,140	0,057	1	3,6	1	100,0	1,850	NE	16	100,0	16	100,0	0,706	1,150
	Week 192	1	3,7	1	100,0	0,000	NE							16	100,0	16	100,0	0,706	1,150
	Week 216	3	11,1	3	100,0	0,093	0,090	6	21,4	5	83,3	1,020	1,397	16	100,0	16	100,0	0,706	1,150
	End of Study (Discontinued)	3	11,1	3	100,0	0,093	0,090	6	21,4	5	83,3	1,020	1,397	16	100,0	16	100,0	0,706	1,150

¹ in study: number of subjects in study at respective visit; % based on baseline.
 with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.
² mean: descriptive statistics - absolute values.
 OD = Right eye, OS = Left eye. Lower values indicate better visual acuity.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/eff_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/eff_mean_307_06JUN2018_AQPP_FSSVF1_SG.xls
 11SEP2020 18:28

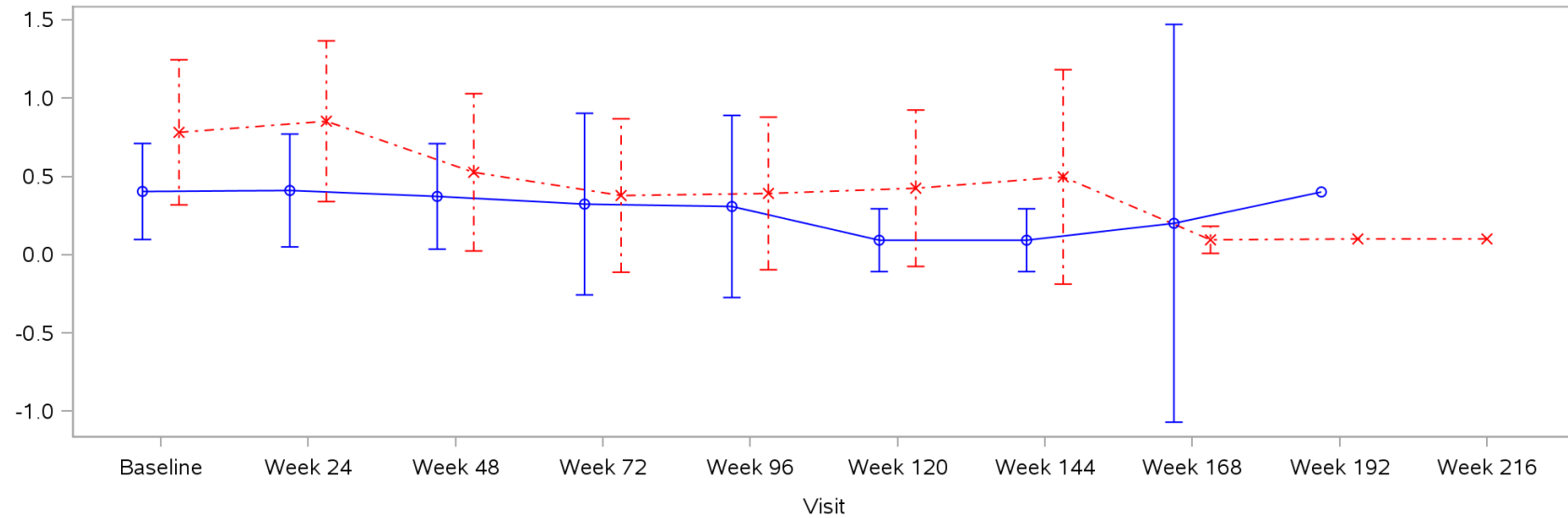
POPULATION: AQP4 Positive Population
 ENDPOINT: Change from baseline, Visual Acuity Score (Snellen Chart): OS
 MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))
 STUDY: BN40898
 Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
Subgroup	Level	N		Statistics		N		Statistics		Statistics				Statistics			
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	25	0,130	0,081	28	28	24	0,026	0,087	0,103	0,118	-0,141	0,347	0,3916	0,3621

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.
 Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.
 The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.
 OD = Right eye, OS = Left eye. Lower values indicate better visual acuity.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/eff_mmrn.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/eff_mmrn_307_06JUN2018_AQPP_FSSVF2_ST.xls
 11SEP2020 19:48

POPULATION: AQP4 Positive Population
ENDPOINT: Visual Acuity Score (Snellen Chart): OS
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo										
n	28	24	16	8	8	5	5	2	1	0
SA237										
n	27	25	18	15	15	15	11	7	2	1

Treatment Group —○— Placebo (N=28) - - - × - - - SA237 (N=27)

OD = Right eye. OS = Left eye. Lower values indicate better visual acuity.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_eff_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_eff_mean_307_06JUN2018_AQPP_FSSVF2.pdf
 11SEP2020 18:54

POPULATION: AQP4 Positive Population
 ENDPOINT: Visual Acuity Score (Snellen Chart): OS
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

Subgroup Level	Visit	SA237 (N=27)						Placebo (N=28)					
		Patients			Statistics			Patients			Statistics		
		in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD
All	n/a	27	100,0	27	100,0	0,781	1,172	28	100,0	28	100,0	0,403	0,791
	Baseline	25	92,6	25	100,0	0,852	1,244	24	85,7	24	100,0	0,410	0,854
	Week 24	18	66,7	18	100,0	0,526	1,011	16	57,1	16	100,0	0,372	0,632
	Week 48	16	59,3	15	93,8	0,377	0,885	9	32,1	8	88,9	0,323	0,694
	Week 72	15	55,6	15	100,0	0,391	0,880	8	28,6	8	100,0	0,308	0,696
	Week 96	15	55,6	15	100,0	0,424	0,902	5	17,9	5	100,0	0,092	0,162
	Week 120	11	40,7	11	100,0	0,496	1,020	5	17,9	5	100,0	0,092	0,162
	Week 144	7	25,9	7	100,0	0,094	0,094	2	7,1	2	100,0	0,200	0,141
	Week 168	2	7,4	2	100,0	0,100	0,000	1	3,6	1	100,0	0,400	NE
	Week 192	1	3,7	1	100,0	0,100	NE						
	Week 216												
	End of Study (Discontinued)	3	11,1	3	100,0	0,700	1,127	6	21,4	5	83,3	0,436	0,881

¹ in study: number of subjects in study at respective visit; % based on baseline.
 with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.
² mean: descriptive statistics - absolute values.
 OD = Right eye, OS = Left eye. Lower values indicate better visual acuity.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/eff_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/eff_mean_307_06JUN2018_AQPP_FSSVF2_SG.xls
 11SEP2020 18:30

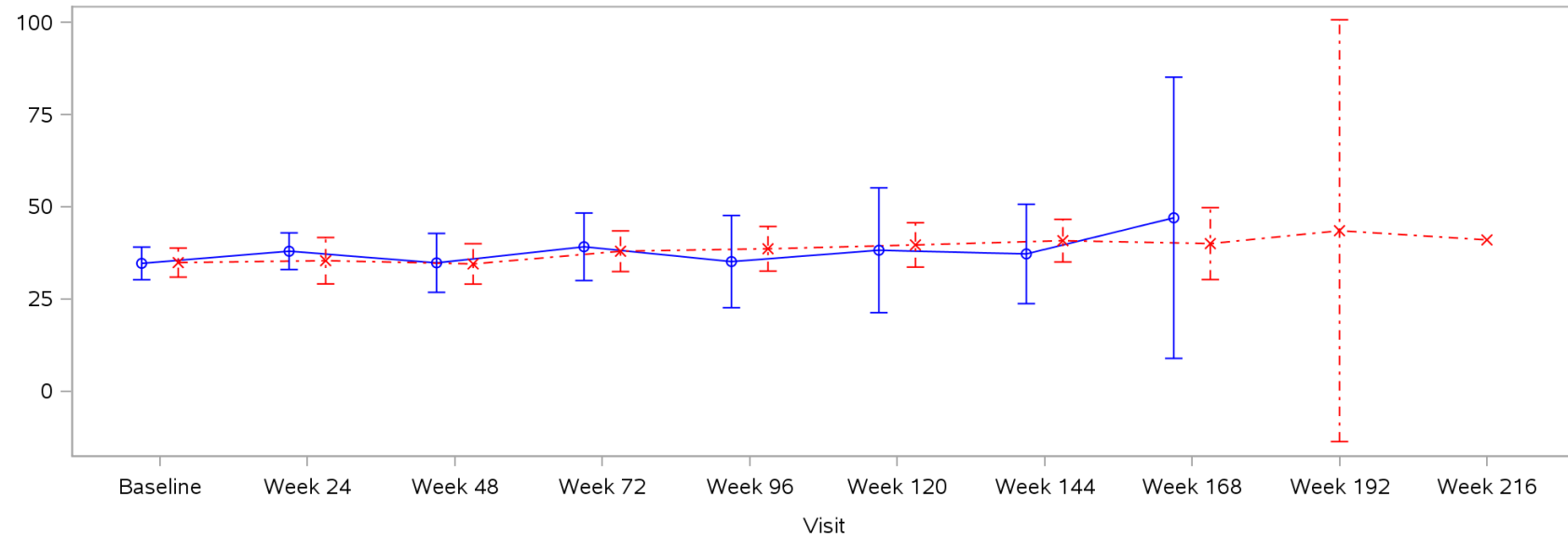
POPULATION: AQP4 Positive Population
 ENDPOINT: Change from baseline, Functional Assessment of Chronic Illness Therapy (FACIT): Fatigue Score
 MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))
 STUDY: BN40898
 Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
Subgroup	Level	N		Statistics		N		Statistics		Statistics				Statistics			
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	19	-0,517	1,028	28	28	19	2,751	1,219	-3,268	1,612	-6,632	0,096	0,0563	0,6158

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.
 Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.
 The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.
 The FACIT fatigue is scored on a scale of 0-52. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_307_06JUN2018_AQPP_FACITOT_ST.xls
 11SEP2020 15:56

POPULATION: AQP4 Positive Population
ENDPOINT: Functional Assessment of Chronic Illness Therapy (FACIT): Fatigue Score
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo										
n	28	19	9	7	8	5	5	2	0	0
SA237										
n	27	19	16	16	15	14	10	7	2	1

Treatment Group —○— Placebo (N=28) - - - × - - - SA237 (N=27)

The FACIT fatigue is scored on a scale of 0-52. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_307_06JUN2018_AQPP_FACITOT.pdf
 02NOV2019 9:51

POPULATION: AQP4 Positive Population
 ENDPOINT: Functional Assessment of Chronic Illness Therapy (FACIT): Fatigue Score
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

Subgroup Level	Visit	SA237 (N=27)						Placebo (N=28)						
		Patients			Statistics			Patients			Statistics			
		in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	
All	n/a	Baseline	27	100,0	27	100,0	34,852	9,972	28	100,0	28	100,0	34,643	11,409
		Week 24	19	70,4	19	100,0	35,368	13,048	20	71,4	19	95,0	37,947	10,309
		Week 48	16	59,3	16	100,0	34,500	10,270	9	32,1	9	100,0	34,778	10,390
		Week 72	16	59,3	16	100,0	37,938	10,357	8	28,6	7	87,5	39,143	9,890
		Week 96	15	55,6	15	100,0	38,600	10,920	8	28,6	8	100,0	35,125	14,952
		Week 120	14	51,9	14	100,0	39,643	10,412	5	17,9	5	100,0	38,200	13,627
		Week 144	10	37,0	10	100,0	40,800	8,094	5	17,9	5	100,0	37,200	10,849
		Week 168	7	25,9	7	100,0	40,000	10,536	2	7,1	2	100,0	47,000	4,243
		Week 192	2	7,4	2	100,0	43,500	6,364						
		Week 216	1	3,7	1	100,0	41,000	NE						
		End of Study (Discontinued)	3	11,1	3	100,0	37,333	6,658	6	21,4	6	100,0	33,833	9,988

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The FACIT fatigue is scored on a scale of 0-52. Higher scores indicate better quality of life.

Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_307_06JUN2018_AQPP_FACITOT_SG.xls

01NOV2019 20:38

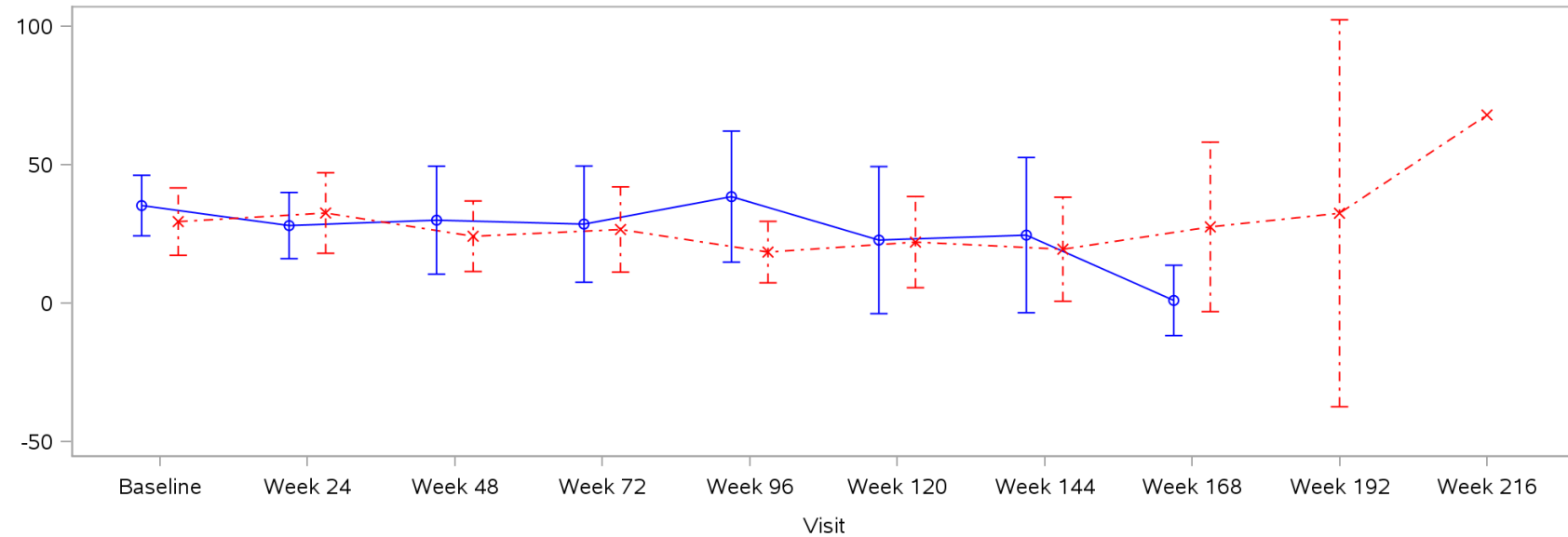
POPULATION: AQP4 Positive Population
 ENDPOINT: Change from baseline, Visual Analogue Scale (VAS): Pain Score
 MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))
 STUDY: BN40898
 Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
Subgroup	Level	N		Statistics		N		Statistics		Statistics				Statistics			
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	19	6,089	4,012	28	28	19	-2,363	4,731	8,452	6,228	-4,241	21,145	0,1844	0,8029

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.
 Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.
 The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.
 The VAS pain is scored on a scale of 0-100. Lower scores reflect a better health state.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_307_06JUN2018_AQPP_VASPAIN_ST.xls
 11SEP2020 16:17

POPULATION: AQP4 Positive Population
ENDPOINT: Visual Analogue Scale (VAS): Pain Score
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo										
n	28	19	9	7	8	5	5	2	0	0
SA237										
n	27	19	16	16	15	14	10	7	2	1

Treatment Group —○— Placebo (N=28) - - - * - - - SA237 (N=27)

The VAS pain is scored on a scale of 0-100. Lower scores reflect a better health state.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_307_06JUN2018_AQPP_VASPAIN.pdf
 02NOV2019 10:02

POPULATION: AQP4 Positive Population
 ENDPOINT: Visual Analogue Scale (VAS): Pain Score
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

Subgroup Level	Visit	SA237 (N=27)						Placebo (N=28)						
		Patients			Statistics			Patients			Statistics			
		in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	
All	n/a	Baseline	27	100,0	27	100,0	29,481	30,748	28	100,0	28	100,0	35,286	28,208
		Week 24	19	70,4	19	100,0	32,579	30,165	20	71,4	19	95,0	28,053	24,798
		Week 48	16	59,3	16	100,0	24,188	23,912	9	32,1	9	100,0	30,000	25,367
		Week 72	16	59,3	16	100,0	26,625	28,900	8	28,6	7	87,5	28,571	22,700
		Week 96	15	55,6	15	100,0	18,467	20,042	8	28,6	8	100,0	38,500	28,320
		Week 120	14	51,9	14	100,0	22,071	28,518	5	17,9	5	100,0	22,800	21,394
		Week 144	10	37,0	10	100,0	19,500	26,273	5	17,9	5	100,0	24,600	22,579
		Week 168	7	25,9	7	100,0	27,571	33,095	2	7,1	2	100,0	1,000	1,414
		Week 192	2	7,4	2	100,0	32,500	7,778						
		Week 216	1	3,7	1	100,0	68,000	NE						
		End of Study (Discontinued)	3	11,1	3	100,0	30,333	6,110	6	21,4	6	100,0	53,167	40,142

¹ in study: number of subjects in study at respective visit; % based on baseline.
 with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.
² mean: descriptive statistics - absolute values.
 The VAS pain is scored on a scale of 0-100. Lower scores reflect a better health state.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_307_06JUN2018_AQPP_VASPAIN_SG.xls
 01NOV2019 20:46

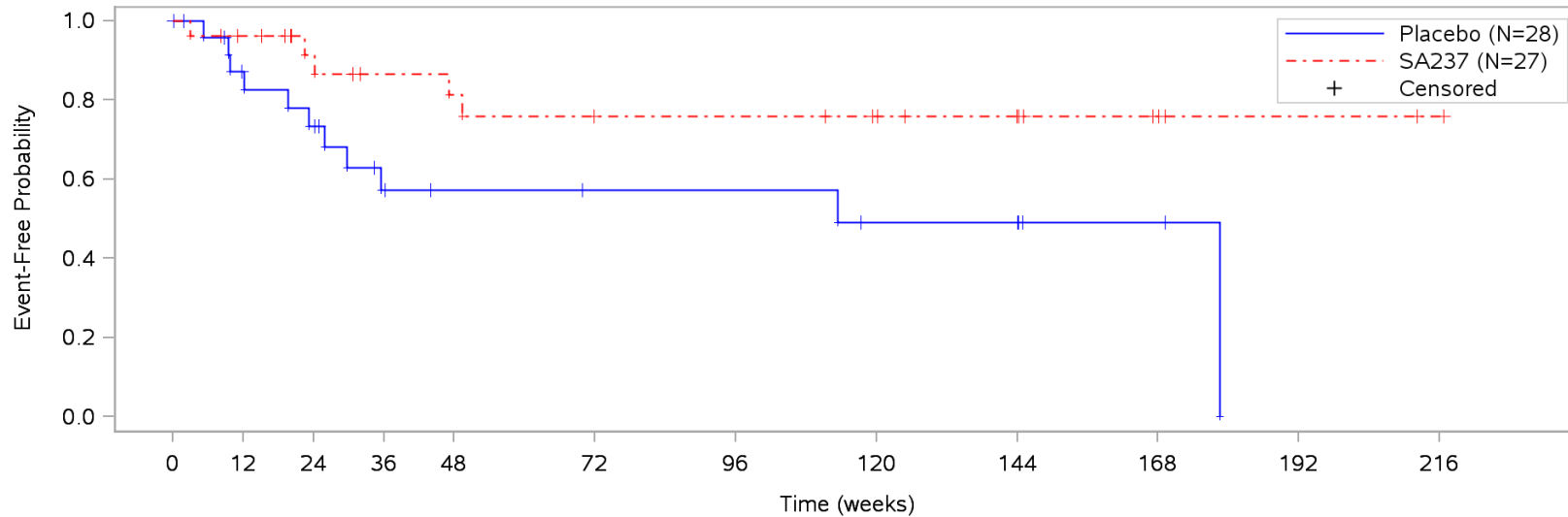
POPULATION: AQP4 Positive Population
 ENDPOINT: Expanded Disability Status Scale (EDSS) Score
 MODEL: Stratified analysis (stratification factors: baseline ABR (1, >1); geographic region (Asia, EU/Other))
 STUDY: BMS198
 Time to event analysis (PBO)

SA237														Placebo														SA237 vs. Placebo						
Patients			Patients with			Censored		Time To Event							Patients			Patients with			Censored		Time To Event							Log-rank	Hazard Ratio			
Subgroup	Level	n	%	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	p-value	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status				
All	n/a	27	100,0	5	18,5	22	81,5	NE	22,4	NE	NE	NE	NE	NE	27	96,4	11	40,7	16	59,3	23,1	5,3	113,4	113,4	23,1	178,6	0,0529	0,36	0,12	1,06	Convergence criterion (COCONV1-8) satisfied.			

* indicates convergence problem. Result is uninterpretable.
 The EDSS is scored on a scale of 0-10. Higher scores represent increased disability. EDSS worsening defined as (a) worsening of 2 or more points in EDSS score for patients with baseline score of 0, (b) worsening of 1 or more points in EDSS score for patients with baseline score of 1 to 5, or (c) worsening of 0.5 points or more in EDSS score for patients with baseline score of 5.5 or more. Patients were censored at the date of the last EDSS assessment in DB or if no EDSS assessment in DB was performed at the randomization date.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/R05333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_tte.sas
 Output: root/clinical_studies/R05333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_tte_307_06JUN2018_AQFF_FWORSDB_ST.xls
 30AUG2020 19:46

POPULATION: AQP4 Positive Population
ENDPOINT: Time to First Worsening, Expanded Disability Status Scale (EDSS) Score
MODEL: --
STUDY: BN40898
Kaplan-Meier plot of time to first worsening (weeks)



Patients at risk		0	12	24	36	48	72	96	120	144	168	192	216
Placebo	27	19	16	10	8	7	7	5	5	2	NE	NE	
SA237	27	24	19	16	15	13	13	11	8	5	2	1	
Patients censored		0	12	24	36	48	72	96	120	144	168	192	216
Placebo	0	5	5	8	10	11	11	12	13	15	NE	NE	
SA237	0	2	6	8	8	9	9	11	14	17	20	21	

The EDSS is scored on a scale of 0-10. Higher scores represent increased disability. EDSS worsening defined as (a) worsening of 2 or more points in EDSS score for patients with baseline score of 0, (b) worsening of 1 or more points in EDSS score for patients with baseline score of 1 to 5, or (c) worsening of 0.5 points or more in EDSS score for patients with baseline score of 5.5 or more. Patients were censored at the date of the last EDSS assessment in DB or if no EDSS assessment in DB was performed at the randomization date. Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_pro_tte_km.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_tte_km_307_06JUN2018_AQPP_FWORSDB.pdf
 30AUG2020 18:44

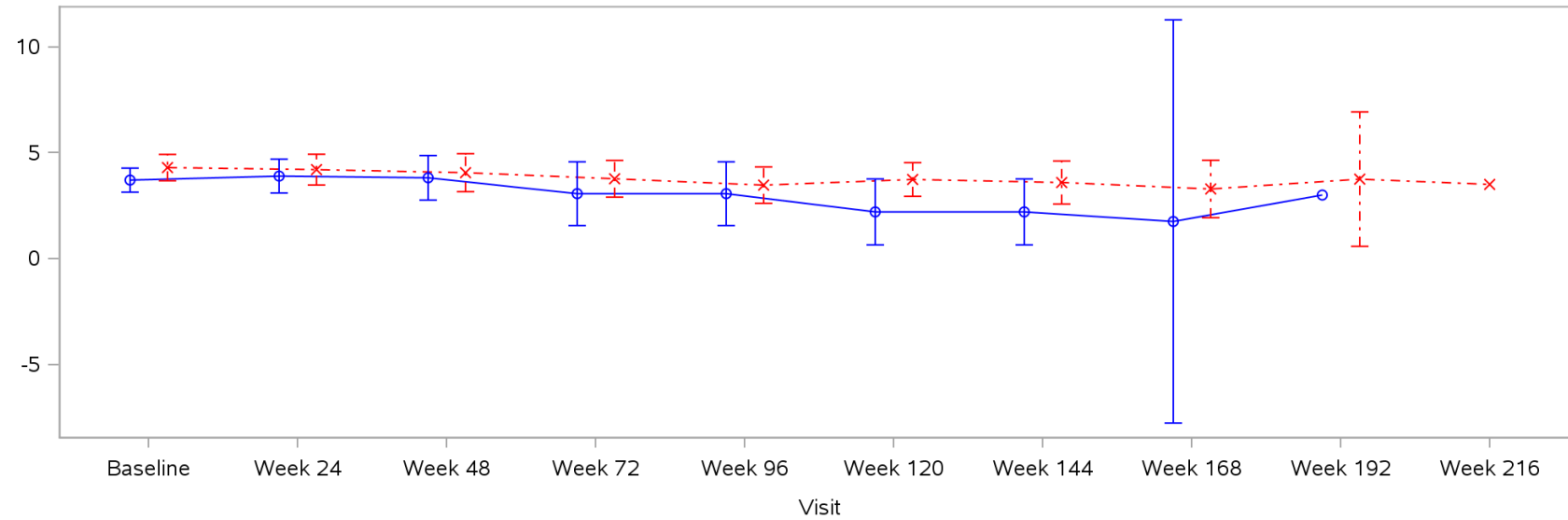
POPULATION: AQP4 Positive Population
 ENDPOINT: Change from baseline, Expanded Disability Status Scale (EDSS) Score
 MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))
 STUDY: BN40898
 Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
Subgroup	Level	N		Statistics		N		Statistics		Statistics				Statistics			
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	25	-0,058	0,221	28	27	24	0,345	0,252	-0,403	0,339	-1,099	0,293	0,2450	0,3971

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.
 Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.
 The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.
 The EDSS is scored on a scale of 0-10. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_307_06JUN2018_AQPP_EDSS_ST.xls
 11SEP2020 16:07

POPULATION: AQP4 Positive Population
ENDPOINT: Expanded Disability Status Scale (EDSS) Score
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo										
n	27	24	16	8	8	5	5	2	1	0
SA237										
n	27	25	18	15	15	15	11	7	2	1

Treatment Group —○— Placebo (N=28) - -x- - SA237 (N=27)

The EDSS is scored on a scale of 0-10. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_307_06JUN2018_AQPP_EDSS.pdf
 11SEP2020 10:32

POPULATION: AQP4 Positive Population
 ENDPOINT: Expanded Disability Status Scale (EDSS) Score
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

Subgroup Level	Visit	SA237 (N=27)						Placebo (N=28)					
		Patients			Statistics			Patients			Statistics		
		in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD
All	n/a	27	100,0	27	100,0	4,296	1,577	28	100,0	27	96,4	3,704	1,436
	Baseline	25	92,6	25	100,0	4,200	1,762	25	89,3	24	96,0	3,896	1,894
	Week 24	18	66,7	18	100,0	4,056	1,806	16	57,1	16	100,0	3,813	1,974
	Week 48	16	59,3	15	93,8	3,767	1,568	9	32,1	8	88,9	3,063	1,802
	Week 72	15	55,6	15	100,0	3,467	1,552	8	28,6	8	100,0	3,063	1,802
	Week 96	15	55,6	15	100,0	3,733	1,438	5	17,9	5	100,0	2,200	1,255
	Week 120	11	40,7	11	100,0	3,591	1,514	5	17,9	5	100,0	2,200	1,255
	Week 144	7	25,9	7	100,0	3,286	1,468	2	7,1	2	100,0	1,750	1,061
	Week 168	2	7,4	2	100,0	3,750	0,354	1	3,6	1	100,0	3,000	NE
	Week 192	1	3,7	1	100,0	3,500	NE						
	Week 216												
	End of Study (Discontinued)	3	11,1	3	100,0	3,500	0,500	6	21,4	5	83,3	4,000	2,031

¹ in study: number of subjects in study at respective visit; % based on baseline.
 with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.
² mean: descriptive statistics - absolute values.
 The EDSS is scored on a scale of 0-10. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_307_06JUN2018_AQPP_EDSS_SG.xls
 11SEP2020 8:43

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Functional System Score (FSS): Ambulation Score

MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))

STUDY: BN40898

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
Subgroup	Level	N		Statistics		N		Statistics		Statistics				Statistics			
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	25	NE	NE	28	27	23	NE	NE	NE	NE	NE	NE	NE	NE

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

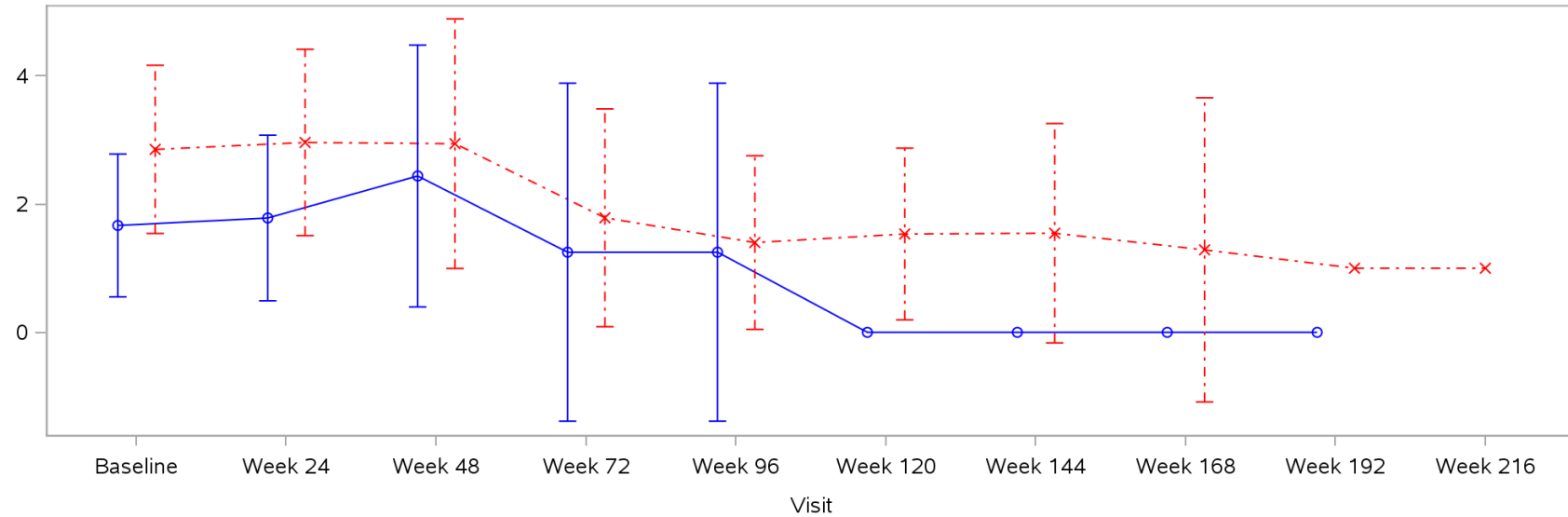
Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_307_06JUN2018_AQPF_FSSAMB_ST.xls

11SEP2020 16:08

POPULATION: AQP4 Positive Population
ENDPOINT: Functional System Score (FSS): Ambulation Score
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo										
n	27	23	16	8	8	5	5	2	1	0
SA237										
n	27	25	17	14	15	15	11	7	2	1

Treatment Group —○— Placebo (N=28) - - - × - - - SA237 (N=27)

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_307_06JUN2018_AQPP_FSSAMB.pdf
 11SEP2020 10:33

POPULATION: AQP4 Positive Population
 ENDPOINT: Functional System Score (FSS): Ambulation Score
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

		SA237 (N=27)						Placebo (N=28)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	27	100,0	27	100,0	2,852	3,313	28	100,0	27	96,4	1,667	2,815
	Week 24	25	92,6	25	100,0	2,960	3,518	25	89,3	23	92,0	1,783	2,984
	Week 48	18	66,7	17	94,4	2,941	3,783	16	57,1	16	100,0	2,438	3,829
	Week 72	16	59,3	14	87,5	1,786	2,940	9	32,1	8	88,9	1,250	3,151
	Week 96	15	55,6	15	100,0	1,400	2,444	8	28,6	8	100,0	1,250	3,151
	Week 120	15	55,6	15	100,0	1,533	2,416	5	17,9	5	100,0	0,000	0,000
	Week 144	11	40,7	11	100,0	1,545	2,544	5	17,9	5	100,0	0,000	0,000
	Week 168	7	25,9	7	100,0	1,286	2,563	2	7,1	2	100,0	0,000	0,000
	Week 192	2	7,4	2	100,0	1,000	0,000	1	3,6	1	100,0	0,000	NE
	Week 216	1	3,7	1	100,0	1,000	NE						
	End of Study (Discontinued)	3	11,1	3	100,0	0,333	0,577	6	21,4	5	83,3	2,400	4,827

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_307_06JUN2018_AQPP_FSSAMB_SG.xls

11SEP2020 8:44

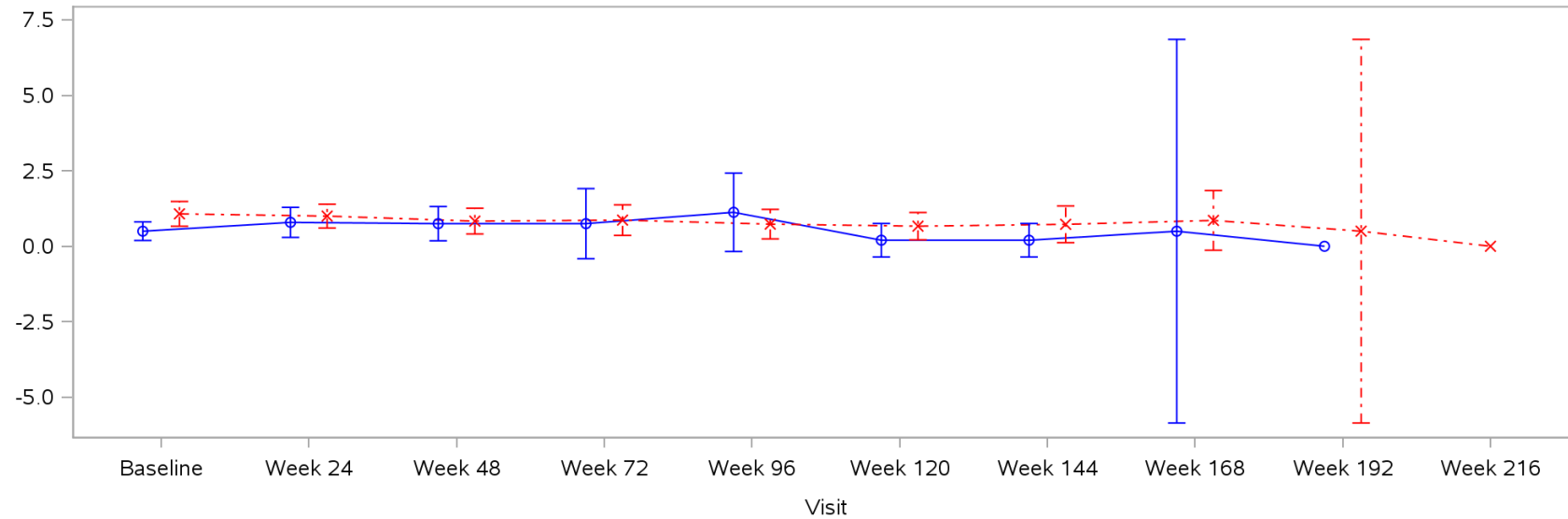
POPULATION: AQP4 Positive Population
 ENDPOINT: Change from baseline, Functional System Score (FSS): Bowel and Bladder Score
 MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))
 STUDY: BN40898
 Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)					Effects		
Subgroup	Level	N		Statistics		N		Statistics		Statistics					Statistics		
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	25	NE	NE	28	28	24	NE	NE	NE	NE	NE	NE	NE	NE

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.
 Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.
 The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.
 The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_307_06JUN2018_AQPF_FSSBOW_ST.xls
 11SEP2020 16:13

POPULATION: AQP4 Positive Population
ENDPOINT: Functional System Score (FSS): Bowel and Bladder Score
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo										
n	28	24	16	8	8	5	5	2	1	0
SA237										
n	27	25	18	15	15	15	11	7	2	1

Treatment Group —○— Placebo (N=28) - -x- - SA237 (N=27)

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_307_06JUN2018_AQPP_FSSBOW.pdf
 11SEP2020 10:40

POPULATION: AQP4 Positive Population

ENDPOINT: Functional System Score (FSS): Bowel and Bladder Score

MODEL: --

STUDY: BN40898

Compliance/Mean

		SA237 (N=27)						Placebo (N=28)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	27	100,0	27	100,0	1,074	1,035	28	100,0	28	100,0	0,500	0,793
	Week 24	25	92,6	25	100,0	1,000	0,957	25	89,3	24	96,0	0,792	1,179
	Week 48	18	66,7	18	100,0	0,833	0,857	16	57,1	16	100,0	0,750	1,065
	Week 72	16	59,3	15	93,8	0,867	0,915	9	32,1	8	88,9	0,750	1,389
	Week 96	15	55,6	15	100,0	0,733	0,884	8	28,6	8	100,0	1,125	1,553
	Week 120	15	55,6	15	100,0	0,667	0,816	5	17,9	5	100,0	0,200	0,447
	Week 144	11	40,7	11	100,0	0,727	0,905	5	17,9	5	100,0	0,200	0,447
	Week 168	7	25,9	7	100,0	0,857	1,069	2	7,1	2	100,0	0,500	0,707
	Week 192	2	7,4	2	100,0	0,500	0,707	1	3,6	1	100,0	0,000	NE
	Week 216	1	3,7	1	100,0	0,000	NE						
	End of Study (Discontinued)	3	11,1	3	100,0	0,667	0,577	6	21,4	5	83,3	1,600	1,817

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_307_06JUN2018_AQPP_FSSBOW_SG.xls

11SEP2020 8:47

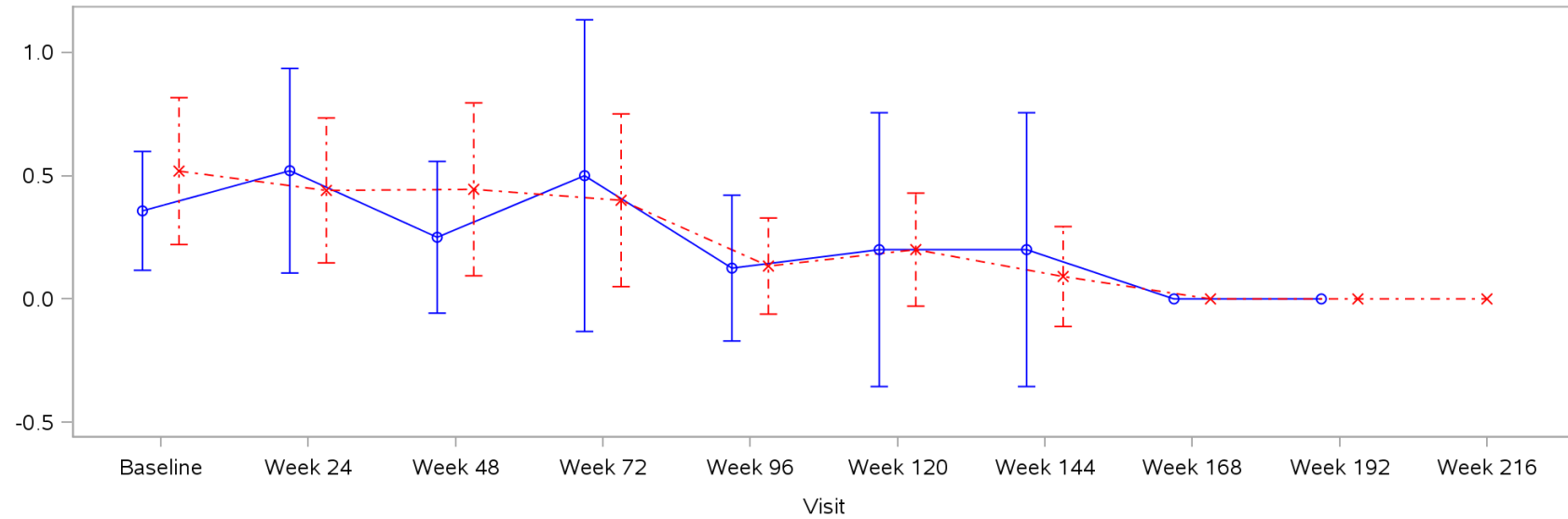
POPULATION: AQP4 Positive Population
 ENDPOINT: Change from baseline, Functional System Score (FSS): Brainstem Score
 MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))
 STUDY: BN40898
 Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)					Effects		
Subgroup	Level	N		Statistics		N		Statistics		Statistics					Statistics		
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	25	NE	NE	28	28	25	NE	NE	NE	NE	NE	NE	NE	NE

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.
 Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.
 The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.
 The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_307_06JUN2018_AQPF_FSSBRS_ST.xls
 11SEP2020 16:15

POPULATION: AQP4 Positive Population
ENDPOINT: Functional System Score (FSS): Brainstem Score
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo										
n	28	25	16	8	8	5	5	2	1	0
SA237										
n	27	25	18	15	15	15	11	7	2	1

Treatment Group —○— Placebo (N=28) - - - x - - - SA237 (N=27)

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_307_06JUN2018_AQPP_FSSBRS.pdf
 11SEP2020 10:42

POPULATION: AQP4 Positive Population
 ENDPOINT: Functional System Score (FSS): Brainstem Score
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

		SA237 (N=27)						Placebo (N=28)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	27	100,0	27	100,0	0,519	0,753	28	100,0	28	100,0	0,357	0,621
	Week 24	25	92,6	25	100,0	0,440	0,712	25	89,3	25	100,0	0,520	1,005
	Week 48	18	66,7	18	100,0	0,444	0,705	16	57,1	16	100,0	0,250	0,577
	Week 72	16	59,3	15	93,8	0,400	0,632	9	32,1	8	88,9	0,500	0,756
	Week 96	15	55,6	15	100,0	0,133	0,352	8	28,6	8	100,0	0,125	0,354
	Week 120	15	55,6	15	100,0	0,200	0,414	5	17,9	5	100,0	0,200	0,447
	Week 144	11	40,7	11	100,0	0,091	0,302	5	17,9	5	100,0	0,200	0,447
	Week 168	7	25,9	7	100,0	0,000	0,000	2	7,1	2	100,0	0,000	0,000
	Week 192	2	7,4	2	100,0	0,000	0,000	1	3,6	1	100,0	0,000	NE
	Week 216	1	3,7	1	100,0	0,000	NE						
	End of Study (Discontinued)	3	11,1	3	100,0	0,000	0,000	6	21,4	5	83,3	0,400	0,548

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_307_06JUN2018_AQPP_FSSBRS_SG.xls

11SEP2020 8:48

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Functional System Score (FSS): Cerebellar Score

MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))

STUDY: BN40898

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)					Effects		
Subgroup	Level	N		Statistics		N		Statistics		Statistics					Statistics		
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	25	NE	NE	28	28	24	NE	NE	NE	NE	NE	NE	NE	NE

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

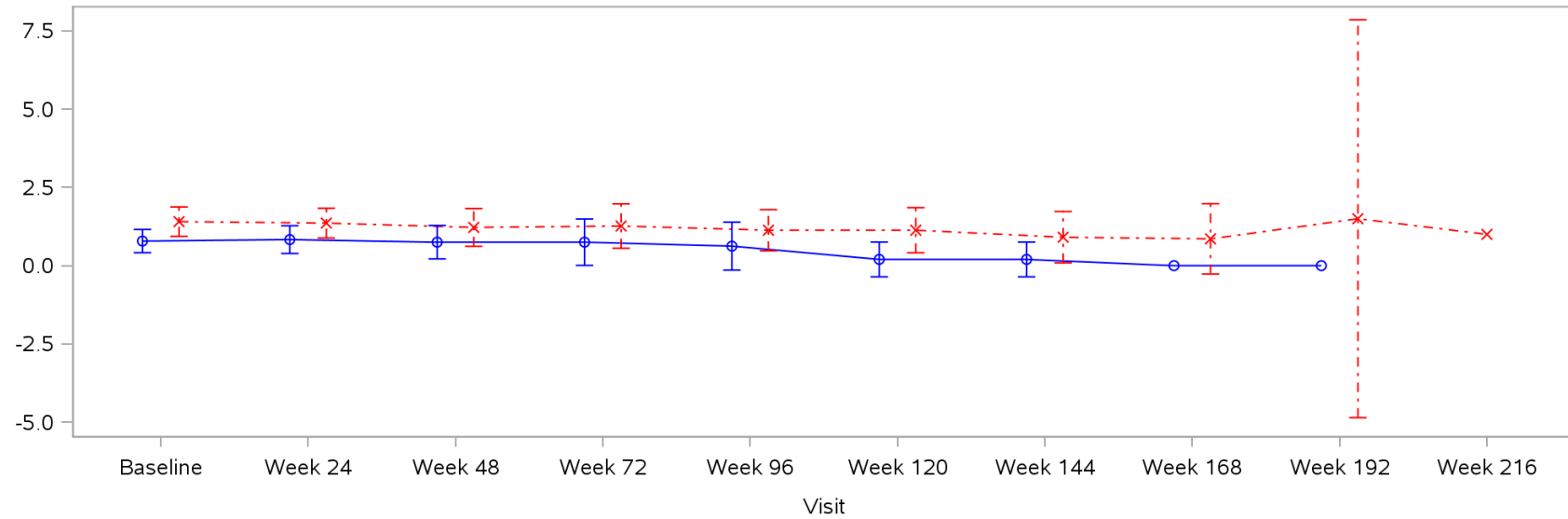
Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_307_06JUN2018_AQPF_FSSCER_ST.xls

11SEP2020 16:09

POPULATION: AQP4 Positive Population
ENDPOINT: Functional System Score (FSS): Cerebellar Score
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo										
n	28	24	16	8	8	5	5	2	1	0
SA237										
n	27	25	18	15	15	15	11	7	2	1

Treatment Group —○— Placebo (N=28) - - - × - - - SA237 (N=27)

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_307_06JUN2018_AQPP_FSSCER.pdf
 11SEP2020 10:34

POPULATION: AQP4 Positive Population
 ENDPOINT: Functional System Score (FSS): Cerebellar Score
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

		SA237 (N=27)						Placebo (N=28)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	27	100,0	27	100,0	1,407	1,185	28	100,0	28	100,0	0,786	0,957
	Week 24	25	92,6	25	100,0	1,360	1,150	25	89,3	24	96,0	0,833	1,049
	Week 48	18	66,7	18	100,0	1,222	1,215	16	57,1	16	100,0	0,750	1,000
	Week 72	16	59,3	15	93,8	1,267	1,280	9	32,1	8	88,9	0,750	0,886
	Week 96	15	55,6	15	100,0	1,133	1,187	8	28,6	8	100,0	0,625	0,916
	Week 120	15	55,6	15	100,0	1,133	1,302	5	17,9	5	100,0	0,200	0,447
	Week 144	11	40,7	11	100,0	0,909	1,221	5	17,9	5	100,0	0,200	0,447
	Week 168	7	25,9	7	100,0	0,857	1,215	2	7,1	2	100,0	0,000	0,000
	Week 192	2	7,4	2	100,0	1,500	0,707	1	3,6	1	100,0	0,000	NE
	Week 216	1	3,7	1	100,0	1,000	NE						
	End of Study (Discontinued)	3	11,1	3	100,0	1,000	1,000	6	21,4	5	83,3	0,400	0,894

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_307_06JUN2018_AQPP_FSSCER_SG.xls

11SEP2020 8:45

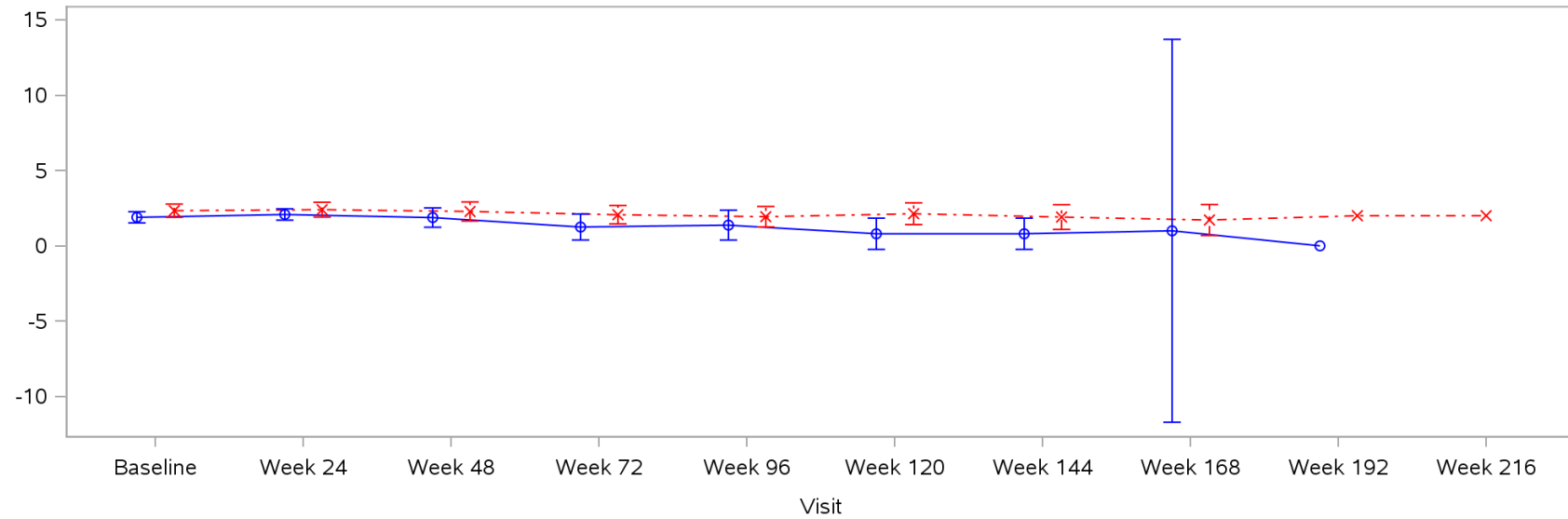
POPULATION: AQP4 Positive Population
 ENDPOINT: Change from baseline, Functional System Score (FSS): Pyramidal Score
 MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))
 STUDY: BN40898
 Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
Subgroup	Level	N		Statistics		N		Statistics		Statistics				Statistics			
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	25	0,117	0,949	28	28	25	-0,185	1,315	0,302	1,663	-8,614	9,218	0,8759	0,6310

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.
 Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.
 The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.
 The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_307_06JUN2018_AQPF_FSSPYR_ST.xls
 11SEP2020 16:10

POPULATION: AQP4 Positive Population
ENDPOINT: Functional System Score (FSS): Pyramidal Score
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo										
n	28	25	16	8	8	5	5	2	1	0
SA237										
n	27	25	18	15	15	15	11	7	2	1

Treatment Group —○— Placebo (N=28) - -x- - SA237 (N=27)

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_307_06JUN2018_AQPP_FSSPYR.pdf
 11SEP2020 10:36

POPULATION: AQP4 Positive Population
 ENDPOINT: Functional System Score (FSS): Pyramidal Score
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

		SA237 (N=27)						Placebo (N=28)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	27	100,0	27	100,0	2,333	1,109	28	100,0	28	100,0	1,893	0,956
	Week 24	25	92,6	25	100,0	2,400	1,190	25	89,3	25	100,0	2,080	0,909
	Week 48	18	66,7	18	100,0	2,278	1,274	16	57,1	16	100,0	1,875	1,204
	Week 72	16	59,3	15	93,8	2,067	1,100	9	32,1	8	88,9	1,250	1,035
	Week 96	15	55,6	15	100,0	1,933	1,223	8	28,6	8	100,0	1,375	1,188
	Week 120	15	55,6	15	100,0	2,133	1,302	5	17,9	5	100,0	0,800	0,837
	Week 144	11	40,7	11	100,0	1,909	1,221	5	17,9	5	100,0	0,800	0,837
	Week 168	7	25,9	7	100,0	1,714	1,113	2	7,1	2	100,0	1,000	1,414
	Week 192	2	7,4	2	100,0	2,000	0,000	1	3,6	1	100,0	0,000	NE
	Week 216	1	3,7	1	100,0	2,000	NE						
	End of Study (Discontinued)	3	11,1	3	100,0	2,000	1,000	6	21,4	5	83,3	1,800	1,643

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_307_06JUN2018_AQPP_FSSPYR_SG.xls

11SEP2020 8:46

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Functional System Score (FSS): Sensory Score

MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))

STUDY: BN40898

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
Subgroup	Level	N		Statistics		N		Statistics		Statistics				Statistics			
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	25	NE	NE	28	28	24	NE	NE	NE	NE	NE	NE	NE	NE

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

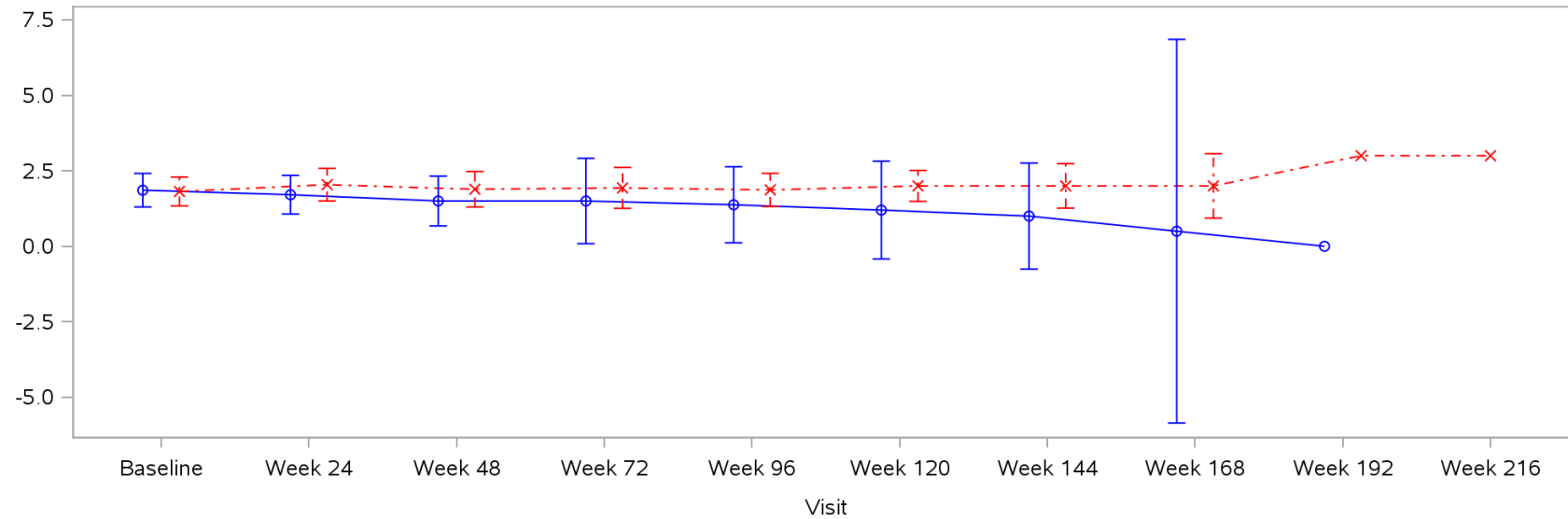
Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_307_06JUN2018_AQPF_FSSSEN_ST.xls

11SEP2020 16:12

POPULATION: AQP4 Positive Population
ENDPOINT: Functional System Score (FSS): Sensory Score
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo										
n	28	24	16	8	8	5	5	2	1	0
SA237										
n	27	25	18	15	15	15	11	7	2	1

Treatment Group —○— Placebo (N=28) - - - × - - - SA237 (N=27)

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_307_06JUN2018_AQPP_FSSSEN.pdf
 11SEP2020 10:38

POPULATION: AQP4 Positive Population
 ENDPOINT: Functional System Score (FSS): Sensory Score
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

		SA237 (N=27)						Placebo (N=28)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	27	100,0	27	100,0	1,815	1,210	28	100,0	28	100,0	1,857	1,433
	Week 24	25	92,6	25	100,0	2,040	1,306	25	89,3	24	96,0	1,708	1,517
	Week 48	18	66,7	18	100,0	1,889	1,183	16	57,1	16	100,0	1,500	1,549
	Week 72	16	59,3	15	93,8	1,933	1,223	9	32,1	8	88,9	1,500	1,690
	Week 96	15	55,6	15	100,0	1,867	0,990	8	28,6	8	100,0	1,375	1,506
	Week 120	15	55,6	15	100,0	2,000	0,926	5	17,9	5	100,0	1,200	1,304
	Week 144	11	40,7	11	100,0	2,000	1,095	5	17,9	5	100,0	1,000	1,414
	Week 168	7	25,9	7	100,0	2,000	1,155	2	7,1	2	100,0	0,500	0,707
	Week 192	2	7,4	2	100,0	3,000	0,000	1	3,6	1	100,0	0,000	NE
	Week 216	1	3,7	1	100,0	3,000	NE						
	End of Study (Discontinued)	3	11,1	3	100,0	1,667	1,528	6	21,4	5	83,3	1,400	1,342

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_307_06JUN2018_AQPP_FSSSEN_SG.xls

11SEP2020 8:46

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Functional System Score (FSS): Visual Score

MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))

STUDY: BN40898

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
Subgroup	Level	N		Statistics		N		Statistics		Statistics				Statistics			
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	25	0,151	0,175	28	28	24	0,203	0,198	-0,053	0,263	-0,588	0,483	0,8430	0,8501

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

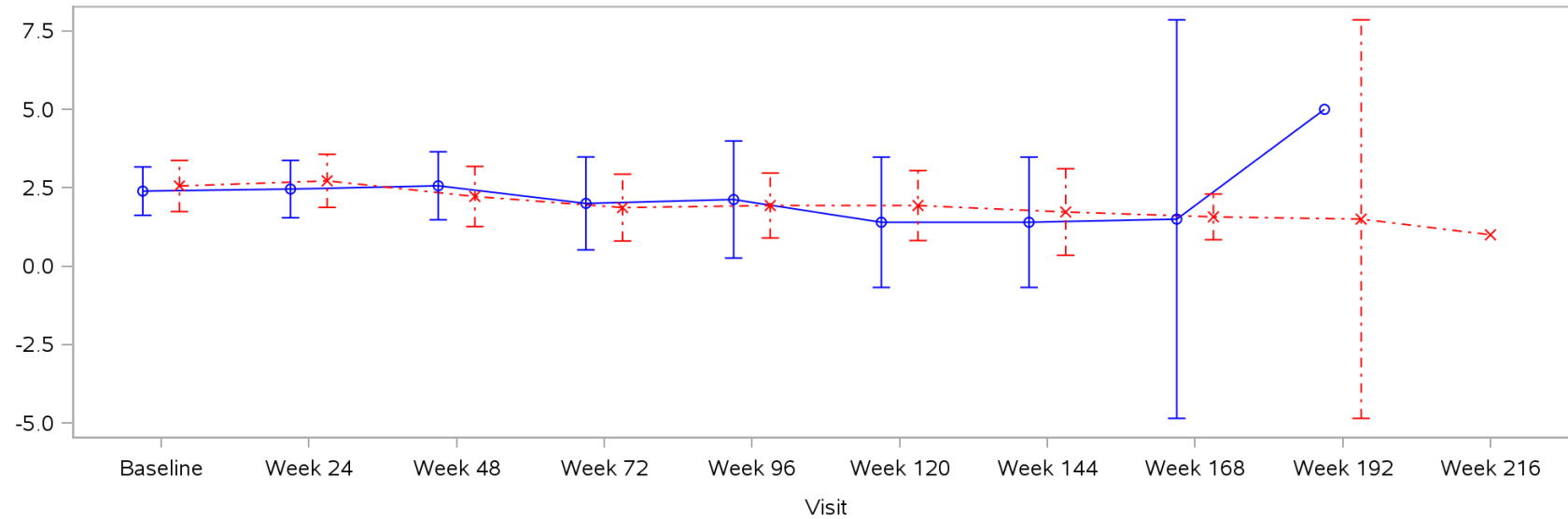
Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_307_06JUN2018_AQPF_FSSVIS_ST.xls

11SEP2020 16:14

POPULATION: AQP4 Positive Population
ENDPOINT: Functional System Score (FSS): Visual Score
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo										
n	28	24	16	8	8	5	5	2	1	0
SA237										
n	27	25	18	15	15	15	11	7	2	1

Treatment Group —○— Placebo (N=28) - - - × - - - SA237 (N=27)

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.
 Last extra visit following relapse is mapped to the closest next regular visit.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_307_06JUN2018_AQPP_FSSVIS.pdf
 11SEP2020 10:41

POPULATION: AQP4 Positive Population
 ENDPOINT: Functional System Score (FSS): Visual Score
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

		SA237 (N=27)						Placebo (N=28)					
		Patients				Statistics		Patients				Statistics	
Subgroup Level	Visit	in study ¹	%	with value ¹	%	mean ²	SD	in study ¹	%	with value ¹	%	mean ²	SD
All													
n/a	Baseline	27	100,0	27	100,0	2,556	2,063	28	100,0	28	100,0	2,393	1,988
	Week 24	25	92,6	25	100,0	2,720	2,052	25	89,3	24	96,0	2,458	2,167
	Week 48	18	66,7	18	100,0	2,222	1,927	16	57,1	16	100,0	2,563	2,032
	Week 72	16	59,3	15	93,8	1,867	1,922	9	32,1	8	88,9	2,000	1,773
	Week 96	15	55,6	15	100,0	1,933	1,870	8	28,6	8	100,0	2,125	2,232
	Week 120	15	55,6	15	100,0	1,933	2,017	5	17,9	5	100,0	1,400	1,673
	Week 144	11	40,7	11	100,0	1,727	2,054	5	17,9	5	100,0	1,400	1,673
	Week 168	7	25,9	7	100,0	1,571	0,787	2	7,1	2	100,0	1,500	0,707
	Week 192	2	7,4	2	100,0	1,500	0,707	1	3,6	1	100,0	5,000	NE
	Week 216	1	3,7	1	100,0	1,000	NE						
	End of Study (Discontinued)	3	11,1	3	100,0	2,333	2,517	6	21,4	5	83,3	3,400	2,302

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The FSS is scored on a scale of 0 to 5 or 6. Ambulation score is on a scale of 0 to 12. Higher scores represent increased disability.

Last extra visit following relapse is mapped to the closest next regular visit.

Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_307_06JUN2018_AQPP_FSSVIS_SG.xls

11SEP2020 8:48

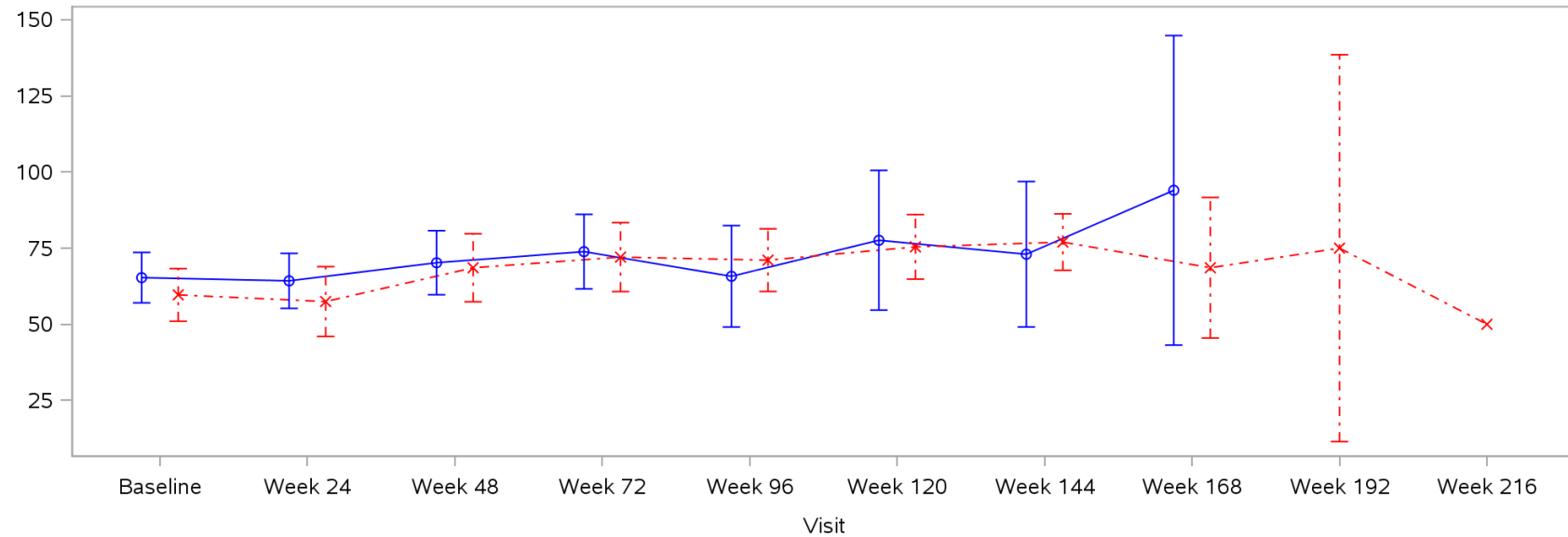
POPULATION: AQP4 Positive Population
 ENDPOINT: Change from baseline, EuroQoL-5D (EQ-5D): VAS Score
 MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))
 STUDY: BN40898
 Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
		N		Statistics		N		Statistics		Statistics				Statistics			
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	26	18	0,196	3,053	28	28	19	1,301	3,734	-1,105	4,890	-11,189	8,979	0,8231	0,1366

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.
 Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.
 The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.
 The EQ-5D VAS is scored on a scale of 0-100. Higher scores reflect a better health state.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_307_06JUN2018_AQPP_EQ5DVAS_ST.xls
 11SEP2020 16:18

POPULATION: AQP4 Positive Population
ENDPOINT: EuroQoL-5D (EQ-5D): VAS Score
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo										
n	28	19	9	7	8	5	5	2	0	0
SA237										
n	26	19	16	16	15	14	10	7	2	1

Treatment Group —○— Placebo (N=28) - - - × - - - SA237 (N=27)

The EQ-5D VAS is scored on a scale of 0-100. Higher scores reflect a better health state.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_307_06JUN2018_AQPP_EQ5DVAS.pdf
 02NOV2019 10:03

POPULATION: AQP4 Positive Population
 ENDPOINT: EuroQoL-5D (EQ-5D): VAS Score
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

		SA237 (N=27)						Placebo (N=28)						
Subgroup Level	Visit	Patients			Statistics			Patients			Statistics			
		in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	
All	n/a	Baseline	27	100,0	26	96,3	59,654	21,401	28	100,0	28	100,0	65,321	21,339
		Week 24	19	70,4	19	100,0	57,474	23,757	20	71,4	19	95,0	64,263	18,732
		Week 48	16	59,3	16	100,0	68,563	20,967	9	32,1	9	100,0	70,222	13,691
		Week 72	16	59,3	16	100,0	72,063	21,240	8	28,6	7	87,5	73,857	13,234
		Week 96	15	55,6	15	100,0	71,067	18,588	8	28,6	8	100,0	65,750	19,927
		Week 120	14	51,9	14	100,0	75,429	18,354	5	17,9	5	100,0	77,600	18,474
		Week 144	10	37,0	10	100,0	77,000	12,953	5	17,9	5	100,0	73,000	19,235
		Week 168	7	25,9	7	100,0	68,571	24,952	2	7,1	2	100,0	94,000	5,657
		Week 192	2	7,4	2	100,0	75,000	7,071						
		Week 216	1	3,7	1	100,0	50,000	NE						
		End of Study (Discontinued)	3	11,1	3	100,0	62,000	22,068	6	21,4	6	100,0	51,167	26,977

¹ in study: number of subjects in study at respective visit; % based on baseline.

with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.

² mean: descriptive statistics - absolute values.

The EQ-5D VAS is scored on a scale of 0-100. Higher scores reflect a better health state.

Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_307_06JUN2018_AQPP_EQ5DVAS_SG.xls

01NOV2019 20:46

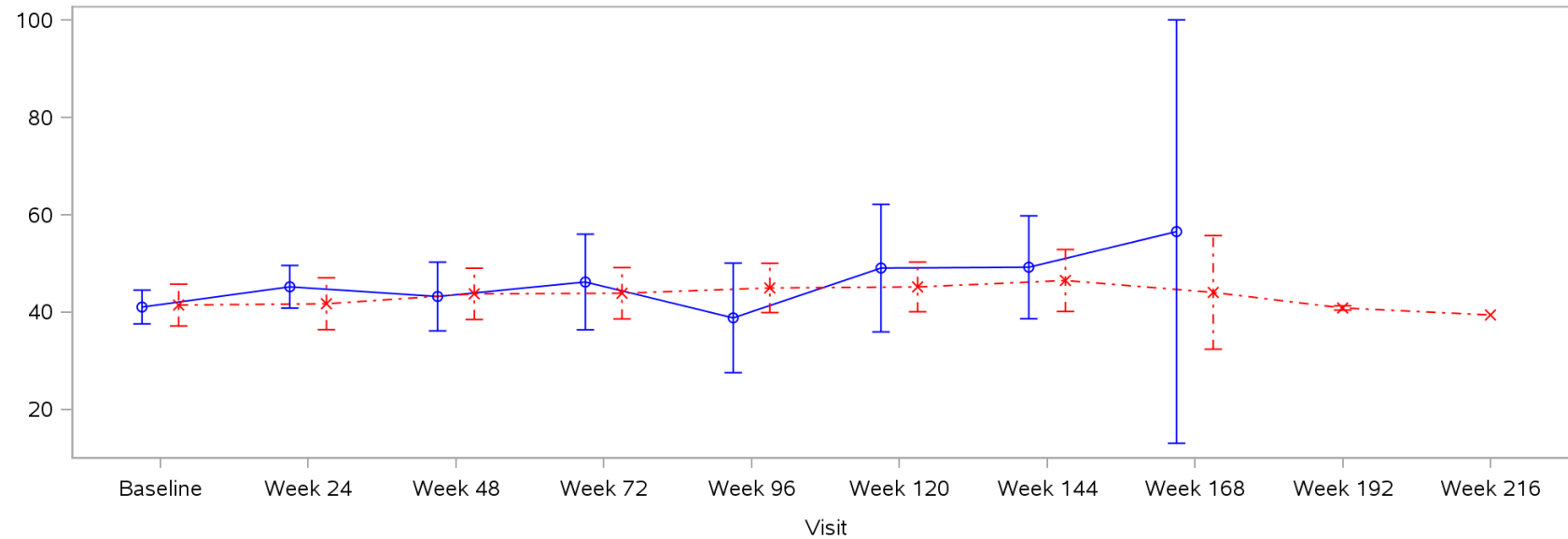
POPULATION: AQP4 Positive Population
 ENDPOINT: Change from baseline, Short Form Generic Health Survey (SF-36): Physical Health Component
 MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))
 STUDY: BN40898
 Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
Subgroup	Level	N		Statistics		N		Statistics		Statistics				Statistics			
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	19	0,651	1,255	28	28	19	1,497	1,549	-0,846	1,995	-5,004	3,312	0,6759	0,2949

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.
 Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.
 The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.
 The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_307_06JUN2018_AQPP_SF36PCS_ST.xls
 11SEP2020 15:56

POPULATION: AQP4 Positive Population
ENDPOINT: Short Form Generic Health Survey (SF-36): Physical Health Component
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo										
n	28	18	9	7	8	5	5	2	0	0
SA237										
n	27	19	16	16	15	14	10	7	2	1

Treatment Group —○— Placebo (N=28) - - - × - - - SA237 (N=27)

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_307_06JUN2018_AQPP_SF36PCS.pdf
 02NOV2019 9:51

POPULATION: AQP4 Positive Population
 ENDPOINT: Short Form Generic Health Survey (SF-36): Physical Health Component
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

Subgroup Level	Visit	SA237 (N=27)								Placebo (N=28)					
		Patients				Statistics				Patients				Statistics	
		in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD		
All	n/a	Baseline	27	100,0	27	100,0	41,446	10,880	28	100,0	28	100,0	41,040	8,955	
		Week 24	19	70,4	19	100,0	41,716	11,044	20	71,4	18	90,0	45,202	8,818	
		Week 48	16	59,3	16	100,0	43,749	9,887	9	32,1	9	100,0	43,203	9,186	
		Week 72	16	59,3	16	100,0	43,873	9,897	8	28,6	7	87,5	46,190	10,627	
		Week 96	15	55,6	15	100,0	44,965	9,147	8	28,6	8	100,0	38,810	13,462	
		Week 120	14	51,9	14	100,0	45,177	8,838	5	17,9	5	100,0	49,050	10,564	
		Week 144	10	37,0	10	100,0	46,499	8,903	5	17,9	5	100,0	49,214	8,518	
		Week 168	7	25,9	7	100,0	44,044	12,632	2	7,1	2	100,0	56,555	4,844	
		Week 192	2	7,4	2	100,0	40,845	0,049							
		Week 216	1	3,7	1	100,0	39,410	NE							
		End of Study (Discontinued)	3	11,1	3	100,0	45,880	1,833	6	21,4	6	100,0	36,953	9,390	

¹ in study: number of subjects in study at respective visit; % based on baseline.
 with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.
² mean: descriptive statistics - absolute values.
 The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_307_06JUN2018_AQPP_SF36PCS_SG.xls
 01NOV2019 20:38

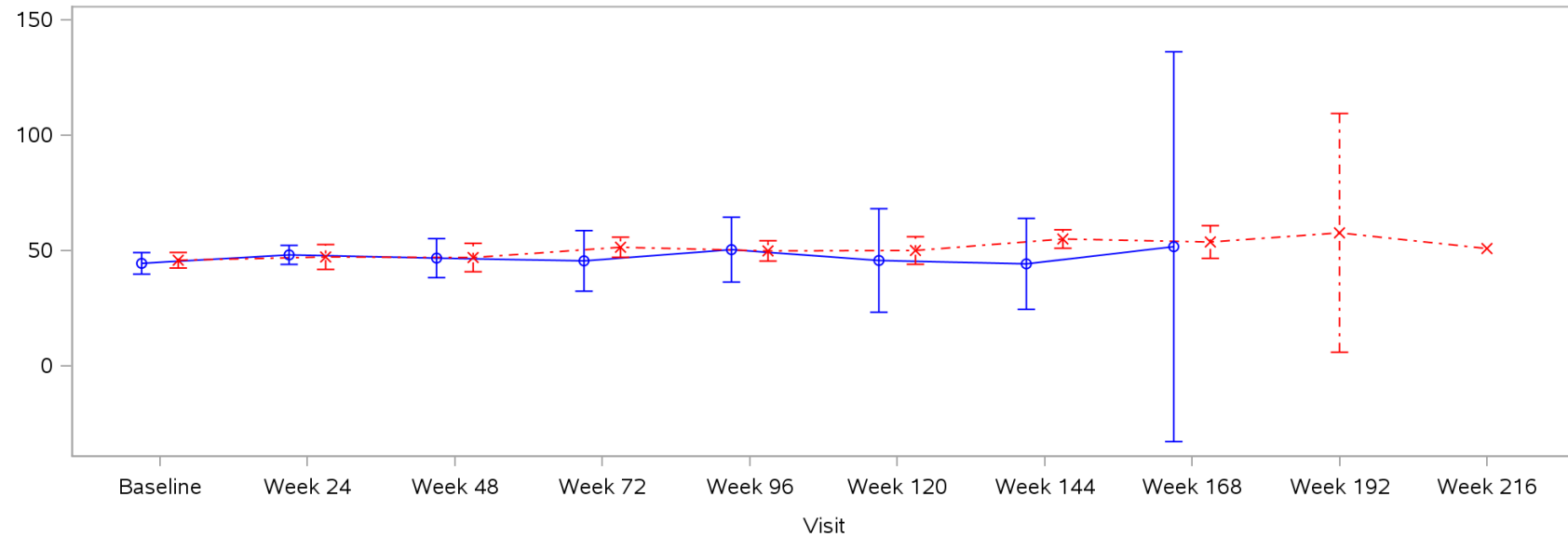
POPULATION: AQP4 Positive Population
 ENDPOINT: Change from baseline, Short Form Generic Health Survey (SF-36): Mental Health Component
 MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))
 STUDY: BN40898
 Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
		N		Statistics		N		Statistics		Statistics				Statistics			
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	19	-0,107	1,539	28	28	19	4,010	1,832	-4,118	2,452	-9,152	0,917	0,1048	0,7384

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.
 Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.
 The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.
 The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_307_06JUN2018_AQPP_SF36MCS_ST.xls
 11SEP2020 15:57

POPULATION: AQP4 Positive Population
ENDPOINT: Short Form Generic Health Survey (SF-36): Mental Health Component
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo										
n	28	18	9	7	8	5	5	2	0	0
SA237										
n	27	19	16	16	15	14	10	7	2	1

Treatment Group —○— Placebo (N=28) - - - × - - - SA237 (N=27)

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_307_06JUN2018_AQPP_SF36MCS.pdf
 02NOV2019 9:52

POPULATION: AQP4 Positive Population
 ENDPOINT: Short Form Generic Health Survey (SF-36): Mental Health Component
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

Subgroup Level	Visit	SA237 (N=27)								Placebo (N=28)							
		Patients				Statistics				Patients				Statistics			
		in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD
All	n/a	Baseline	27	100,0	27	100,0	45,691	8,544	28	100,0	28	100,0	44,351	12,056			
		Week 24	19	70,4	19	100,0	47,104	11,164	20	71,4	18	90,0	48,009	8,279			
		Week 48	16	59,3	16	100,0	46,859	11,577	9	32,1	9	100,0	46,602	11,015			
		Week 72	16	59,3	16	100,0	51,333	8,186	8	28,6	7	87,5	45,406	14,204			
		Week 96	15	55,6	15	100,0	49,733	7,978	8	28,6	8	100,0	50,279	16,821			
		Week 120	14	51,9	14	100,0	49,923	10,368	5	17,9	5	100,0	45,596	18,088			
		Week 144	10	37,0	10	100,0	54,893	5,601	5	17,9	5	100,0	44,112	15,883			
		Week 168	7	25,9	7	100,0	53,613	7,681	2	7,1	2	100,0	51,565	9,412			
		Week 192	2	7,4	2	100,0	57,565	5,763									
		Week 216	1	3,7	1	100,0	50,770	NE									
		End of Study (Discontinued)	3	11,1	3	100,0	37,190	17,855	6	21,4	6	100,0	41,042	10,110			

¹ in study: number of subjects in study at respective visit; % based on baseline.
 with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.
² mean: descriptive statistics - absolute values.
 The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_307_06JUN2018_AQPP_SF36MCS_SG.xls
 01NOV2019 20:38

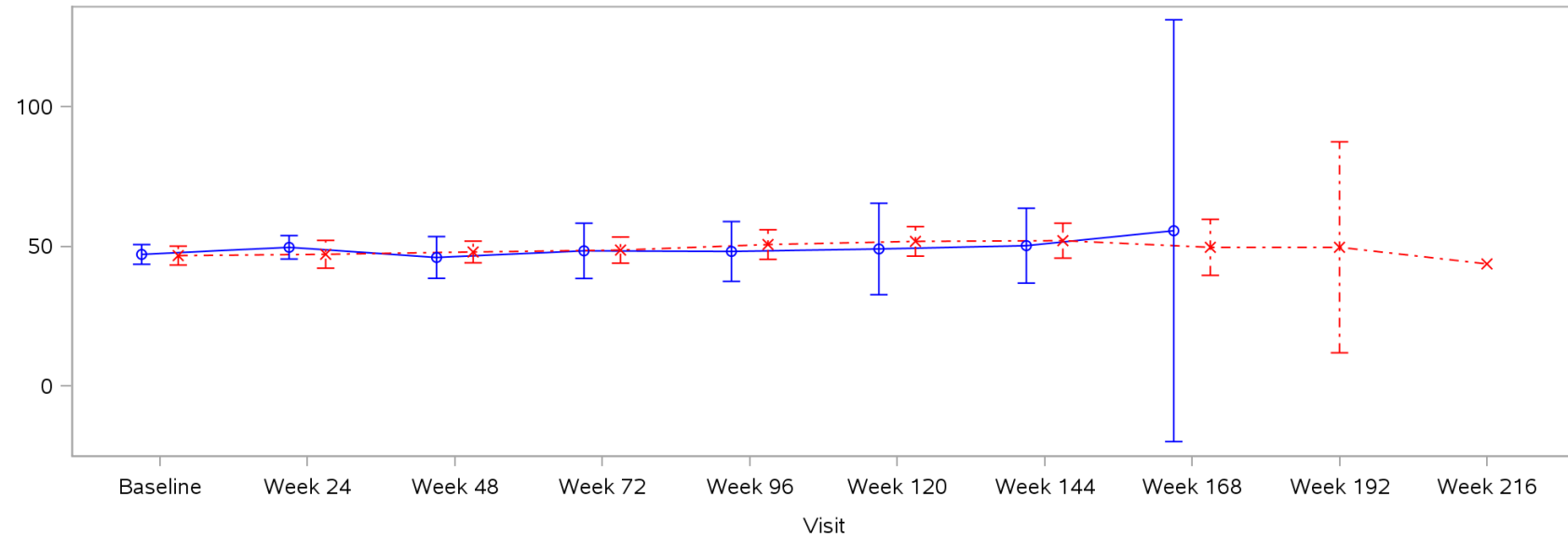
POPULATION: AQP4 Positive Population
 ENDPOINT: Change from baseline, Short Form Generic Health Survey (SF-36): Vitality Domain Score
 MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))
 STUDY: BN40898
 Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
		N		Statistics		N		Statistics		Statistics				Statistics			
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	19	-0,019	1,197	28	28	19	2,454	1,509	-2,473	1,953	-6,487	1,540	0,2165	0,3200

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.
 Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.
 The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.
 The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_307_06JUN2018_AQPP_SF36VTY_ST.xls
 11SEP2020 16:05

POPULATION: AQP4 Positive Population
ENDPOINT: Short Form Generic Health Survey (SF-36): Vitality Domain Score
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo										
n	28	19	9	7	8	5	5	2	0	0
SA237										
n	27	19	16	16	15	14	10	7	2	1

Treatment Group —○— Placebo (N=28) - - - × - - - SA237 (N=27)

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_307_06JUN2018_AQPP_SF36VTY.pdf
 02NOV2019 9:56

POPULATION: AQP4 Positive Population
 ENDPOINT: Short Form Generic Health Survey (SF-36): Vitality Domain Score
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

Subgroup Level	Visit	SA237 (N=27)								Placebo (N=28)							
		Patients				Statistics				Patients				Statistics			
		in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD
All	n/a	Baseline	27	100,0	27	100,0	46,658	8,564	28	100,0	28	100,0	47,083	9,102			
		Week 24	19	70,4	19	100,0	47,126	10,305	20	71,4	19	95,0	49,628	8,691			
		Week 48	16	59,3	16	100,0	47,958	7,274	9	32,1	9	100,0	45,998	9,716			
		Week 72	16	59,3	16	100,0	48,638	8,769	8	28,6	7	87,5	48,356	10,692			
		Week 96	15	55,6	15	100,0	50,619	9,571	8	28,6	8	100,0	48,143	12,803			
		Week 120	14	51,9	14	100,0	51,751	9,132	5	17,9	5	100,0	49,034	13,187			
		Week 144	10	37,0	10	100,0	52,005	8,723	5	17,9	5	100,0	50,222	10,795			
		Week 168	7	25,9	7	100,0	49,627	10,849	2	7,1	2	100,0	55,570	8,400			
		Week 192	2	7,4	2	100,0	49,630	4,200									
		Week 216	1	3,7	1	100,0	43,690	NE									
		End of Study (Discontinued)	3	11,1	3	100,0	41,707	13,397	6	21,4	6	100,0	44,677	8,119			

¹ in study: number of subjects in study at respective visit; % based on baseline.
 with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.
² mean: descriptive statistics - absolute values.
 The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_307_06JUN2018_AQPP_SF36VTY_SG.xls
 01NOV2019 20:42

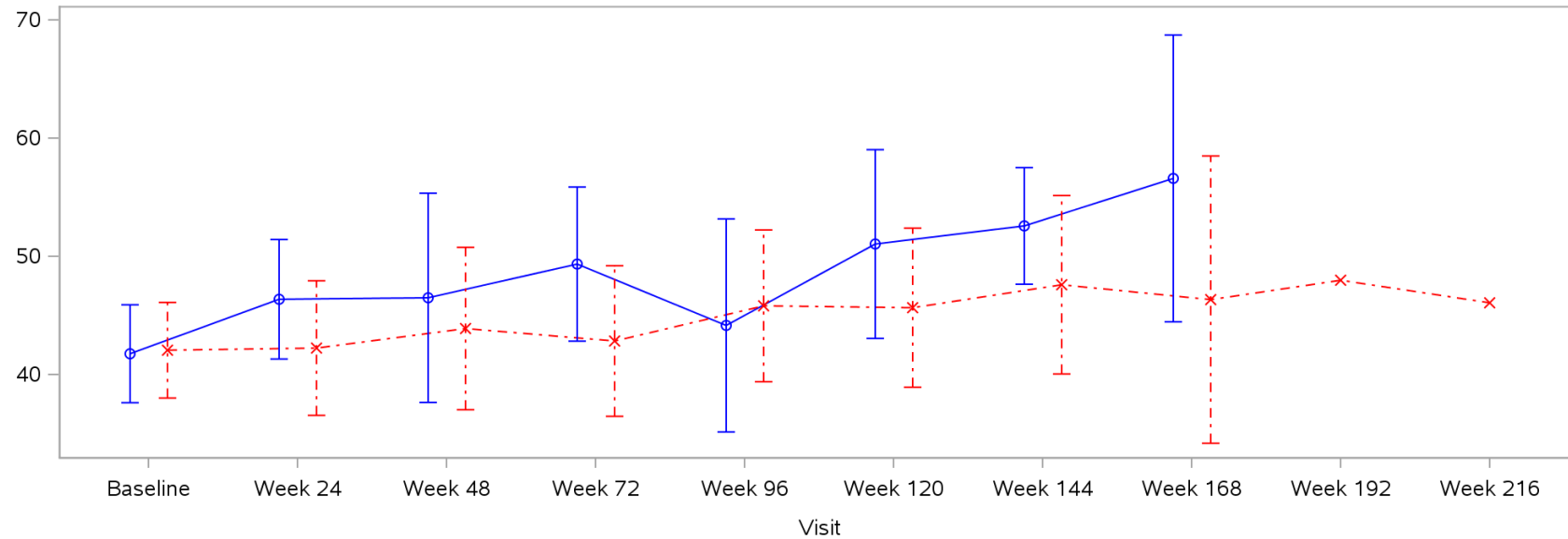
POPULATION: AQP4 Positive Population
 ENDPOINT: Change from baseline, Short Form Generic Health Survey (SF-36): Physical Functioning Domain Score
 MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))
 STUDY: BN40898
 Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
Subgroup	Level	N		Statistics		N		Statistics		Statistics				Statistics			
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	19	-0,698	1,547	28	28	19	4,923	1,804	-5,621	2,376	-10,782	-0,461	0,0351	0,9217

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.
 Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.
 The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.
 The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_307_06JUN2018_AQPP_SF36PHF_ST.xls
 11SEP2020 16:01

POPULATION: AQP4 Positive Population
ENDPOINT: Short Form Generic Health Survey (SF-36): Physical Functioning Domain Score
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo									
n	28	19	9	7	8	5	5	2	0
SA237									
n	27	19	16	16	15	14	10	7	2

Treatment Group —○— Placebo (N=28) - - - × - - - SA237 (N=27)

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_307_06JUN2018_AQPP_SF36PHF.pdf
 02NOV2019 9:54

POPULATION: AQP4 Positive Population
 ENDPOINT: Short Form Generic Health Survey (SF-36): Physical Functioning Domain Score
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

Subgroup Level	Visit	SA237 (N=27)								Placebo (N=28)					
		Patients				Statistics				Patients				Statistics	
		in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD		
All	n/a	Baseline	27	100,0	27	100,0	42,048	10,225	28	100,0	28	100,0	41,751	10,682	
		Week 24	19	70,4	19	100,0	42,231	11,815	20	71,4	19	95,0	46,361	10,487	
		Week 48	16	59,3	16	100,0	43,884	12,897	9	32,1	9	100,0	46,484	11,514	
		Week 72	16	59,3	16	100,0	42,827	11,956	8	28,6	7	87,5	49,337	7,051	
		Week 96	15	55,6	15	100,0	45,803	11,594	8	28,6	8	100,0	44,144	10,779	
		Week 120	14	51,9	14	100,0	45,647	11,658	5	17,9	5	100,0	51,034	6,431	
		Week 144	10	37,0	10	100,0	47,588	10,552	5	17,9	5	100,0	52,564	3,970	
		Week 168	7	25,9	7	100,0	46,330	13,141	2	7,1	2	100,0	56,585	1,351	
		Week 192	2	7,4	2	100,0	47,970	0,000							
		Week 216	1	3,7	1	100,0	46,060	NE							
		End of Study (Discontinued)	3	11,1	3	100,0	49,243	1,103	6	21,4	6	100,0	40,952	13,019	

¹ in study: number of subjects in study at respective visit; % based on baseline.
 with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.
² mean: descriptive statistics - absolute values.
 The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_307_06JUN2018_AQPP_SF36PHF_SG.xls
 01NOV2019 20:40

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Short Form Generic Health Survey (SF-36): Bodily Pain Domain Score

MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))

STUDY: BN40898

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
Subgroup	Level	N		Statistics		N		Statistics		Statistics				Statistics			
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	19	0,480	1,618	28	28	19	1,624	2,177	-1,144	2,737	-6,825	4,538	0,6802	0,1239

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

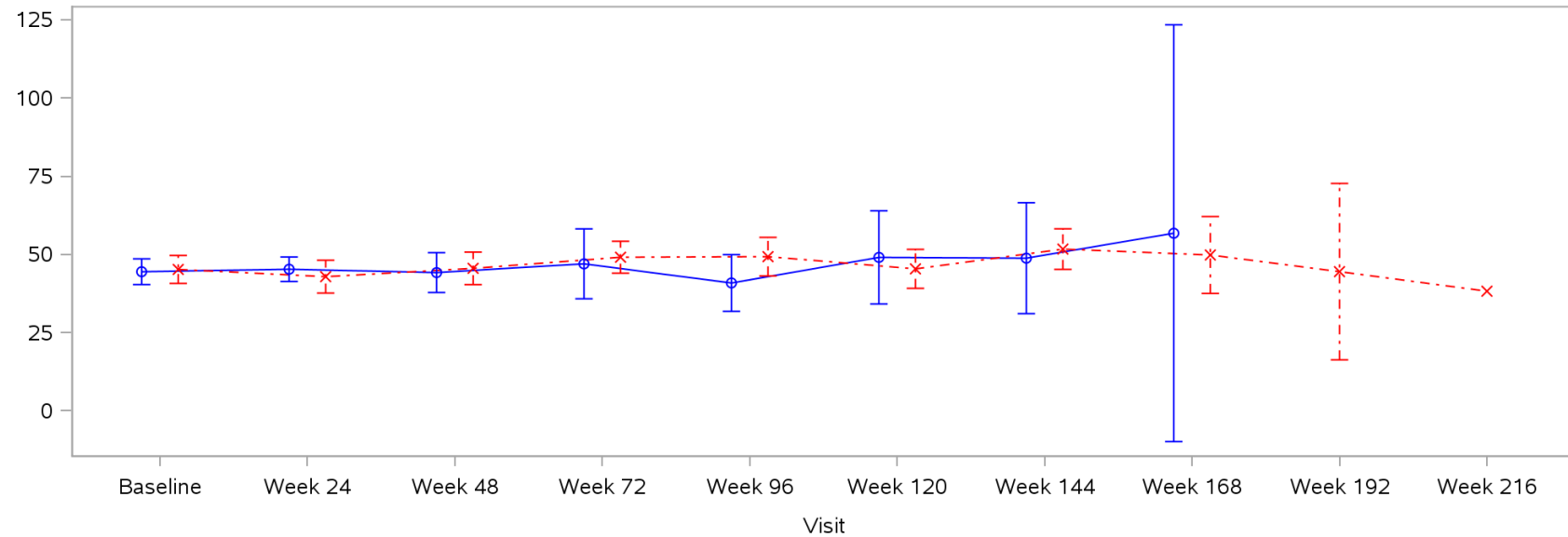
Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_307_06JUN2018_AQPP_SF36BPN_ST.xls

11SEP2020 15:57

POPULATION: AQP4 Positive Population
ENDPOINT: Short Form Generic Health Survey (SF-36): Bodily Pain Domain Score
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo										
n	28	19	9	7	8	5	5	2	0	0
SA237										
n	27	19	16	16	15	14	10	7	2	1

Treatment Group —○— Placebo (N=28) - - - × - - - SA237 (N=27)

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_307_06JUN2018_AQPP_SF36BPN.pdf
 02NOV2019 9:52

POPULATION: AQP4 Positive Population
 ENDPOINT: Short Form Generic Health Survey (SF-36): Bodily Pain Domain Score
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

Subgroup Level	Visit	SA237 (N=27)						Placebo (N=28)						
		Patients			Statistics			Patients			Statistics			
		in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	
All	n/a	Baseline	27	100,0	27	100,0	45,169	11,304	28	100,0	28	100,0	44,445	10,605
		Week 24	19	70,4	19	100,0	42,858	10,894	20	71,4	19	95,0	45,235	8,120
		Week 48	16	59,3	16	100,0	45,519	9,761	9	32,1	9	100,0	44,170	8,288
		Week 72	16	59,3	16	100,0	49,070	9,581	8	28,6	7	87,5	46,966	12,086
		Week 96	15	55,6	15	100,0	49,259	11,124	8	28,6	8	100,0	40,833	10,847
		Week 120	14	51,9	14	100,0	45,353	10,791	5	17,9	5	100,0	49,016	11,999
		Week 144	10	37,0	10	100,0	51,677	9,064	5	17,9	5	100,0	48,772	14,284
		Week 168	7	25,9	7	100,0	49,789	13,287	2	7,1	2	100,0	56,755	7,418
		Week 192	2	7,4	2	100,0	44,460	3,140						
		Week 216	1	3,7	1	100,0	38,210	NE						
		End of Study (Discontinued)	3	11,1	3	100,0	48,157	8,948	6	21,4	6	100,0	37,738	9,845

¹ in study: number of subjects in study at respective visit; % based on baseline.
 with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.
² mean: descriptive statistics - absolute values.
 The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_307_06JUN2018_AQPP_SF36BPN_SG.xls
 01NOV2019 20:39

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Short Form Generic Health Survey (SF-36): General Health Domain Score

MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))

STUDY: BN40898

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
		N		Statistics		N		Statistics		Statistics				Statistics			
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	19	1,369	1,056	28	28	19	-0,458	1,328	1,827	1,706	-1,659	5,313	0,2927	0,3116

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

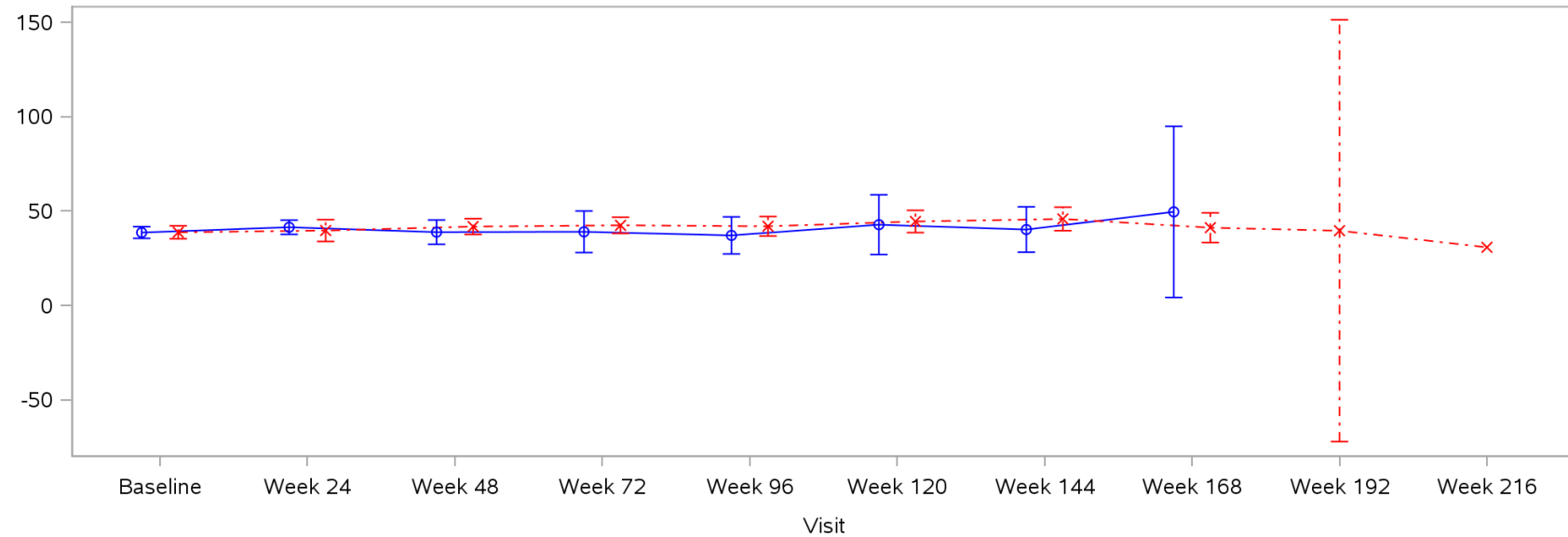
Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_307_06JUN2018_AQPP_SF36GNH_ST.xls

11SEP2020 15:58

POPULATION: AQP4 Positive Population
ENDPOINT: Short Form Generic Health Survey (SF-36): General Health Domain Score
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo										
n	28	19	9	7	8	5	5	2	0	0
SA237										
n	27	19	16	16	15	14	10	7	2	1

Treatment Group —○— Placebo (N=28) - - - × - - - SA237 (N=27)

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_307_06JUN2018_AQPP_SF36GNH.pdf
 02NOV2019 9:53

POPULATION: AQP4 Positive Population
 ENDPOINT: Short Form Generic Health Survey (SF-36): General Health Domain Score
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

Subgroup Level	Visit	SA237 (N=27)								Placebo (N=28)																	
		Patients				Statistics				Patients				Statistics													
		in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD										
All	n/a	Baseline	27	100,0	27	100,0	38,793	8,719	28	100,0	28	100,0	38,683	7,978	Week 24	19	70,4	19	100,0	39,723	11,973	20	71,4	19	95,0	41,475	7,844
		Week 48	16	59,3	16	100,0	41,833	7,854	9	32,1	9	100,0	38,871	8,385	Week 72	16	59,3	16	100,0	42,487	8,032	8	28,6	7	87,5	39,059	11,896
		Week 96	15	55,6	15	100,0	41,997	9,316	8	28,6	8	100,0	37,139	11,753	Week 120	14	51,9	14	100,0	44,526	10,215	5	17,9	5	100,0	42,818	12,751
		Week 144	10	37,0	10	100,0	45,865	8,690	5	17,9	5	100,0	40,252	9,667	Week 168	7	25,9	7	100,0	41,231	8,498	2	7,1	2	100,0	49,620	5,049
		Week 192	2	7,4	2	100,0	39,635	12,438						Week 216	1	3,7	1	100,0	30,840	NE							
		End of Study (Discontinued)	3	11,1	3	100,0	36,227	13,749	6	21,4	6	100,0	27,033	4,253													

¹ in study: number of subjects in study at respective visit; % based on baseline.
 with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.
² mean: descriptive statistics - absolute values.
 The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_307_06JUN2018_AQPP_SF36GNH_SG.xls
 01NOV2019 20:39

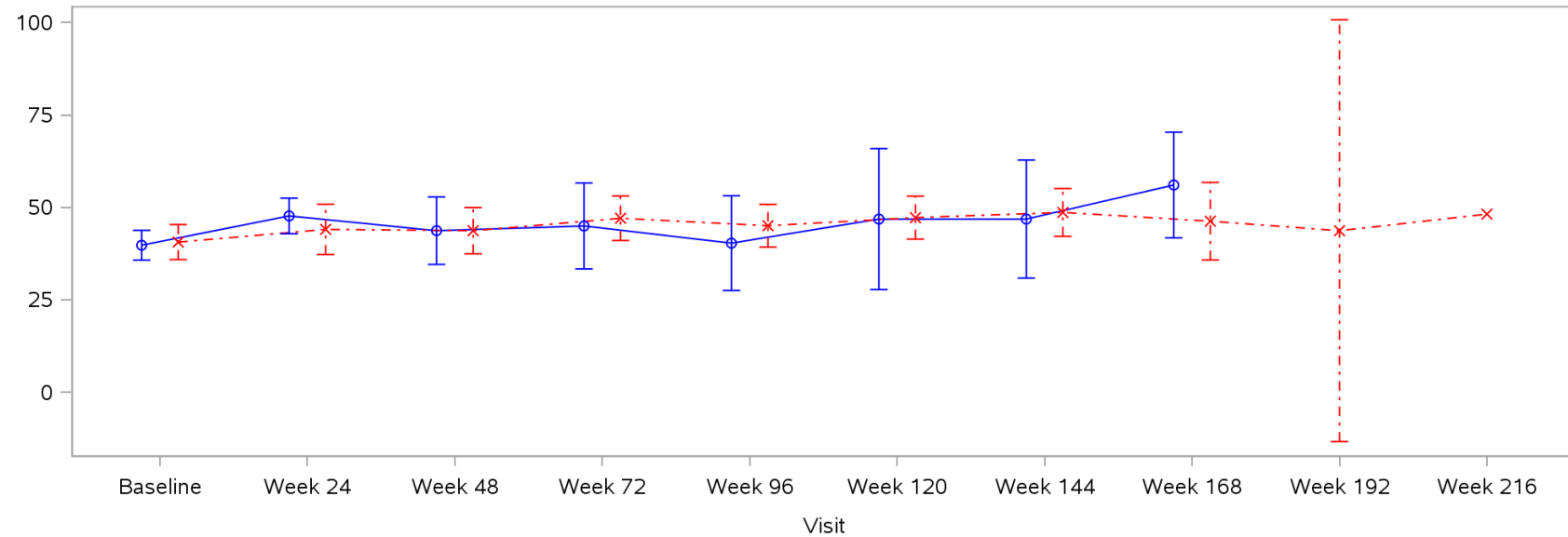
POPULATION: AQP4 Positive Population
 ENDPOINT: Change from baseline, Short Form Generic Health Survey (SF-36): Role-physical Domain Score
 MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))
 STUDY: BN40898
 Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
		N		Statistics		N		Statistics		Statistics				Statistics			
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	19	1,980	1,421	28	28	19	4,394	1,682	-2,414	2,207	-6,992	2,165	0,2860	0,3288

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.
 Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.
 The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.
 The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas
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 11SEP2020 16:03

POPULATION: AQP4 Positive Population
ENDPOINT: Short Form Generic Health Survey (SF-36): Role-physical Domain Score
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo										
n	28	18	9	7	8	5	5	2	0	0
SA237										
n	27	19	16	16	15	14	10	7	2	1

Treatment Group —○— Placebo (N=28) - - - × - - - SA237 (N=27)

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_307_06JUN2018_AQPP_SF36RPY.pdf
 02NOV2019 9:55

POPULATION: AQP4 Positive Population
 ENDPOINT: Short Form Generic Health Survey (SF-36): Role-physical Domain Score
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

Subgroup Level	Visit	SA237 (N=27)						Placebo (N=28)					
		Patients			Statistics			Patients			Statistics		
		in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD
All	n/a	27	100,0	27	100,0	40,606	11,983	28	100,0	28	100,0	39,754	10,401
	Baseline	19	70,4	19	100,0	44,038	14,129	20	71,4	18	90,0	47,676	9,668
	Week 24	16	59,3	16	100,0	43,683	11,740	9	32,1	9	100,0	43,684	11,882
	Week 48	16	59,3	16	100,0	47,051	11,303	8	28,6	7	87,5	44,964	12,563
	Week 72	15	55,6	15	100,0	45,030	10,424	8	28,6	8	100,0	40,315	15,324
	Week 96	14	51,9	14	100,0	47,212	10,071	5	17,9	5	100,0	46,828	15,362
	Week 120	10	37,0	10	100,0	48,623	9,033	5	17,9	5	100,0	46,826	12,860
	Week 144	7	25,9	7	100,0	46,250	11,337	2	7,1	2	100,0	56,035	1,591
	Week 168	2	7,4	2	100,0	43,680	6,350						
	Week 192	1	3,7	1	100,0	48,170	NE						
	Week 216												
	End of Study (Discontinued)	3	11,1	3	100,0	38,443	4,673	6	21,4	6	100,0	39,565	10,475

¹ in study: number of subjects in study at respective visit; % based on baseline.
 with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.
² mean: descriptive statistics - absolute values.
 The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_307_06JUN2018_AQPP_SF36RPY_SG.xls
 01NOV2019 20:41

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Short Form Generic Health Survey (SF-36): Role-emotional Domain Score

MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))

STUDY: BN40898

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
Subgroup	Level	N		Statistics		N		Statistics		Statistics				Statistics			
		Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	19	-0,108	1,555	28	28	19	5,067	1,868	-5,175	2,450	-10,270	-0,081	0,0468	0,8289

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

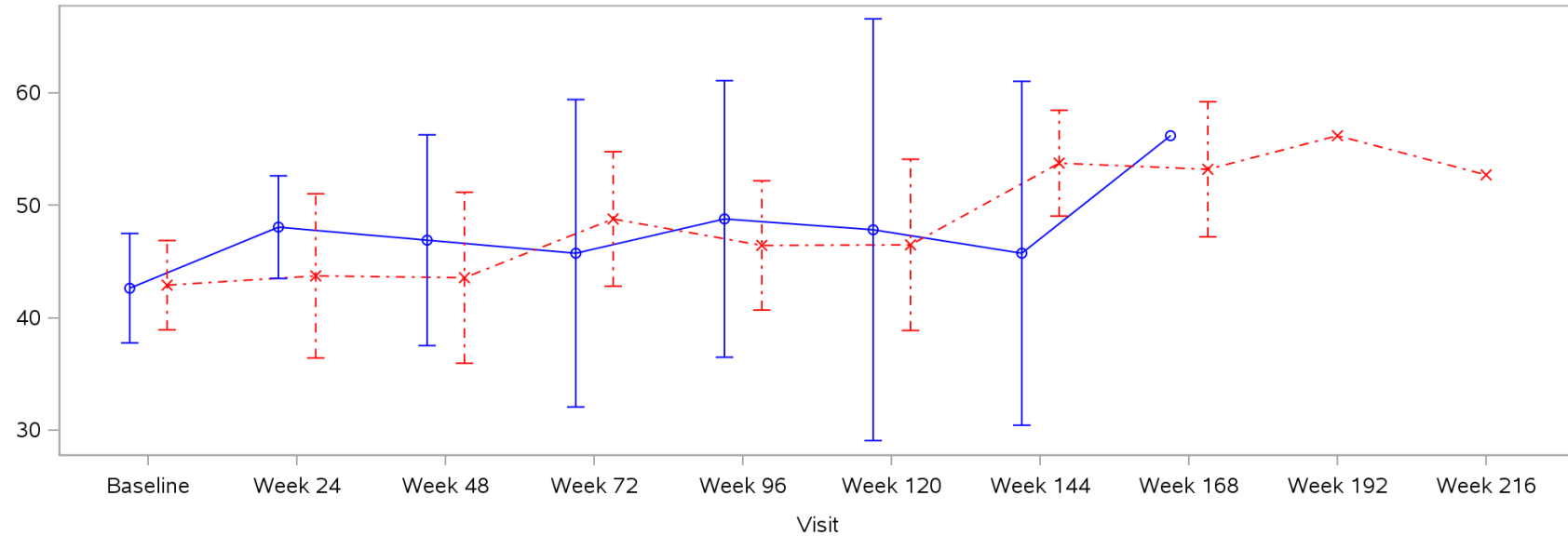
Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_307_06JUN2018_AQPP_SF36REM_ST.xls

11SEP2020 16:02

POPULATION: AQP4 Positive Population
ENDPOINT: Short Form Generic Health Survey (SF-36): Role-emotional Domain Score
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo									
n	28	18	9	7	8	5	5	2	0
SA237									
n	27	19	16	16	15	14	10	7	2

Treatment Group —○— Placebo (N=28) - -x- - SA237 (N=27)

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_307_06JUN2018_AQPP_SF36REM.pdf
 02NOV2019 9:55

POPULATION: AQP4 Positive Population
 ENDPOINT: Short Form Generic Health Survey (SF-36): Role-emotional Domain Score
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

Subgroup Level	Visit	SA237 (N=27)						Placebo (N=28)						
		Patients			Statistics			Patients			Statistics			
		in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	
All	n/a	Baseline	27	100,0	27	100,0	42,886	10,038	28	100,0	28	100,0	42,615	12,548
		Week 24	19	70,4	19	100,0	43,708	15,146	20	71,4	18	90,0	48,044	9,174
		Week 48	16	59,3	16	100,0	43,547	14,264	9	32,1	9	100,0	46,884	12,187
		Week 72	16	59,3	16	100,0	48,770	11,221	8	28,6	7	87,5	45,723	14,774
		Week 96	15	55,6	15	100,0	46,419	10,380	8	28,6	8	100,0	48,771	14,706
		Week 120	14	51,9	14	100,0	46,470	13,183	5	17,9	5	100,0	47,812	15,097
		Week 144	10	37,0	10	100,0	53,733	6,575	5	17,9	5	100,0	45,722	12,311
		Week 168	7	25,9	7	100,0	53,186	6,492	2	7,1	2	100,0	56,170	0,000
		Week 192	2	7,4	2	100,0	56,170	0,000						
		Week 216	1	3,7	1	100,0	52,690	NE						
		End of Study (Discontinued)	3	11,1	3	100,0	39,920	7,244	6	21,4	6	100,0	40,500	14,396

¹ in study: number of subjects in study at respective visit; % based on baseline.
 with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.
² mean: descriptive statistics - absolute values.
 The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_307_06JUN2018_AQPP_SF36REM_SG.xls
 01NOV2019 20:40

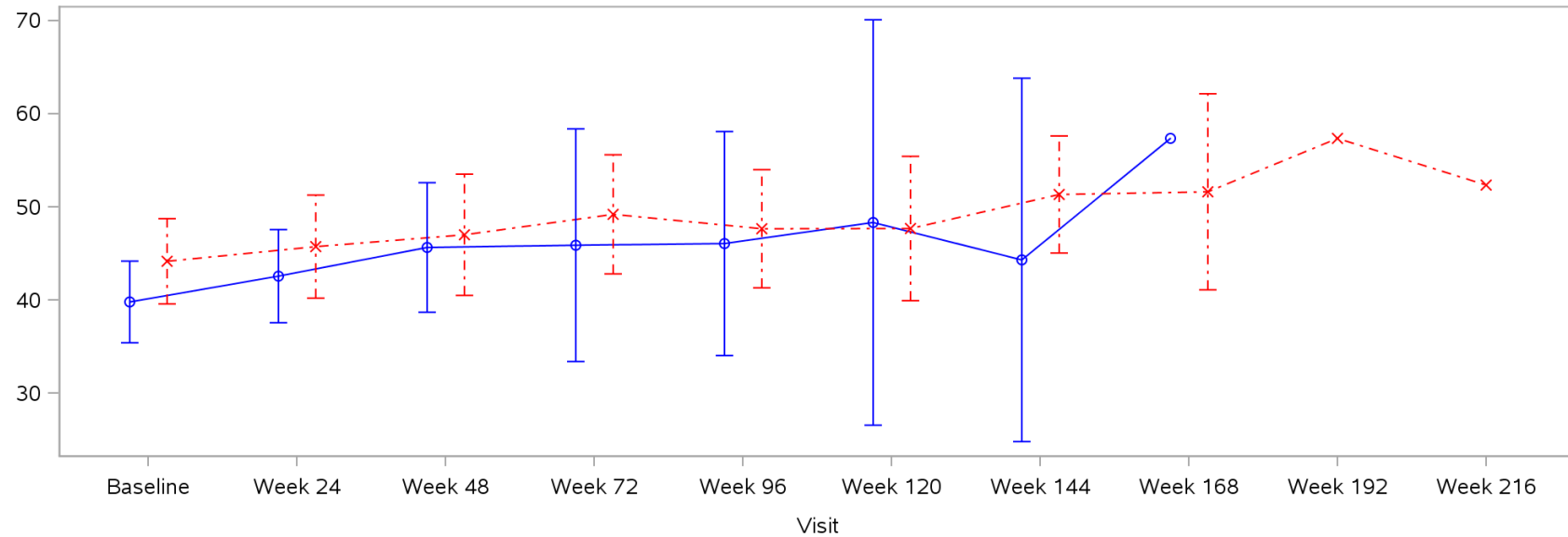
POPULATION: AQP4 Positive Population
 ENDPOINT: Change from baseline, Short Form Generic Health Survey (SF-36): Social Role Functioning Domain Score
 MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))
 STUDY: BN40898
 Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
		N		Statistics		N		Statistics		Statistics				Statistics			
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	19	-0,378	1,649	28	28	19	3,007	2,085	-3,384	2,702	-8,884	2,116	0,2193	0,7730

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.
 Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.
 The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.
 The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_307_06JUN2018_AQPP_SF36SRF_ST.xls
 11SEP2020 16:04

POPULATION: AQP4 Positive Population
ENDPOINT: Short Form Generic Health Survey (SF-36): Social Role Functioning Domain Score
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo										
n	28	19	9	7	8	5	5	2	0	0
SA237										
n	27	19	16	16	15	14	10	7	2	1

Treatment Group —○— Placebo (N=28) - -x- - SA237 (N=27)

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_307_06JUN2018_AQPP_SF36SRF.pdf
 02NOV2019 9:56

POPULATION: AQP4 Positive Population
 ENDPOINT: Short Form Generic Health Survey (SF-36): Social Role Functioning Domain Score
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

Subgroup Level	Visit	SA237 (N=27)						Placebo (N=28)						
		Patients			Statistics			Patients			Statistics			
		in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD	
All	n/a	Baseline	27	100,0	27	100,0	44,157	11,562	28	100,0	28	100,0	39,793	11,293
		Week 24	19	70,4	19	100,0	45,731	11,463	20	71,4	19	95,0	42,564	10,365
		Week 48	16	59,3	16	100,0	47,001	12,206	9	32,1	9	100,0	45,642	9,038
		Week 72	16	59,3	16	100,0	49,194	11,987	8	28,6	7	87,5	45,881	13,490
		Week 96	15	55,6	15	100,0	47,648	11,442	8	28,6	8	100,0	46,060	14,369
		Week 120	14	51,9	14	100,0	47,671	13,404	5	17,9	5	100,0	48,316	17,512
		Week 144	10	37,0	10	100,0	51,323	8,780	5	17,9	5	100,0	44,304	15,696
		Week 168	7	25,9	7	100,0	51,610	11,369	2	7,1	2	100,0	57,340	0,000
		Week 192	2	7,4	2	100,0	57,340	0,000						
		Week 216	1	3,7	1	100,0	52,330	NE						
		End of Study (Discontinued)	3	11,1	3	100,0	38,957	16,116	6	21,4	6	100,0	37,285	10,028

¹ in study: number of subjects in study at respective visit; % based on baseline.
 with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.
² mean: descriptive statistics - absolute values.
 The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_307_06JUN2018_AQPP_SF36SRF_SG.xls
 01NOV2019 20:41

POPULATION: AQP4 Positive Population

ENDPOINT: Change from baseline, Short Form Generic Health Survey (SF-36): Mental Health Domain Score

MODEL: Stratified analysis (stratification factors: baseline ARR (1, >1); geographic region (Asia, EU/Other))

STUDY: BN40898

Analysis of MMRM

		SA237				Placebo				Difference between Treatments (SA237 vs Placebo)				Effects			
		N		Statistics		N		Statistics		Statistics				Statistics			
Subgroup	Level	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	Total	with baseline value	included in analysis ¹	LSMeans ²	SE (LSMeans)	LSMeans ³	SE (LSMeans)	95% Lower CL	95% Upper CL	p-value (treatment)	p-value (visit)
All	n/a	27	27	19	1,403	1,415	28	28	19	2,711	1,830	-1,308	2,366	-6,167	3,550	0,5850	0,4558

¹ Patients with a value at baseline and at least one post-baseline value. ² LSMeans of change from baseline from MMRM (including all available records from all visits). ³ Contrasts from MMRM.

Factors/covariates: treatment, visit, treatment-by-visit interaction, baseline value. Adjusted for randomization stratification factors.

The output is restricted to Week 144 due to low number of observations at later visits leading to non-convergence.

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.

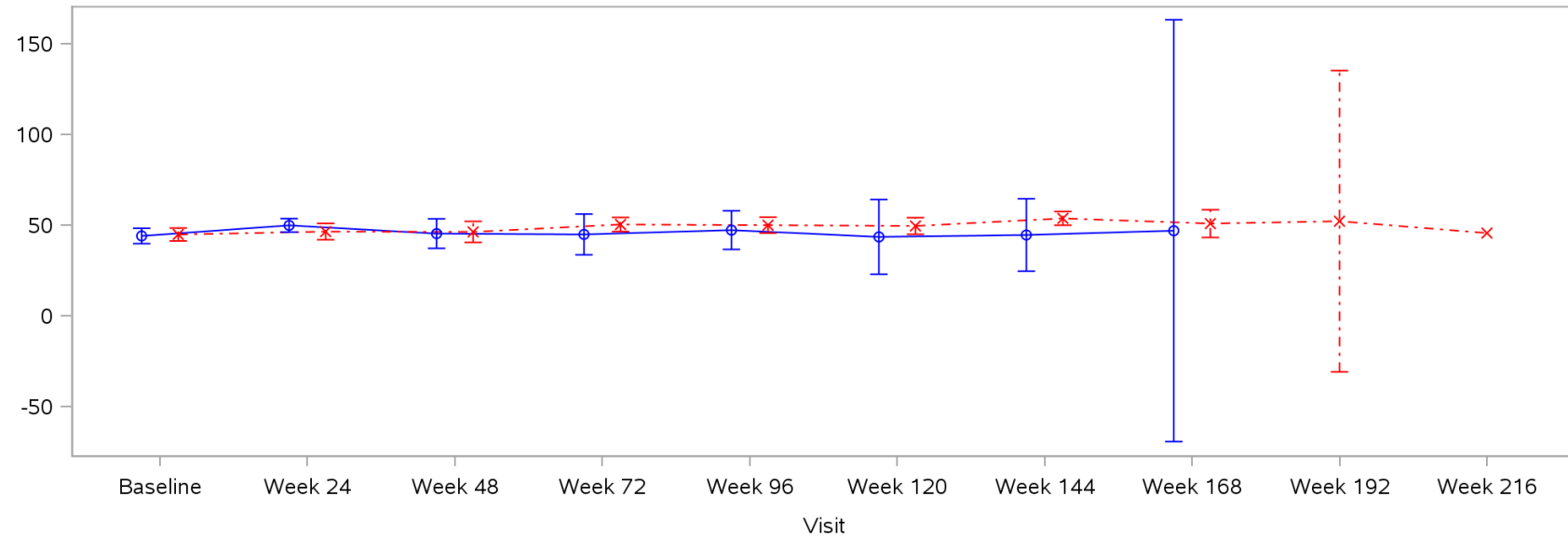
Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_ACE_SA237/prod/program/pro_mmrn.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mmrn_307_06JUN2018_AQPP_SF36MTH_ST.xls

11SEP2020 15:59

POPULATION: AQP4 Positive Population
ENDPOINT: Short Form Generic Health Survey (SF-36): Mental Health Domain Score
MODEL: --
STUDY: BN40898
Plot of Mean and 95% CI by Visit



Placebo										
n	28	19	9	7	8	5	5	2	0	0
SA237										
n	27	19	16	16	15	14	10	7	2	1

Treatment Group —○— Placebo (N=28) - - - × - - - SA237 (N=27)

The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/g_pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/g_pro_mean_307_06JUN2018_AQPP_SF36MTH.pdf
 02NOV2019 9:53

POPULATION: AQP4 Positive Population
 ENDPOINT: Short Form Generic Health Survey (SF-36): Mental Health Domain Score
 MODEL: --
 STUDY: BN40898
 Compliance/Mean

			SA237 (N=27)				Placebo (N=28)							
Subgroup Level	Visit	in study ¹	Patients		Statistics		Patients		Statistics					
			% with value ¹	% mean ²	SD	in study ¹	% with value ¹	% mean ²	SD					
All	n/a	Baseline	27	100,0	27	100,0	44,860	9,027	28	100,0	28	100,0	44,048	10,946
		Week 24	19	70,4	19	100,0	46,463	9,353	20	71,4	19	95,0	49,903	7,711
		Week 48	16	59,3	16	100,0	46,289	10,871	9	32,1	9	100,0	45,346	10,581
		Week 72	16	59,3	16	100,0	50,376	7,289	8	28,6	7	87,5	44,889	12,151
		Week 96	15	55,6	15	100,0	49,996	7,951	8	28,6	8	100,0	47,270	12,735
		Week 120	14	51,9	14	100,0	49,560	7,930	5	17,9	5	100,0	43,542	16,585
		Week 144	10	37,0	10	100,0	53,746	5,297	5	17,9	5	100,0	44,590	16,082
		Week 168	7	25,9	7	100,0	50,869	8,274	2	7,1	2	100,0	46,945	12,947
		Week 192	2	7,4	2	100,0	52,180	9,249						
		Week 216	1	3,7	1	100,0	45,640	NE						
		End of Study (Discontinued)	3	11,1	3	100,0	38,660	18,372	6	21,4	6	100,0	39,532	8,857

¹ in study: number of subjects in study at respective visit; % based on baseline.
 with value: number of subjects in study and with value at respective visit - used for the calculation of the mean and SD; % based on patients in study at respective visit.
² mean: descriptive statistics - absolute values.
 The SF36 domain and component scores range from 0-100. Higher scores indicate better quality of life.
 Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/pro_mean.sas
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/pro_mean_307_06JUN2018_AQPP_SF36MTH_SG.xls
 01NOV2019 20:40

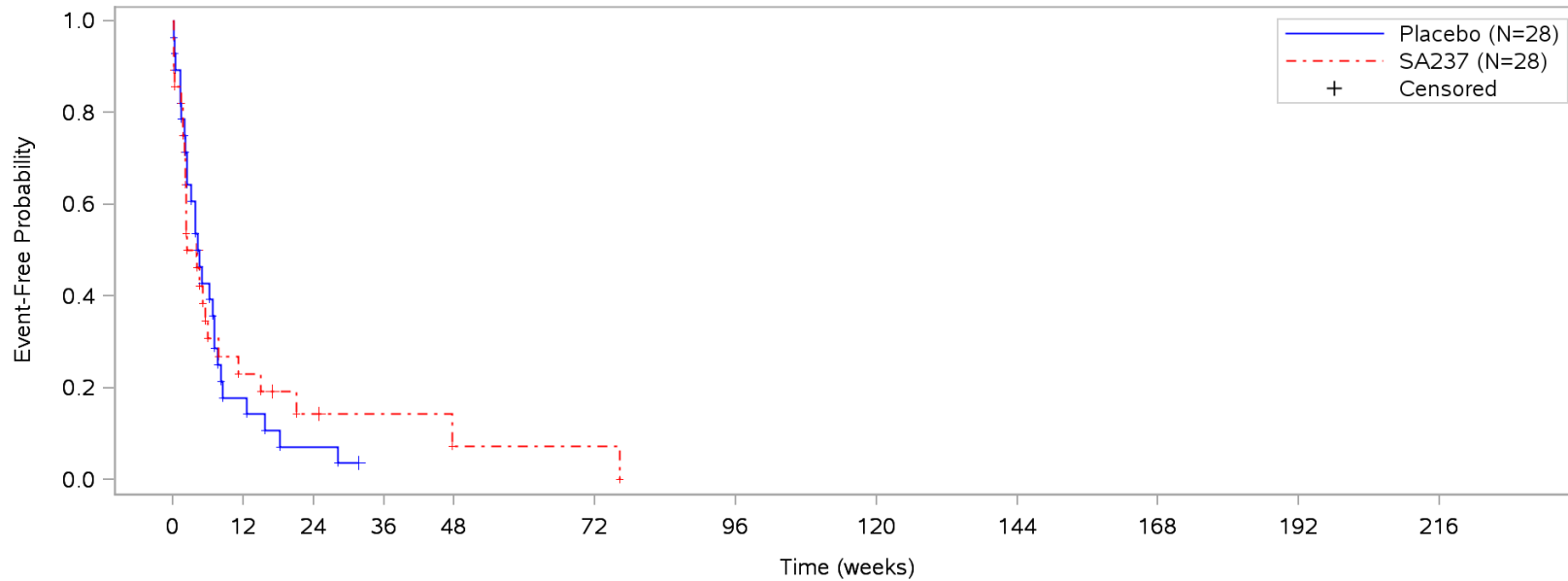
POPULATION: AQ4 Positive Population
 ENDPOINT: Any AE
 MODEL: Unstratified analysis
 STUDY: BN40898
 Time to event analysis (Safety)

		M217										Placebo										M217 vs. Placebo									
Subgroup	Level	Patients		Patients with Event		Censored		Time To Event				Patients		Patients with Event		Censored		Time To Event				Log-rank		Kaplan Ratio		Interaction Test					
		n	%	n	%	n	%	CI (week)	95% Lower CI for CI	95% Upper CI for CI	Median (week)	95% Lower CI for Median	95% Upper CI for Median	n	%	n	%	n	%	CI (week)	95% Lower CI for CI	95% Upper CI for CI	Median (week)	95% Lower CI for Median	95% Upper CI for Median	p-value	Hazard Ratio	95% Lower CI	95% Upper CI	Convergence Status	p-value (likelihood ratio)
All	n/a	28	100.0	25	89.3	3	10.7	1.3	0.1	2.3	3.0	2.1	6.0	28	100.0	27	96.4	1	3.6	2.1	0.3	3.0	4.4	2.4	7.1	0.6514	0.87	0.51	1.57	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BN40898/data_analysis/ACR_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BN40898/data_analysis/ACR_3MSU/prod/output/aaf_tt_307_07JUN2019_SAGP_ANYAE_01.xls
 24MAR2020 15:22

POPULATION: AQP4 Positive Population
ENDPOINT: Time to First Event, Any AEs
MODEL: --
STUDY: BN40898
Kaplan-Meier plot of time to first event (weeks)



Patients at risk		0	12	24	36	48	60	72	84	96	108	120	132	144	156	168	180	192	204	216
Placebo	28	5	2	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE
SA237	28	6	3	2	1	1	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE
Patients censored																				
Placebo	0	0	0	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE
SA237	0	1	2	3	3	3	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE

Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_3MSU/prod/output/g_saf_tte_km_307_07JUN2019_SAQF_ANYAE.pdf
 24MAR2020 17:23

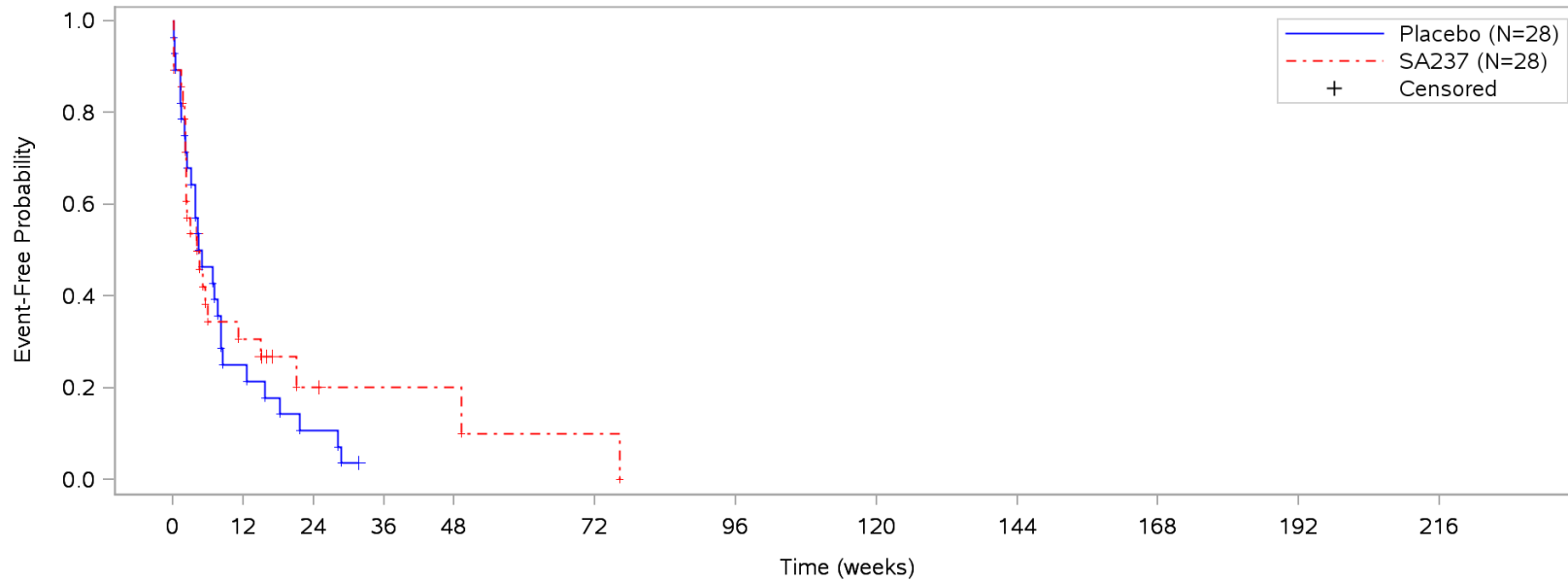
POPULATION: AQ4 Positive Population
 ENDPOINT: Mild AEs
 MODEL: Unstratified analysis
 STUDY: BN40898
 Time to event analysis (Safety)

Subgroup	Level	M217										Placebo										M217 vs. Placebo									
		Patients		Patients with Event		Censored		Time To Event				Patients		Patients with Event		Censored		Time To Event				Log-rank		Kaplan Ratio			Interaction Test				
		n	%	n	%	n	%	Q1 (week)	95% Lower CI for Q1	95% Upper CI for Q1	Median (week)	95% Lower CI for Median	95% Upper CI for Median	n	%	n	%	n	%	Q1 (week)	95% Lower CI for Q1	95% Upper CI for Q1	Median (week)	95% Lower CI for Median	95% Upper CI for Median	p-value	Hazard Ratio	95% Lower CI	95% Upper CI	Convergence Status	p-value (likelihood ratio)
All	n/a	28	100.0	21	82.1	3	17.9	2.1	0.1	2.4	4.1	2.3	11.1	28	100.0	27	96.4	1	3.6	2.1	0.3	3.0	4.7	2.4	0.1	0.5159	0.41	0.44	1.47	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACR_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACR_3MSU/prod/output/aaf_tt_307_07JUN2019_SAGP_ADR10_01.xls
 24MAR2020 15:24

POPULATION: AQP4 Positive Population
ENDPOINT: Time to First Event, Mild AEs
MODEL: --
STUDY: BN40898
Kaplan-Meier plot of time to first event (weeks)



Patients at risk		0	12	24	36	48	60	72	84	96	108	120	132	144	156	168	180	192	204	216
Placebo	28	7	3	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE
SA237	28	8	3	2	2	1	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE
Patients censored																				
Placebo	0	0	0	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE
SA237	0	1	4	5	5	5	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE

Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_3MSU/prod/program
Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_3MSU/prod/output/g_saf_tte_km_307_07JUN2019_SAQ_P_AEMILD.pdf
24MAR2020 17:23

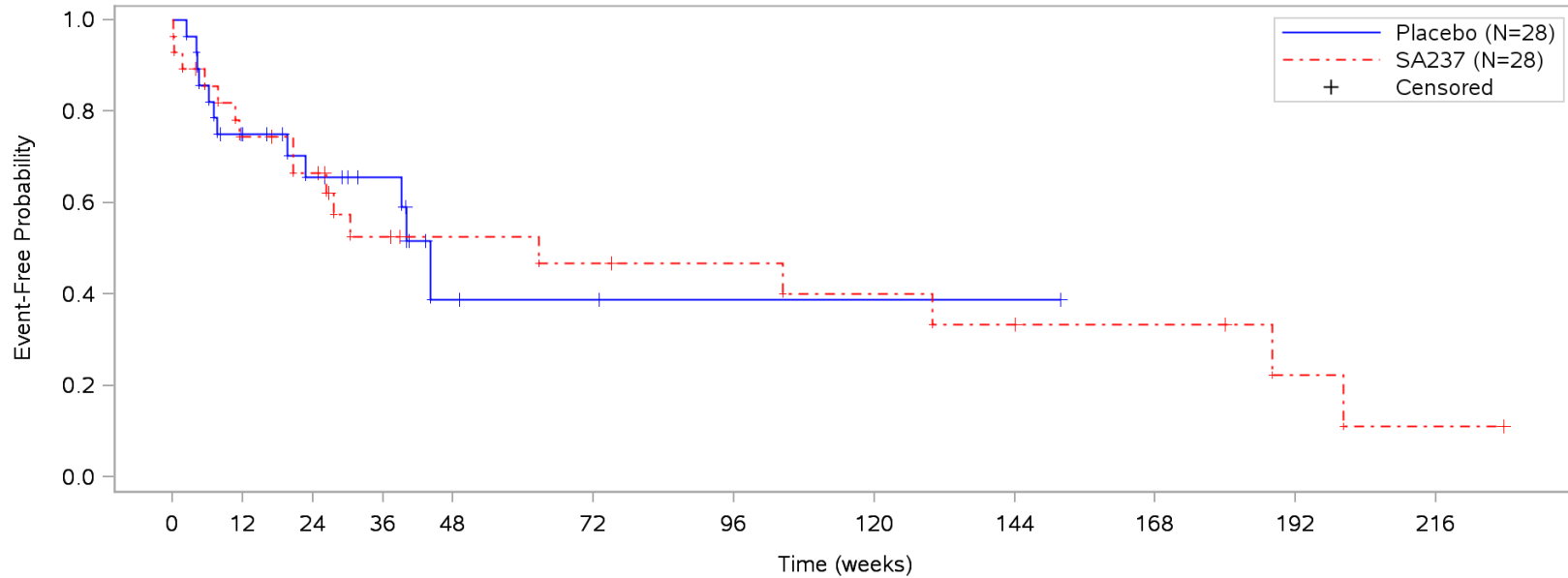
POPULATION: AQ4 Positive Population
 ENDPOINT: Moderate AMs
 MOCS: Unstratified analysis
 STUDY: BN40898
 Time to event analysis (Safety)

		M217										Placebo										M217 vs. Placebo									
Subgroup	Level	Patients		Patients with Event		Censored		Time To Event					Patients		Patients with Event		Censored		Time To Event					Log-rank		Kaplan Ratio		Interaction Test			
		n	%	n	%	n	%	Q1 (week)	95% Lower CI for Q1	95% Upper CI for Q1	Median (week)	95% Lower CI for Median	95% Upper CI for Median	n	%	n	%	n	%	Q1 (week)	95% Lower CI for Q1	95% Upper CI for Q1	Median (week)	95% Lower CI for Median	95% Upper CI for Median	p-value	Hazard Ratio	95% Lower CI	95% Upper CI	Convergence Status	p-value (likelihood ratio)
All	n/a	28	100.0	17	60.7	11	39.3	11.4	0.3	27.6	62.6	20.3	188.0	28	100.0	12	42.9	16	57.1	13.1	4.1	49.0	44.1	19.3	NR	0.9803	1.01	0.48	2.20	Convergence criterion (GDW<1e-8) satisfied.	

Test for interaction based on Likelihood Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACR_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACR_3MSU/prod/output/aaf_ttw_307_07JUN2019_SAGP_ACRMD_01.xls
 25MAR2020 12:45

POPULATION: AQP4 Positive Population
ENDPOINT: Time to First Event, Moderate AEs
MODEL: --
STUDY: BN40898
Kaplan-Meier plot of time to first event (weeks)



Patients at risk												
Placebo	28	19	14	10	3	2	1	1	1	NE	NE	NE
SA237	28	20	17	11	9	8	7	6	5	4	2	1
Patients censored												
Placebo	0	3	5	9	13	14	15	15	15	NE	NE	NE
SA237	0	1	2	5	7	7	8	8	9	9	10	10

Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_3MSU/prod/output/g_saf_tte_km_307_07JUN2019_SAQ_P_AEMOD.pdf
 24MAR2020 17:24

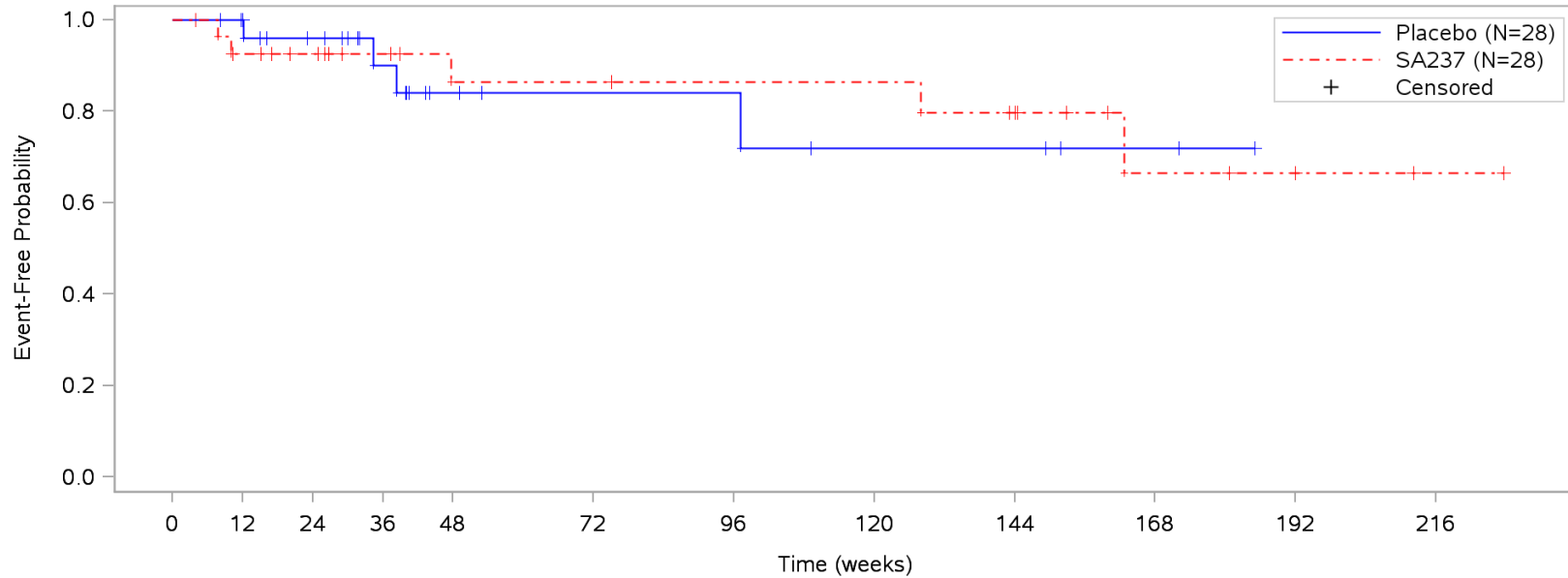
POPULATION: AQ4 Positive Population
 ENDPOINT: Severe AEs
 MODEL: Unstratified analysis
 STUDY: BN40898
 Time to event analysis (Safety)

Subgroup	Level	M217										Placebo										M217 vs. Placebo									
		Patients		Patients with Event		Censored		Time To Event				Patients		Patients with Event		Censored		Time To Event				Log-rank		Kaplan Ratio			Interaction Test				
		n	%	n	%	n	%	Q1 (week)	95% Lower CL for Q1	95% Upper CL for Q1	Median (week)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	n	%	Q1 (week)	95% Lower CL for Q1	95% Upper CL for Q1	Median (week)	95% Lower CL for Median	95% Upper CL for Median	p-value	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status	p-value (Likelihood ratio)
All	n/a	28	100.0	4	14.3	23	82.1	162.1	10.0	NS	NS	162.1	NS	28	100.0	4	14.3	24	85.7	97.1	12.1	NS	NS	97.1	NS	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACR_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACR_3MSU/prod/output/aaf_ttw_307_07JUN2019_SAGP_AESEV_01.xls
 24MAR2020 15:26

POPULATION: AQP4 Positive Population
ENDPOINT: Time to First Event, Severe AEs
MODEL: --
STUDY: BN40898
Kaplan-Meier plot of time to first event (weeks)



Patients at risk		0	12	24	36	48	72	96	120	144	168	192	216
Placebo	28	26	21	15	9	7	7	5	5	3	NE	NE	
SA237	28	24	21	17	14	14	13	13	10	5	4	1	
Patients censored		0	12	24	36	48	72	96	120	144	168	192	216
Placebo	0	3	6	11	16	18	18	19	19	21	NE	NE	
SA237	0	2	5	9	11	11	12	12	15	18	21	22	

Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_3MSU/prod/output/g_saf_tte_km_307_07JUN2019_SAQP_AESEV.pdf
 24MAR2020 17:25

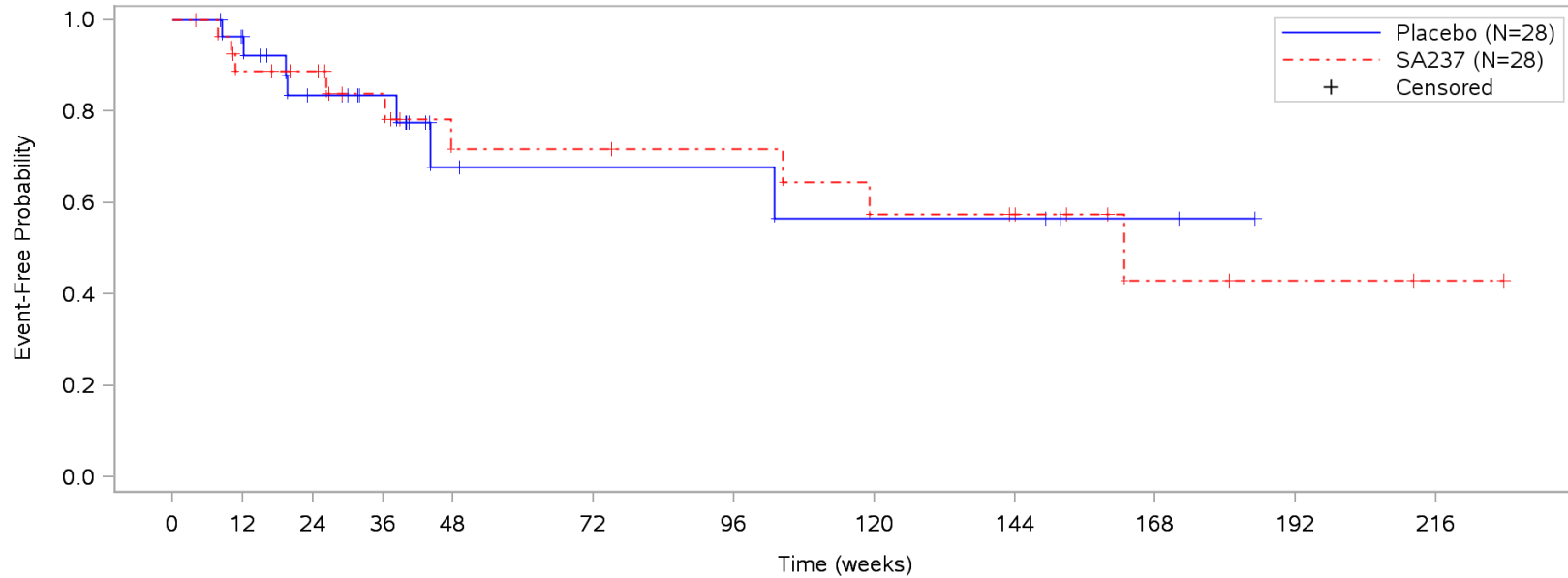
POPULATION: AQ4 Positive Population
 ENDPOINT: Any SAEs
 MODEL: Unstratified analysis
 STUDY: BN40898
 Time to event analysis (Safety)

		R217										Placebo										R217 vs. Placebo									
Subgroup	Level	Patients		Patients with Event		Censored		Time To Event					Patients		Patients with Event		Censored		Time To Event					Log-rank		Kaplan Ratio				Interaction Test	
		n	%	n	%	n	%	Q1 (week)	95% Lower CL for Q1	95% Upper CL for Q1	Median (week)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	n	%	Q1 (week)	95% Lower CL for Q1	95% Upper CL for Q1	Median (week)	95% Lower CL for Median	95% Upper CL for Median	p-value	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status	p-value (likelihood ratio)
All	n/a	28	100.0	0	0.0	32	11.4	67.0	47.3	10.0	162.0	162.0	47.3	88	28	100.0	0	0.0	25.0	21	79.0	44.1	12.1	44.1	44.1	0.8793	1.03	0.40	2.92	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CDP70210/BN40898/data_analysis/ACR_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CDP70210/BN40898/data_analysis/ACR_3MSU/prod/output/aaf_tt_307_07JUN2019_SADP_AEDAR_01.xls
 24MAR2020 15:29

POPULATION: AQP4 Positive Population
ENDPOINT: Time to First Event, Any SAEs
MODEL: --
STUDY: BN40898
Kaplan-Meier plot of time to first event (weeks)



Patients at risk													
Placebo	28	25	18	14	7	6	6	5	5	3	NE	NE	
SA237	28	23	20	15	11	11	10	8	7	3	2	1	
Patients censored													
Placebo	0	3	6	10	15	16	16	16	16	18	NE	NE	
SA237	0	2	5	9	11	11	12	12	14	16	17	18	

Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_3MSU/prod/output/g_saf_tte_km_307_07JUN2019_SAQ_P_AESAE.pdf
 24MAR2020 17:26

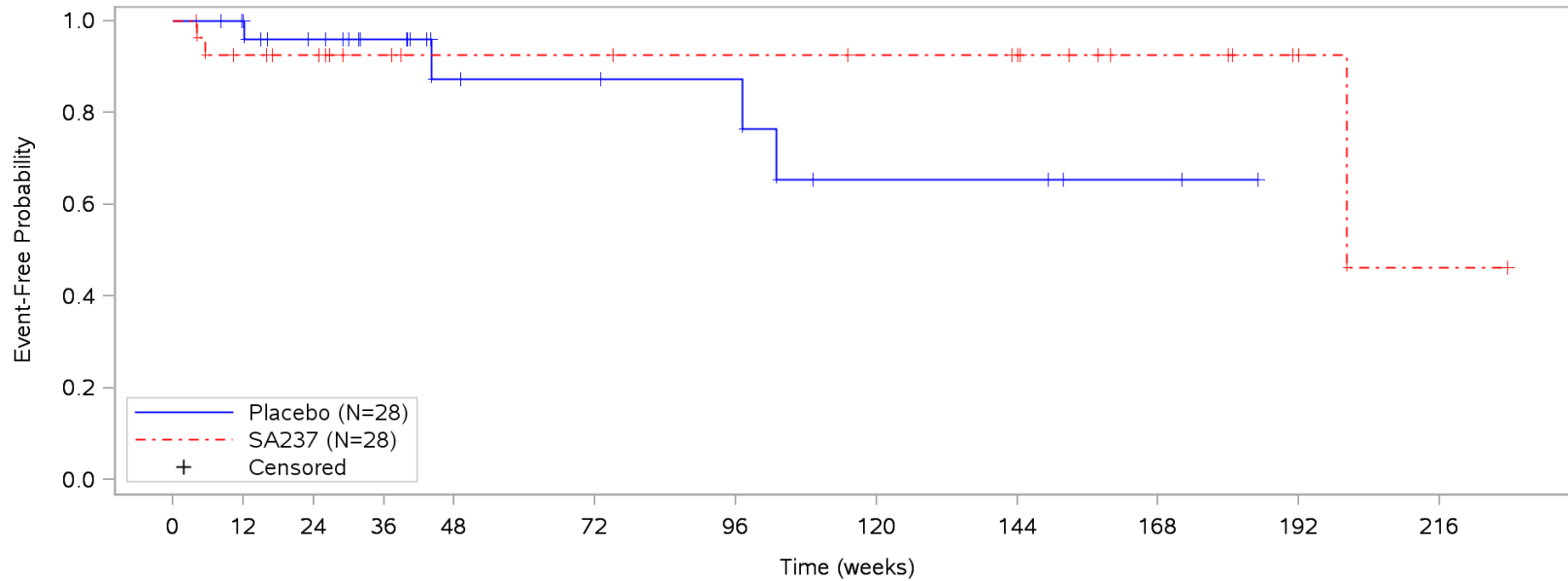
POPULATION: AQP4 Positive Population
 ENDPOINT: AEs leading to treatment discontinuation
 MODEL: Unstratified analysis
 STUDY: BN40898
 Time to event analysis (Safety)

Subgroup	Level	(N=28)												(N=28)												
		Patients		Patients with Event		Censored		Time To Event						Patients		Patients with Event		Censored		Time To Event						
		n	%	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	
All	n/a	28	100.0	3	10.7	25	89.3	200.1		5.6	NE	200.1	NE	28	100.0	4	14.3	24	85.7	102.9		12.1	NE	NE	97.1	NE

Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_3MSU/prod/output/saf_ttd_307_07JUN2019_SAP_AEDISC_S1.xls
 24MAR2020 16:45

POPULATION: AQP4 Positive Population
ENDPOINT: Time to First Event, AEs leading to treatment discontinuation
MODEL: --
STUDY: BN40898
Kaplan-Meier plot of time to first event (weeks)



Patients at risk		0	12	24	36	48	72	96	120	144	168	192	216
Placebo	28	26	21	16	10	9	8	5	5	3	NE	NE	
SA237	28	24	22	18	16	16	15	14	12	7	4	1	
Patients censored		0	12	24	36	48	72	96	120	144	168	192	216
Placebo	0	3	6	11	16	17	18	19	19	21	NE	NE	
SA237	0	2	4	8	10	10	11	12	15	19	24	24	

Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_3MSU/prod/output/g_saf_tte_km_307_07JUN2019_SAQP_AEDISC.pdf
 26MAR2020 18:31

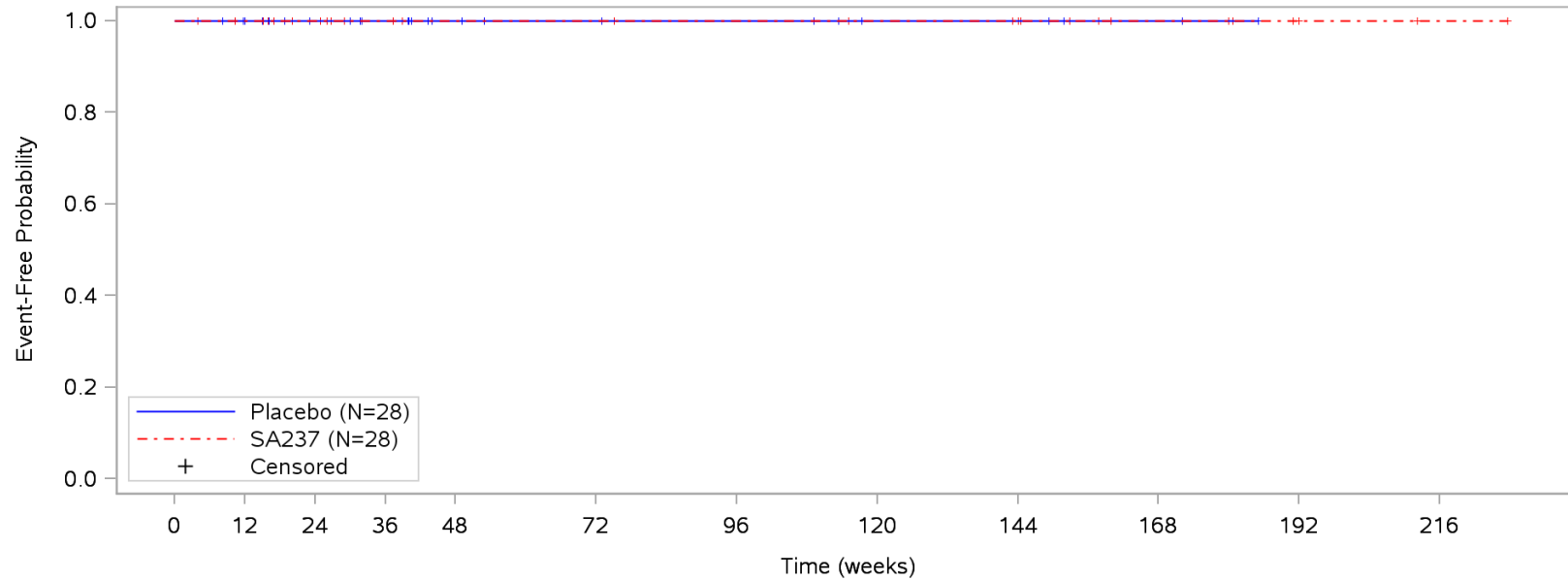
POPULATION: AQP4 Positive Population
 ENDPOINT: AEs Grade 5 (AEs leading to death)
 MOSE: Unstratified analysis
 STUDY: BM40898
 Time to event analysis (Safety)

		SA237										Placebo										SA237 vs. Placebo										
Subgroup	Level	Patients				Censored				Time To Event					Patients				Censored				Time To Event					log-rank p-value	Hazard Ratio			Interaction Test p-value (likelihood ratio)
		n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status							
All	n/a	28	100.0	28	100.0	NE	NE	NE	NE	NE	NE	NE	28	100.0	28	100.0	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.				

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO333378/CDP70210/BM40898/data_analysis/AC_3MSU/prod/program
 Output: root/clinical_studies/RO333378/CDP70210/BM40898/data_analysis/AC_3MSU/prod/output/saf tte 307 07JUN2019 SAGP_AEDTH 81.xls
 24MAR2020 15:27

POPULATION: AQP4 Positive Population
ENDPOINT: Time to First Event, AEs Grade 5 (AEs leading to death)
MODEL: --
STUDY: BN40898
Kaplan-Meier plot of time to first event (weeks)



Patients at risk													
	0	12	24	36	48	72	96	120	144	168	192	216	
Placebo	28	26	21	16	11	9	8	5	5	3	NE	NE	
SA237	28	26	22	18	16	16	15	14	12	7	4	1	
Patients censored													
Placebo	0	3	7	12	17	19	20	23	23	25	NE	NE	
SA237	0	2	6	10	12	12	13	14	17	21	26	27	

Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_3MSU/prod/output/g_saf_tte_km_307_07JUN2019_SAQP_AEDTH.pdf
 24MAR2020 17:26

POPULATION: AQ4 Positive Population
 INDICATOR: Any AE
 MODEL: Unstratified Analysis
 STUDY: IMB019
 Time to event analysis by SOC and PT (Safety)

SOC	PT	AE17										AE18										AE17 vs. AE18									
		Patients		Patients with		Observed	Time to Event			95% Lower CI for Median		95% Upper CI for Median		Patients		Patients with		Observed	Time to Event			95% Lower CI for Median		95% Upper CI for Median		Log-rank p-value, test/criterion	Hazard Ratio	95% Lower CI	95% Upper CI	Convergence Status	Intersection Test p-value (likelihood ratio)
		n	%	n	%	n	Q1 (weeks)	Median (weeks)	Q3 (weeks)	n	%	n	%	n	%	n	%	n	Q1 (weeks)	Median (weeks)	Q3 (weeks)	n	%	n	%	n	%	n	%	n	%
Blood and lymphatic system disorders	Total	n/a	28 100.0	12	42.9	16 57.1	56.3	2.0	76.1	76.1	56.1	n/a	28 100.0	7	25.0	21 75.0	40.4	4.1	n/a	n/a	n/a	n/a	0.383	1.52	0.19	3.93	Convergence criterion (GOCRM-IE-8) satisfied.				
	Anaemia	n/a	28 100.0	1	3.6	25 86.4	n/a	20.7	n/a	n/a	n/a	n/a	28 100.0	3	10.7	25 89.3	n/a	13.1	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
	Anaemia macrocytic	n/a	28 100.0	1	3.6	27 96.4	n/a	n/a	n/a	n/a	n/a	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.			
	Autoimmune thrombocytopenia	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	28 100.0	1	3.6	27 96.4	n/a	41.1	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.			
	Hyperfibrinogenemia	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	28 100.0	2	7.1	26 92.9	n/a	4.7	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.			
	Hypochromic anaemia	n/a	28 100.0	1	3.6	27 96.4	n/a	76.1	n/a	n/a	n/a	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.			
	Hypofibrinogenemia	n/a	28 100.0	1	3.6	27 96.4	n/a	76.1	n/a	n/a	n/a	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.			
	Iron deficiency anaemia	n/a	28 100.0	2	7.1	26 92.9	n/a	26.1	n/a	n/a	n/a	n/a	28 100.0	1	3.6	27 96.4	n/a	109.0	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.			
	Leukopenia	n/a	28 100.0	3	10.7	25 89.3	n/a	76.1	n/a	n/a	n/a	n/a	28 100.0	4	14.3	24 85.7	n/a	28.1	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.			
	Lymphopenia	n/a	28 100.0	2	7.1	26 92.9	n/a	56.3	n/a	n/a	n/a	n/a	28 100.0	3	10.7	25 89.3	n/a	12.9	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.			
	Neutropenia	n/a	28 100.0	1	3.6	27 96.4	n/a	80.4	n/a	n/a	n/a	n/a	28 100.0	1	3.6	27 96.4	n/a	13.1	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.			
	Pancytopenia	n/a	28 100.0	1	3.6	27 96.4	n/a	n/a	n/a	n/a	n/a	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.			
	Thrombocytopenia	n/a	28 100.0	1	3.6	27 96.4	n/a	39.3	n/a	n/a	n/a	n/a	28 100.0	1	3.6	27 96.4	n/a	117.3	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.			
Cardiac disorders	Total	n/a	28 100.0	2	7.1	26 92.9	n/a	0.7	n/a	n/a	n/a	n/a	28 100.0	1	3.6	27 96.4	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
	Angina pectoris	n/a	28 100.0	1	3.6	27 96.4	n/a	n/a	n/a	n/a	n/a	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
	Bradycardia	n/a	28 100.0	1	3.6	27 96.4	n/a	n/a	n/a	n/a	n/a	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
Congenital, familial and genetic disorders	Total	n/a	28 100.0	1	3.6	27 96.4	n/a	111.1	n/a	n/a	n/a	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
	Gilbert's syndrome	n/a	28 100.0	1	3.6	27 96.4	n/a	111.1	n/a	n/a	n/a	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
Ear and labyrinth disorders	Total	n/a	28 100.0	2	7.1	26 92.9	n/a	29.1	n/a	n/a	n/a	n/a	28 100.0	1	3.6	27 96.4	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
	Tinnitus	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	28 100.0	1	3.6	27 96.4	n/a	79.1	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.			
	Vertigo	n/a	28 100.0	2	7.1	26 92.9	n/a	29.1	n/a	n/a	n/a	n/a	28 100.0	1	3.6	27 96.4	n/a	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.			
Endocrine disorders	Total	n/a	28 100.0	2	7.1	26 92.9	n/a	35.7	n/a	n/a	n/a	n/a	28 100.0	1	3.6	27 96.4	n/a	16.0	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
	Diabetes	n/a	28 100.0	1	3.6	27 96.4	n/a	61.1	n/a	n/a	n/a	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
	Hypothyroidism	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	28 100.0	1	3.6	27 96.4	n/a	16.0	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.			
	Thyroiditis	n/a	28 100.0	1	3.6	27 96.4	n/a	35.7	n/a	n/a	n/a	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
Eye disorders	Total	n/a	28 100.0	1	3.6	27 96.4	106.1	10.0	n/a	n/a	106.0	n/a	28 100.0	2	7.1	26 92.9	n/a	31.3	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
	Asthenopia	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	28 100.0	1	3.6	27 96.4	n/a	31.3	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.			
	Dacrysis	n/a	28 100.0	1	3.6	27 96.4	n/a	106.0	n/a	n/a	n/a	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
	Conjunctival hemorrhage	n/a	28 100.0	1	3.6	27 96.4	n/a	52.1	n/a	n/a	n/a	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
	Conjunctivitis	n/a	28 100.0	1	3.6	27 96.4	n/a	79.1	n/a	n/a	n/a	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
	Conjunctivitis allergic	n/a	28 100.0	1	3.6	27 96.4	n/a	n/a	n/a	n/a	n/a	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
	Dry eye	n/a	28 100.0	1	3.6	27 96.4	n/a	n/a	n/a	n/a	n/a	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
Gastrointestinal disorders	Total	n/a	28 100.0	12	42.9	16 57.1	13.1	0.3	81.0	144.1	13.0	n/a	28 100.0	12	42.9	16 57.1	19.7	6.6	46.1	55.3	29.4	n/a	0.895	0.85	0.42	2.14	Convergence criterion (GOCRM-IE-8) satisfied.				
	Abdominal distension	n/a	28 100.0	2	7.1	26 92.9	n/a	156.9	n/a	n/a	156.9	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
	Abdominal pain	n/a	28 100.0	1	3.6	27 96.4	202.0	202.0	n/a	n/a	202.0	n/a	28 100.0	1	3.6	27 96.4	n/a	19.7	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
	Abdominal pain upper	n/a	28 100.0	1	3.6	27 96.4	n/a	81.0	n/a	n/a	n/a	n/a	28 100.0	1	3.6	27 96.4	n/a	70.1	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
	Chills	n/a	28 100.0	1	3.6	27 96.4	n/a	n/a	n/a	n/a	n/a	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
Infections	Total	n/a	28 100.0	12	42.9	16 57.1	13.1	0.3	81.0	144.1	13.0	n/a	28 100.0	12	42.9	16 57.1	19.7	6.6	46.1	55.3	29.4	n/a	0.895	0.85	0.42	2.14	Convergence criterion (GOCRM-IE-8) satisfied.				
	Diarrhoea	n/a	28 100.0	1	3.6	27 96.4	n/a	27.4	n/a	n/a	27.4	n/a	28 100.0	2	7.1	26 92.9	n/a	39.7	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
	Dyspepsia	n/a	28 100.0	1	3.6	27 96.4	n/a	n/a	n/a	n/a	n/a	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
	Flatulence	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	28 100.0	1	3.6	27 96.4	n/a	89.9	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				
	Gastritis	n/a	28 100.0	4	14.3	24 85.7	n/a	15.0	n/a	n/a	15.0	n/a	28 100.0	n/a	n/a	28 100.0	n/a	n/a	n/a	n/a	n/a	n/a	-	-	-	-	Convergence criterion (GOCRM-IE-8) satisfied.				

POPULATION: AQP4 Positive Population
 ENDPOINT: AEs leading to treatment discontinuation
 MODEL: Unstratified analysis
 STUDY: BM40898
 Time to event analysis by SOC and PT (Safety)

SOC	PT	Level	SA237												Placebo																			
			Patients		Patients with		Censored		Time To Event						Patients		Patients with		Censored		Time To Event													
			n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median	n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median								
Blood and lymphatic system disorders	-Total	n/a	28	100,0	NE	NE	28	100,0	NE	NE	NE	NE	NE	NE	NE	28	100,0	3	10,7	25	89,3	102,9	44,1	NE	NE	NE	NE	NE	NE	97,1	NE	NE		
	Autoimmune thrombocytopenia	n/a	28	100,0	NE	NE	28	100,0	NE	NE	NE	NE	NE	NE	NE	NE	28	100,0	1	3,6	27	96,4	NE	44,1	NE	NE	NE	NE	NE	NE	NE	NE		
	Leukopenia	n/a	28	100,0	NE	NE	28	100,0	NE	NE	NE	NE	NE	NE	NE	NE	28	100,0	1	3,6	27	96,4	NE	97,1	NE	NE	NE	NE	NE	NE	NE	97,1	NE	NE
	Lymphopenia	n/a	28	100,0	NE	NE	28	100,0	NE	NE	NE	NE	NE	NE	NE	NE	28	100,0	1	3,6	27	96,4	NE	102,9	NE	NE	NE	NE	NE	NE	NE	102,9	NE	NE
Investigations	-Total	n/a	28	100,0	2	7,1	26	92,9	200,1	200,1	NE	200,1	200,1	NE	28	100,0	NE	NE	28	100,0	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	
	Alanine aminotransferase increased	n/a	28	100,0	1	3,6	27	96,4	NE	NE	NE	NE	NE	NE	NE	28	100,0	NE	NE	28	100,0	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	
	Aspartate aminotransferase increased	n/a	28	100,0	1	3,6	27	96,4	NE	NE	NE	NE	NE	NE	NE	28	100,0	NE	NE	28	100,0	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	
	Neutrophil count decreased	n/a	28	100,0	1	3,6	27	96,4	200,1	200,1	NE	NE	200,1	NE	28	100,0	NE	NE	28	100,0	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	
Neoplasms benign, malignant and unspecified (incl cysts and polyps)	-Total	n/a	28	100,0	NE	NE	28	100,0	NE	NE	NE	NE	NE	NE	NE	28	100,0	1	3,6	27	96,4	NE	12,1	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE
	Hepatic cancer	n/a	28	100,0	NE	NE	28	100,0	NE	NE	NE	NE	NE	NE	NE	28	100,0	1	3,6	27	96,4	NE	12,1	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE
Skin and subcutaneous tissue disorders	-Total	n/a	28	100,0	1	3,6	27	96,4	NE	NE	NE	NE	NE	NE	NE	28	100,0	NE	NE	28	100,0	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE
	Urticaria	n/a	28	100,0	1	3,6	27	96,4	NE	NE	NE	NE	NE	NE	NE	28	100,0	NE	NE	28	100,0	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE

Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BM40898/data_analysis/ACR_3MSD/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BM40898/data_analysis/ACR_3MSD/prod/output/saf_csd_307_07JUN2019_NAQF_ABDISC_01.xls
 25MAR2020 19:52

POPULATION: AQP4 Positive Population
 ENDPOINT: Serious AEs: Elevated ALT or AST (>3*ULN) in combination with either an elevated bilirubin (>2*ULN) or clinical jaundice
 MODEL: Unstratified analysis
 STUDY: BN40898
 Time to event analysis (Safety)

Subgroup	Level	(N=28)								(N=28)								Log-rank p-value	SA237 vs. Placebo				Interaction Test p-value (likelihood ratio)				
		Patients		Censored		Time To Event				Patients		Censored		Time To Event					Hazard Ratio								
		n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1		95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median		Lower	Upper	Lower	Upper
All	R/a	28	100.0	28	100.0	NR		NR	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.

* indicates convergence problem. Result is uninterpretable.

Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_3MSU/prod/output/saf_tte_307_07JUN2019_SAQD_SARSIALT_S1.xls
 25MAR2020 12:49

POPULATION: AQP4 Positive Population
 ENDPOINT: AESI: Suspected transmission of an infectious agent by the study drug
 MODEL: Unstratified analysis
 STUDY: BM40898
 Time to event analysis (Safety)

		SA237										Placebo										SA237 vs. Placebo									
Subgroup	Level	Patients				Censored				Time To Event				Patients				Censored				Time To Event				log-rank p-value	Hazard Ratio				Interaction Test p-value (likelihood ratio)
		n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status						
All	n/a	28	100.0	28	100.0	NE	NE	NE	NE	NE	NE	28	100.0	28	100.0	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.				

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO3333787/CDP70210/BM40898/data_analysis/AC_3MSU/prod/program
 Output: root/clinical_studies/RO3333787/CDP70210/BM40898/data_analysis/AC_3MSU/prod/output/saf_tte_307_07JUN2019_SADP_AESISUS_S1.xls
 24MAR2020 15:31

POPULATION: AQP4 Positive Population
 ENDPOINT: Severe AESI: Suspected transmission of an infectious agent by the study drug
 MOSE: Unstratified analysis
 STUDY: BM40898
 Time to event analysis (Safety)

		SA237										Placebo										SA237 vs. Placebo									
Subgroup	Level	Patients				Censored				Time To Event				Patients				Censored				Time To Event				log-rank p-value	Hazard Ratio				Interaction Test p-value (likelihood ratio)
		n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status						
All	n/a	28	100.0	28	100.0	NE	NE	NE	NE	NE	NE	28	100.0	28	100.0	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.				

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO333378/CDP70210/BM40898/data_analysis/AC_3MSU/prod/program
 Output: root/clinical_studies/RO333378/CDP70210/BM40898/data_analysis/AC_3MSU/prod/output/saf_tte_307_07JUN2019_SADP_SASISU_S1.xls
 24MAR2020 15:49

POPULATION: AQP4 Positive Population
 ENDPOINT: Mild AMS: Infections treated with IV treatment
 MOE: Stratified analysis
 STUDY: BM40898
 Time to event analysis (Safety)

Subgroup	Level	(N=28)										(N=28)										SA217 vs. Placebo															
		Patients			Patients with Event			Censored				Time To Event				Patients			Patients with Event			Censored				Time To Event				Log-rank			Hazard Ratio			Interaction Test	
		n	k	n	k	n	k	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median	n	k	n	k	n	k	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median	p-value	Hazard Ratio	95% Lower CI	95% Upper CI	Convergence Status		p-value (likelihood ratio)					
All	n/a	28	100.0			3.0	27	96.4	HR		49.1	HR	HR	HR	HR	28	100.0			3.0	27	96.4	HR		19.0	HR	HR	HR	HR				Convergence criterion (GCONV=1E-8) satisfied.				

Test for interaction based on likelihood-ratio test for interaction with treatment effect.

* indicates convergence problem. Result is uninterpretable.

Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CSP70210/BM40898/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CSP70210/BM40898/data_analysis/ACE_3MSU/prod/output/saf_tte_307_07JUN2019_SAGS_MIASIIR_S1.xls
 24Jun2020 15:18

POPULATION: AQM4 Positive Population
 ENDPOINT: Severe AEs: Infections treated with IV treatment
 MODEL: Unstratified analysis
 STUDY: BK40898
 Time to event analysis (Safety)

Subgroup	Level	SA237										Placebo										Log-rank p-value	SA237 vs. Placebo Hazard Ratio				Interaction Test p-value (likelihood ratio)			
		Patients		Censored		Time To Event						Patients		Patients with Event		Censored		Time To Event												
		n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median	n	%	n	%	n	%	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)		95% Lower CI for Median	95% Upper CI for Median						
All	n/a	28	100.0	28	100.0	NS	NE	NS	NS	NE	NS	28	100.0	1	3.6	27	96.4	NS	NS	38.3	NE	NS	NS	NS	NS	-	-	-	-	Convergence criterion (GRAND=1E-8) satisfied.

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.

* Indicates convergence problem. Result is uninterpretable.

Clinical cut-off: 0.7000019

Program: root/clinical_studies/05333787/CDPT0210/BK40898/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/05333787/CDPT0210/BK40898/data_analysis/ACE_3MSU/prod/output/saf_tte_307_07JUN2019_SADP_SBAS2IN_01.xls
 24MAR2020 15:50

POPULATION: AQ4 Positive Population
 ENDPOINT: Serious AEI: Infections treated with IV treatment
 MODEL: Unstratified analysis
 STUDY: BN40898
 Time to event analysis (Safety)

Subgroup	Level	M217										Placebo										M217 vs. Placebo									
		Patients		Patients with Event		Censored		Time To Event				Patients		Patients with Event		Censored		Time To Event				Log-rank		Kaplan Ratio			Interaction Test				
		n	%	n	%	n	%	Q1 (week)	95% Lower CI for Q1	95% Upper CI for Q1	Median (week)	95% Lower CI for Median	95% Upper CI for Median	n	%	n	%	n	%	Q1 (week)	95% Lower CI for Q1	95% Upper CI for Q1	Median (week)	95% Lower CI for Median	95% Upper CI for Median	p-value	Hazard Ratio	95% Lower CI	95% Upper CI	Convergence Status	p-value (likelihood ratio)
All	n/a	28	100.0	2	7.1	25	92.9	NE	20.3	NE	NE	NE	28	100.0	2	7.1	25	92.9	NE	28.3	NE	NE	NE	71.9	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on Likelihood Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACR_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACR_3MSU/prod/output/aaf_ttw_307_07JUN2019_SAGP_SAEIINF_s1.xls
 24MAR2020 15:56

POPULATION: AQP4 Positive Population
 ENDPOINT: Mild ADMI: Potential opportunistic infections
 MOCS: Stratified analysis
 STUDY: BM40898
 Time to event analysis (Safety)

Subgroup	Level	(N=28)										(N=28)										SA217 vs. Placebo												
		Patients			Patients with Event			Censored				Time To Event					Patients			Patients with Event			Censored				Time To Event					Log-rank	Hazard Ratio	
		n	k	n	k	n	k	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median	n	k	n	k	n	k	Q1 (weeks)	95% Lower CI for Q1	95% Upper CI for Q1	Median (weeks)	95% Lower CI for Median	95% Upper CI for Median	p-value	Hazard Ratio	95% Lower CI	95% Upper CI	Convergence Status	p-value (Likelihood ratio)			
All	0/0	28	100.0			3.0	27	96.4	RM		103.5	RM	RM	RM	28	100.0			10.7	25	87.3	RM		14.4	RM	RM	90.6	RM				Convergence criterion (GCONV=1E-8) satisfied.		

Test for interaction based on likelihood-ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CSP70210/BM40898/data_analysis/ACE_3MST/prod/program
 Output: root/clinical_studies/R05333787/CSP70210/BM40898/data_analysis/ACE_3MST/prod/output/saf_tte_307_07JUN2019_SAGS_MIAE5TOP_01.xls
 24Jun2020 15:40

POPULATION: AQP4 Positive Population
 ENDPOINT: Severe AEs: Potential opportunistic infections
 MOSE: Unstratified analysis
 STUDY: BM40898
 Time to event analysis (Safety)

		SA237								Placebo								SA237 vs. Placebo													
Subgroup	Level	Patients				Censored				Time To Event				Patients				Censored				Time To Event				log-rank p-value	Hazard Ratio				Interaction Test p-value (likelihood ratio)
		n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status						
All	n/a	28	100.0	28	100.0	NE	NE	NE	NE	NE	NE	28	100.0	28	100.0	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.				

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO333378/CDP70210/BM40898/data_analysis/AC_3MSU/prod/program
 Output: root/clinical_studies/RO333378/CDP70210/BM40898/data_analysis/AC_3MSU/prod/output/saf_tte_307_07JUN2019_SADP_SASSTOP_S1.xls
 24MAR2020 15:51

POPULATION: AQP4 Positive Population
 ENDPOINT: Serious AEI: Potential opportunistic infections
 MOSE: Unsatisfied analysis
 STUDY: BM40898
 Time to event analysis (Safety)

		SA237										Placebo										SA237 vs. Placebo										
Subgroup	Level	Patients				Censored				Time To Event					Patients				Censored				Time To Event					log-rank p-value	Hazard Ratio			Interaction Test p-value (likelihood ratio)
		n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	95% Lower CL for Median	95% Upper CL for Median	95% Lower CL for Median	95% Upper CL for Median	Convergence Status						
All	n/a	28	100.0	28	100.0	NE	NE	NE	NE	NE	NE	NE	28	100.0	28	100.0	NE	NE	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO333378/CDP70210/BM40898/data_analysis/AC_3MSU/prod/program
 Output: root/clinical_studies/RO333378/CDP70210/BM40898/data_analysis/AC_3MSU/prod/output/saf_tte_307_07JUN2019_SADP_SASSTOPP_S1.xls
 24MAR2020 15:57

POPULATION: AQP4 Positive Population
 ENDPOINT: Mild AMS: Injection-related reactions
 MOCS: Stratified analysis
 STUDY: BM40898
 Time to event analysis (Safety)

Subgroup	Level	(N=28)										(N=28)										SA217 vs. Placebo											
		Patients		Patients with Event		Censored		Time To Event				Patients		Patients with Event		Censored		Time To Event				Log-rank	Hazard Ratio			Interaction Test							
		n	%	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	p-value	Hazard Ratio	95% Lower CL	95% Upper CL	Convergence Status	p-value (Likelihood ratio)		
All	0/0	28	100.0	2	7.1	20	72.9	NR				NR	28	100.0	1	3.6	27	96.4	NR				NR	84.4					NR			Convergence criterion (GCONV=1E-8) satisfied.	

Test for interaction based on likelihood-ratio test for interaction with treatment effect.

* Indicates convergence problem. Result is uninterpretable.

Clinical cut-off: 07JUN2019

Program: root/clinical_studies/R05333787/CSP70210/BM40898/data_analysis/ACR_3MSU/prod/program
 Output: root/clinical_studies/R05333787/CSP70210/BM40898/data_analysis/ACR_3MSU/prod/output/saf_tte_307_07JUN2019_SAGS_MIASIIR_01.xls
 24Jun2020 15:41

POPULATION: AQ4 Positive Population
 ENDPOINT: Moderate AEs: Injection-related reactions
 NOME: Unstratified analysis
 STUDY: BN40898
 Time to event analysis (safety)

		(N=28)												(N=28)												SA237 vs. Placebo				
Subgroup	Level	Patients		Censored		Time To Event						Patients		Censored		Time To Event						Log-rank p-value	Hazard Ratio			Interaction Test p-value (likelihood ratio)				
		n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median		Convergence Status							
All	N/A	28	100.0	28	100.0	NR		NR	NR	NR	NR	NR	28	100.0	28	100.0	NR		NR	NR	NR	NR	NR	-	-	-	-	Convergence criterion (GCMV=1E-8) satisfied.		

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.

* indicates convergence problem. Result is uninterpretable.

Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_3MSU/prod/output/saf_tte_307_07JUN2019_SAP_MOAESTIR_S1.xls
 25MAR2020 12:47

POPULATION: AQ4 Positive Population
 ENDPOINT: Severe AEs: Injection-related reactions
 MODEL: Unstratified analysis
 STUDY: BH40898
 Time to event analysis (Safety)

Subgroup	Level	SA237										Placebo										SA237 vs. Placebo					Interaction Test p-value (likelihood ratio)						
		Patients		Patients with Event		Censored		Time To Event				Patients		Censored		Time To Event				Log-rank p-value	Hazard Ratio			Convergence Status (CONV=1=) Satisfied									
		n	%	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1		95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median		95% Upper CL for Median	Hazard Ratio		95% Lower CL	95% Upper CL				
All	n/a	28	100.0	-	-	3.6	27	96.4	NE	128.0	NE	35	NE	NE	28	100.0	28	100.0	NE	NE	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	-	-

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * Indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 0.7000019
 Program: root/clinical_studies/05333787/CDPT0210/BH40898/data_analysis/ACE_3MSU/prod/program
 Output: root/clinical_studies/05333787/CDPT0210/BH40898/data_analysis/ACE_3MSU/prod/output/saf_tte_307_07JUN2019_SADP_SBAS21R_01.xls
 24MAR2020 15:52

POPULATION: AQP4 Positive Population
 ENDPOINT: Serious AEI: Injection-related reactions
 MOSE: Unsatisfied analysis
 STUDY: BM40898
 Time to event analysis (Safety)

		SA237										Placebo										SA237 vs. Placebo												
Subgroup	Level	Patients				Censored				Time To Event					Patients				Censored				Time To Event					log-rank p-value	Hazard Ratio			Interaction Test p-value (likelihood ratio)		
		n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	n	%	n	%	Q1 (weeks)	95% Lower CL for Q1	95% Upper CL for Q1	Median (weeks)	95% Lower CL for Median	95% Upper CL for Median	95% Lower CL for Median	95% Upper CL for Median	95% Lower CL for Median	95% Upper CL for Median	Convergence Status								
All	n/a	28	100.0	28	100.0	NE	NE	NE	NE	NE	NE	28	100.0	28	100.0	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	-	-	-	-	-	-	Convergence criterion (GCONV=1E-8) satisfied.

Test for interaction based on Likelihood-Ratio test for interaction with treatment effect.
 * indicates convergence problem. Result is uninterpretable.
 Clinical cut-off: 07JUN2019

Program: root/clinical_studies/RO333378/CDP70210/BM40898/data_analysis/AC_3MSU/prod/program
 Output: root/clinical_studies/RO333378/CDP70210/BM40898/data_analysis/AC_3MSU/prod/output/saf_tte_307_07JUN2019_SADP_SASST1RR_S1.xls
 24MAR2020 15:59

POPULATION: AQP4 Positive Population

ENDPOINT: --

MODEL: --

STUDY: BN40898

Demographic data and baseline disease characteristics

	Placebo (N=28) n (%)	SA237 (N=27) n (%)	Total (N=55) n (%)
Age (years)			
n	28	27	55
Mean (SD)	43.4 (12.9)	44.4 (15.7)	43.9 (14.2)
Min - Max	14 - 65	13 - 73	13 - 73
Median	45	44	44
Age Group			
n	28	27	55
<18 years	2 (7.1%)	1 (3.7%)	3 (5.5%)
>=18 years	26 (92.9%)	26 (96.3%)	52 (94.5%)
Gender			
n	28	27	55
Male	0	0	0
Female	28 (100%)	27 (100%)	55 (100%)
Race			
n	28	27	55
American Indian/Alaska Native	0	0	0
Asian [Japanese]	9 (32.1%)	10 (37.0%)	19 (34.5%)
Asian [Non-Japanese]	4 (14.3%)	4 (14.8%)	8 (14.5%)
Black/African American	2 (7.1%)	0	2 (3.6%)
Native Hawaiian/other Pacific Islander	0	0	0
White	12 (42.9%)	13 (48.1%)	25 (45.5%)
Other	1 (3.6%)	0	1 (1.8%)
Racial Subgroup			
n	28	27	55
Japanese	9 (32.1%)	10 (37.0%)	19 (34.5%)
Non-Japanese	19 (67.9%)	17 (63.0%)	36 (65.5%)
Geographic Region			
n	28	27	55
Asia	13 (46.4%)	13 (48.1%)	26 (47.3%)
Europe/Other	15 (53.6%)	14 (51.9%)	29 (52.7%)

Ethnicity			
n	28	27	55
Hispanic or Latino	0	0	0
Not Hispanic or Latino	28 (100%)	27 (100%)	55 (100%)
Not reported	0	0	0
Height (cm)			
n	28	27	55
Mean (SD)	163.23 (5.99)	160.16 (8.15)	161.72 (7.23)
Min - Max	150.0 - 174.0	146.5 - 174.0	146.5 - 174.0
Median	164	161	162,5
Body Weight (kg)			
n	28	27	55
Mean (SD)	64.61 (18.35)	59.96 (13.38)	62.32 (16.13)
Min - Max	39.4 - 140.4	45.3 - 99.0	39.4 - 140.4
25%-ile	54,7	51	54
Median	62,1	56,4	58,4
75%-ile	72	59,4	65
BMI (kg/m2)			
n	28	27	55
Mean (SD)	24.13 (6.03)	23.44 (5.21)	23.80 (5.60)
Min - Max	16.7 - 47.9	17.4 - 37.7	16.7 - 47.9
Median	23,07	21,64	22,83
BMI Category (kg/m2)			
n	28	27	55
<18.5	2 (7.1%)	2 (7.4%)	4 (7.3%)
18.5 to <25	19 (67.9%)	17 (63.0%)	36 (65.5%)
25 to <30	3 (10.7%)	5 (18.5%)	8 (14.5%)
>=30	4 (14.3%)	3 (11.1%)	7 (12.7%)
Weight Category			
n	28	27	55
< Median	11 (39.3%)	16 (59.3%)	27 (49.1%)
>= Median	17 (60.7%)	11 (40.7%)	28 (50.9%)
Baseline ARR			
n	28	27	55
Mean (SD)	1.41 (0.55)	1.39 (0.51)	1.40 (0.52)
Min - Max	1.0 - 3.0	1.0 - 3.0	1.0 - 3.0
Median	1	1	1
Baseline ARR Category			

n	28	27	55
1	15 (53.6%)	14 (51.9%)	29 (52.7%)
>1	13 (46.4%)	13 (48.1%)	26 (47.3%)
Diagnosis			
n	28	27	55
NMO	14 (50.0%)	19 (70.4%)	33 (60.0%)
NMOSD	14 (50.0%)	8 (29.6%)	22 (40.0%)
Baseline Treatment			
n	28	27	55
Azathioprine	11 (39.3%)	11 (40.7%)	22 (40.0%)
Mycophenolate Mofetil	3 (10.7%)	1 (3.7%)	4 (7.3%)
Oral Corticosteroids	13 (46.4%)	14 (51.9%)	27 (49.1%)
Azathioprine + Oral Corticosteroids	0	0	0
Mycophenolate Mofetil + Oral Corticosteroids	1 (3.6%)	1 (3.7%)	2 (3.6%)
Baseline EDSS			
n	27	27	54
Mean (SD)	3.70 (1.44)	4.30 (1.58)	4.00 (1.52)
Min - Max	1.5 - 6.5	1.0 - 6.5	1.0 - 6.5
Median	3,5	4	3,5

n represents the number of patients contributing to summary statistics. Percentages are based on n (number of valid values).

Age calculated as the number of complete years between a patient's birth date and the date of first informed consent.

AQP4=Aquaporin-4, ARR=Annualized Relapse Rate, NMO=Neuromyelitis Optica, NMOSD=Neuromyelitis Optica Spectrum Disorder and EDSS=Expanded Disability Status Scale.

ARR was entered into IxRS and used for stratified randomization. The EDSS is scored on a scale of 0-10. Higher scores represent increased disability.

Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/oth_demo.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/oth_demo_307_06JUN2018_AQPP.xls

26NOV2019 14:03

POPULATION: AQP4 Positive Population

ENDPOINT: --

MODEL: --

STUDY: BN40898

Number of centers/countries/geographical regions with <10, >=10 patients per arm

Category	Center				Country				Geographical region (3)			
	n (4)	% (5)	n of patients randomized (6)	% randomized patients (7)	n (4)	% (5)	n of patients randomized (6)	% randomized patients (7)	n (4)	% (5)	n of patients randomized (6)	% randomized patients (7)
Overall	28	100,00%	55	100,00%	9	100,00%	55	100,00%	3	100,00%	55	100,00%
with <10 patients per arm (1)	28	100,00%	55	100,00%	9	100,00%	55	100,00%	1	33,30%	2	3,60%
with >=10 patients per arm (2)	0	0,00%	0	0,00%	0	0,00%	0	0,00%	2	66,70%	53	96,40%

(1): "<10 patients" category if at least one treatment arm has <10 patients. (2): ">=10 patients" category if all treatment arms have >=10 patients.

(3): Geographical regions: Europe, Asia, Others. (4): Number of centers/countries/geographical regions. (5): % of centers/countries/geographical regions compared to overall number.

(6): Number of patients randomized in the corresponding category (e.g. number of patients randomized in centers with <10 patients per arm).

(7): % of randomized patients compared to overall number of randomized patients (e.g. % of randomized patients in centers with <10 patients per arm compared to overall number of randomized patients).

Clinical cut-off: 06JUN2018

Program: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/program/oth_center.sas

Output: root/clinical_studies/RO5333787/CDP70210/BN40898/data_analysis/ACE_CSRPrimary/prod/output/oth_center_307_06JUN2018_AQPP.xls

26NOV2019 14:08

Duration of Double-Blind Period for Safety Analysis, AQP4 Positive, Safety-Evaluable
 Population
 Protocol: SA-307JG
 CCOD : SA307 CSR: 06JUN2018

	Placebo (N=28)	SA237 (N=27)
Duration (Weeks)		
0 - 23	7 (25.0%)	5 (18.5%)
24 - 47	11 (39.3%)	6 (22.2%)
48 - 71	1 (3.6%)	0
72 - 95	1 (3.6%)	1 (3.7%)
96 - 119	3 (10.7%)	1 (3.7%)
120 - 143	0	4 (14.8%)
144 - 167	3 (10.7%)	3 (11.1%)
168 - 191	2 (7.1%)	5 (18.5%)
192 - 215	0	1 (3.7%)
216 - 239	0	1 (3.7%)
Mean (SD)	64.2 (56.6)	104.6 (74.2)
Median	40.2	139.4
Min - Max	8 - 185	10 - 224

AQP4=Aquaporin-4

Double-blind period starts on the day of first dose. The double-blind period ends on the earliest day of 1) clinical cutoff date, 2) the day before the first treatment in the extension period, 3) the end of the study, or 4) last contact for Patients lost to follow up.

Program: root/clinical_studies/RO5333787/CDP70210/share/pool_CSR_adhocs/prod/program/
 t_ex_sty_9824.sas

Output: root/clinical_studies/RO5333787/CDP70210/share/pool_CSR_adhocs/prod/output/
 t_ex_sty_9824_307_AQFPOS_DBSAF_SE.out

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